

# NEW YORK STATE ENERGY CONSERVATION CONSTRUCTION CODE

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## Part 4 Compliance Form Building Design by Component Performance

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Date: \_\_\_\_\_

Building Address: \_\_\_\_\_

County: \_\_\_\_\_

Architect, Engineer or  
Contractor: \_\_\_\_\_

Phone: \_\_\_\_\_

Permit Applicant: \_\_\_\_\_

Phone: \_\_\_\_\_

### I. Building Type:

- |  |  |
|--|--|
| <input type="checkbox"/> Residential, four stories or more | <input type="checkbox"/> New Construction                        |
| <input type="checkbox"/> Non-residential                   | <input type="checkbox"/> Addition to existing building           |
|  | <input type="checkbox"/> Substantial renovation of existing bldg |
|  | <input type="checkbox"/> Exempt (7810.6c)                        |

### II. Design Criteria: 7811

#### B. Outdoor Design Conditions (Table 2-1):

Heating degree days	= _____
Winter design dry-bulb temp	= _____ °F
Summer design dry-bulb temp	= _____ °F
Coincident wet-bulb temp	= _____ °F

C. Indoor Design Conditions:

Indoor design temperature

72°F max. heating

78°F min. cooling

Special application (documentation attached)

Application type: \_\_\_\_\_

Indoor design temp: \_\_\_\_\_

$$U_o = U_o \text{ (Table 4-1)} \times \frac{\Delta t \text{ (table 2-1)}}{\Delta t \text{ (design)}} = \underline{\hspace{2cm}}$$

III. Building Envelope Thermal Performance: 7813.3

Envelope System	Max Value Allowed	Value Provided	Plan/Spec. Reference
Walls	$U_o = 0.20$	$U_o =$	
Roofs	$U_o = 0.05$	$U_o =$	
Floors	$U_o = 0.05$	$U_o =$	
Found. walls below grade	$R = 5.00$	$R =$	
Slab edge	$R_i = 5.00$	$R_i =$	
Non-conforming envelope*			

\*Non-conforming envelope heat loss shall not exceed conforming envelope heat loss (documentation attached)

Vapor Retarder: 7813.(c)

Location Required	Location Provided	Type Provided	Plan/Spec. Reference
Walls			
Ceiling			
Floor			
Other			

Insulation Continuity: 7813.3(d)

Location Required	Required	Specified	Plan/Spec Reference
Plate lines	Continuity		
Sill lines	Continuity		
Band joists	Continuity		
Corners	Continuity		
Party walls	R -10 each side		

Shading Coefficient: 7813.3(e)

% Glazing	Max. Value Allowed	Value provided	Plan/Spec. Reference
Walls	SC <sub>o</sub> =	SC <sub>o</sub> =	
Roofs	SC <sub>o</sub> =	SC <sub>o</sub> =	

IV. AIR INFILTRATION: 7813.4

Infiltration Rate: 7813.4(a), (d)

Element	Maximum Rates	Rates Specified	Plan/Spec. Reference
Windows	.037 cfm/lin. ft.		
Residential doors*	0.50 cfm/sq. ft.		
Non-residential doors*	1.25 cfm/sq. ft.		

\* Vestibule or revolving doors are required for main entrance doors serving a common lobby.

Joint Sealing: 7813.4(b)

Joint Location	Sealant Type Specified	Plan/Spec. Reference
Windows		
Door frames		
Walls at roof/ceiling		
Walls at floors/found.		
Wall panels		
Utility entrance		
Penetrations		
Other		

Air Infiltration Barrier: 7813.4(c)

Location	Required?	Type Specified	Plan/Spec. Reference
Walls	yes / no		
Ceiling	yes / no		
Floors	yes / no		
Other	yes / no		

V. FIREPLACE: 7813.5

Required	Specified	Plan/Spec. Reference
Outside combustion air duct w/ damper		
Flue damper w/ max. 20 cfm, or damper and non-combustible doors		
Gas fireplace ignition		

