

# *Incorporation by Reference Certification* (required for **each** book filed with Department of State)

1. I, the undersigned General Counsel for the \_\_\_\_\_  
(Agency Name)  
hereby state that said agency has adopted the following material:

*Publication Title* \_\_\_\_\_

*Volume Number* \_\_\_\_\_

*Volume Title* \_\_\_\_\_

*Section or Pages* \_\_\_\_\_

*Author* \_\_\_\_\_

*Publication Date* \_\_\_\_\_

*Publisher's Name/Address* \_\_\_\_\_

*Electronic location or other source identification* \_\_\_\_\_

2. The attached material is referenced in Title \_\_\_\_\_ NYCRR, sections \_\_\_\_\_

3. Based on the following facts, it is my opinion that the referenced publication is reasonably available to regulated parties as required by Executive Law §102(1)(c):

4. Based on the following facts, it is my opinion that reproduction and distribution of all or part of the referenced material will not violate any copyright:

- 5.  The original publication is being submitted to the Department of State.
- A paper reproduction of the referenced material is being submitted to the Department of State and I, the undersigned General Counsel, hereby certify that I have personally supervised the comparison of the attached document with the original publication and the attached is a true and accurate representation of the publication.

*General Counsel's Signature* \_\_\_\_\_

*Name* \_\_\_\_\_

*Title* \_\_\_\_\_

*Agency* \_\_\_\_\_

*Date* \_\_\_\_\_