VI. HVAC CONTROL: 7813.13

Temperature Control: 7813.13(a)

Required	Location, Type Specified	Plan/Spec. Reference
Residential:		
Thermostat each dwelling unit		
Shut off at each dwelling unit		
Non Residential		
Thermostat each system		
Thermostat each zone		

Thermostat: 7813.13(b)

Required	Type Specified	Plan/Spec. Reference
Minimum range 45°F-85°F		
Deadband range $\geq 5^\circ$		
Automatic capability		

Humidistat: 7813.13(c)

Mode	Humidity Setting	Setting Provided	Plan/Spec. Reference
Humidification	30% RH or less		
Dehumidification	60% RH or less		

VII. HVAC RESET: 7813.14

System Type	Required	Specified	Plan/Spec. Reference
Reheat	cold air supply temp. reset		
Dual Duct/ Multizone	cold & hot deck air supply temp. reset		
Recooling	hot air supply temp. reset		
Hydronic			
Heating & cooling $\geq$ 600,000 Btu/hr.	supply water temp. reset		
Heating only $\geq$ 300,000 Btu/hr.	supply water temp. reset		

VIII. ECONOMIZER CYCLE: 7813.15

Required	Specified	Plan/Spec. Reference
Outdoor air for cooling		
Heat rejection without refrigeration		
Exempt: cite provision		

IX. VENTILATION SYSTEMS: 7813.16

Shutoff Dampers: 7813.16(a)

System Capacity	Required	Specified	Plan/Spec. Reference
< 1000 cfm	tight shut-off		
≥ 1000 cfm	max. leakage 20 cfm		
Exempt: cite provision			

Controls: 7813.16(b)

Design Operation	Required	Specified	Plan/Spec. Reference
Continuous	automatic control		
Non-continuous	independent, accessible control		

X. HVAC PUMPING SYSTEMS: 7813.17

Pump Size	Required	Specified	Plan/Spec. Reference
> 25 hp	variable speed		
exempt: cite provision			

XI. PIPING INSULATION: 7813.18 (Table 4-4)

System Type	Design Fluid Temp.		Pipe Size					
			Runout	≤ 1"	1 1/4" - 2"	2 1/2" - 4"	5" - 6"	≥ 8"
Heating			Insulation Thickness*					
Steam, steam condensate, hot water		Min. Req.						
		Provided						
		Reference						
Cooling								
chilled water, brine, refrigerant		Min. Req.						
		Provided						
		Reference						

\* at R = 4.0 to 4.6 per inch of thickness.

XII. DUCT/PLENUM INSULATION: 7813.19

$\Delta t$  = duct/plenum air temp. - surrounding temp. = \_\_\_\_\_ °F - \_\_\_\_\_ °F = \_\_\_\_\_

$\Delta t$	Min. Required	Value Provided	Plan/Spec. Reference
< 52.5°F	R = 3.5		
> 52.5°F	R = $\Delta t/15$		
exempt: cite provision			

XIII. DUCT SEALING: 7813.20

Duct Pressure	Joint Seal Required			Sealant Specified	Plan/Spec. Reference
	trans-verse	longi-tudinal	penet-rations		
≤ 2" w.g.	yes	no	no		
> 2" < 4" w.g.	yes	yes	no		
≥ 4" w.g.	yes	yes	yes		

XIV. HVAC EQUIPMENT PERFORMANCE REQUIREMENTS: 7813.21

Gas & Oil Fired Combustion Heating Equipment (Table 4-5)

Category	Size Specified	Minimum Performance Required	Performance Specified	Plan/Spec. Reference

Heat Pumps (Table 4-6)

Category & Size Specified	Source / Power	Minimum Performance Required		Performance Specified		Plan/Spec. Reference
		Heating	Cooling	Heating	Cooling	
	/					
	/					
	/					
	/					

Packaged Terminal Air Conditioners & Heat Pumps (Table 4-7)

Category & Size Specified	Minimum Performance Required		Performance Specified		Plan/Spec. Reference
	Heating	Cooling	Heating	Cooling	

Central Air Conditioners (Table 4-8)

Category	Specified Size / Power	Minimum Performance Required	Performance Specified	Plan/Spec. Reference
	/			
	/			
	/			
	/			

Chillers (Table 4-9)

Category & Size Specified	Condenser yes / no	Cooling Source	Minimum Performance Required	Performance Specified	Plan/Spec. Reference

Condensing Units 65,000 Btu/hr & Over (Table 4-10)

Category	Minimum Performance Required	Performance Specified	Plan/Spec. Reference

Heat Operated Cooling Equipment (Table 4-11)

Heat Source	Minimum Performance Required	Performance Specified	Plan/Spec. Reference

XV. SERVICE WATER HEATING: 7813.31-7813.34

Service Water Heating Equipment (Table 4-12)

Category	Type of Fuel	Minimum Performance Required	Performance Specified	Plan/Spec. Reference

Insulation: 7813.33

Category	Size	Minimum Insulation Required*	Insulation Specified	Plan/Spec. Reference
Storage Tank	all	$R \geq 6$		
Piping				

\* See Table 4-4 for pipe insulation requirements

Service Water Heating Controls: 7813.34

Category	Required Control	Control Provided	Plan/Spec. Reference
System	automatic control		
System	temp. setting range		
Circulating	pump shutoff		
Pool Heater	IID		
Pool Heater	on/off switch		
Electric water heater	separate switch		
Gas/oil water heater	separate valve		

XVI. ELECTRICAL SYSTEMS: 7813.52

Electric Motors (Table 4-13)

Motor Application	HP	Open/Close	Poles/RPM	Min. Efficiency Required	Efficiency Specified	Plan/Spec. Reference
			/			
			/			
			/			
			/			

Electric Meters

Building Type	Dwelling Units	Separate Meter Required	Meter(s) Provided	Plan/Spec. Reference
Residential	yes	yes		
Residential	no	no	-	-
Non-residential	no	no	-	-

XVII. LIGHTING EQUIPMENT: 7813.53

Lighting Controls

General Lighting Controls:			
Room Size/Schedule	Control Required	Control Specified	Plan/Spec. Reference
< 500 sq. ft.	Accessible Control		
> 500 sq. ft.	Bi-level Control or Dimmer		
< 24 hour use	Programmable or Occupancy Sensor		
24 hour use	1 Control for each 500 Full S.F.		
Other Lighting Controls:			
Application	Control Required	Control Specified	Plan/Spec. Reference
Highlighting	Independent Control		
Special	Independent Control		
Exterior Lighting	Timer/photocell		

### Fluorescent Lamp Ballasts (Table 4-14)

Ballast Type	Input Voltage	BEF Required	BEF Specified	Power Factor Specified	Plan/Spec. Reference

### 3 Lamp Luminaries

Ballast Type	Required	Specified	Plan/Spec. Reference
	3-lamp ballast or tandem wired		

### Fluorescent Lamps (Table 4-15)

Lamp Type	Min. LPW Required	LPW Specified <sup>1</sup>	CRI Specified <sup>2</sup>	Plan/Spec. Reference

<sup>1</sup> May be driven by dividing rated lumen output by rated lamp wattage.

<sup>2</sup> CRI may not be less than 67.

Luminaires (Table4-16)

Information is provided:		<input type="checkbox"/> below <input type="checkbox"/> on fixture schedule, refer to _____		
Luminaire Type	Lumen Distribution	Minimum TLE Required	TLE Specified	Plan/Spec. Reference

XVIII. LIGHTING SYSTEMS: 7813.54

Building Interiors (Table 4-18)			
Building Type	Max LPL Permitted	LPL Specified	Plan/Spec. Reference
Building Exteriors (Table 4-19)			
Location	Max LPL Permitted	LPL Specified	Plan/Spec. Reference
Exit			
Entrance: Canopy <input type="checkbox"/> yes <input type="checkbox"/> no			
Facade			