



申请职业竞技运动经纪人或筹办人执照

请仔细阅读以下说明后再填写申请表。信息不完整的申请表将被退回，导致执照延后颁发。申请或支持文件如有任何疏漏、不准确或信息不全，均可视为拒发执照的充分理由，如果执照已颁发，则可能导致暂时吊销或撤销执照。

申请职业竞技运动经纪人执照需要缴纳哪些费用，有效期为多长时间？

拳击经纪人的费用为 30.00 美元。综合格斗经纪人的费用为 50.00 美元。拳击经纪人执照自颁发之日起至当年 9 月 30 日有效。综合格斗经纪人执照自颁发之日起一年内有效。

申请职业竞技运动赛事筹办人执照需要缴纳哪些费用？

摔跤或综合格斗赛事筹办人执照的费用按照申请人当年计划筹办的最大规模赛事的预期座席数量确定。基于座席数量的费用表：

摔跤	综合格斗	最大规模赛事的座席数量
450.00 美元	500.00 美元	不超过 2,500 席
750.00 美元	1,000.00 美元	2,500 到 5,000 席
1000.00 美元	1,500.00 美元	5,001 到 15,000 席
2000.00 美元	2,500.00 美元	15,001 到 25,000 席
2,500.00 美元	3,000.00 美元	超过 25,000 席

拳击赛事筹办人执照的费用按照申请人当年计划筹办的最大规模赛事所在城市的人口数量确定。如果筹划的最大规模赛事所在城市的人口为 50,000 人以下，费用为 150.00 美元；如果筹划的最大规模赛事所在城市的人口为 50,000 到 175,000 人，费用为 300.00 美元。如果筹划的最大规模赛事所在城市的人口为 175,000 人以上，则采用基于座席数量的费用表：

拳击	最大规模赛事的座席数量
450.00 美元	不超过 2,500 席
750.00 美元	2,500 到 5,000 席
1000.00 美元	5,001 到 15,000 席
2000.00 美元	15,001 到 25,000 席
2,500.00 美元	超过 25,000 席

职业竞技运动赛事筹办人执照的有效期为多长时间？

拳击或摔跤赛事筹办人执照自颁发之日起至当年 9 月 30 日有效。综合格斗赛事筹办人执照自颁发之日起一年内有效。

我什么时候需要更新执照？

您可以在执照到期前三个月至到期后三年内更新执照。如果您未能在三年期限内更新，则必须提交原始执照申请表及所需材料。

我需要按指纹吗？

不。您不需要按指纹。

我为什么需要提供电子邮件地址？

您将通过电子邮件接收执照以及任何与执照或申请有关的答复信息。如果您的电子邮件地址发生改变，请向本办公室提交修正申请，注明您的新电子邮件地址。

拳击或综合格斗赛事筹办人需要满足哪些保证金要求？

拳击或综合格斗赛事筹办人必须向纽约州州务院 (New York State Department of State) 提交：

- 20,000.00 美元的保证金，以保障俱乐部持照员工（经委员会许可）的收入和工资、印制门票和所有宣传材料的合法开支；以及
- 10,000.00 美元的保证金，以担保筹办人忠实履行纽约州竞技委员会 (New York State Athletic Commission, NYSAC) 的法令和规章制度。

摔跤赛事筹办人需要满足哪些保证金要求？

摔跤赛事筹办人必须向纽约州审计署 (New York State Comptroller) 提交：

- 20,000.00 美元的保证金，以保障俱乐部持照员工（经委员会许可）的收入和工资、印制门票和所有宣传材料的合法开支、支付给主办方的款项，以及纽约州和地方政府相应的销售与补偿使用税。

申请时需要哪些材料？

- 政府颁发的有效附照身份证复印件
- 对于在纽约州境外成立的企业，需提交状态证明（又称存续证明）复印件
- 对于用化名备案或用化名经商 (DBA) 的企业，需提交化名或 DBA 证明的核证复印件
- 与赛事有关的各负责方均需提交本申请表中的“负责方信息”、“负责方背景信息”和“财务信息”部分
- 如果您在本申请表“企业背景信息”、“负责方信息”、“负责方背景信息”和“财务信息”部分的问题下回答“是”，请提供相关支持材料
- 目前已经与您签署经纪合同的格斗选手名单（适用于经纪人执照申请者）
- 竞技运动简历和证明人列表
- 申请筹办人执照所需的保证金
- 申请费用

什么是竞技运动简历？

在一份简历中概述您与申领拳击、综合格斗或摔跤执照相关的资质和经历、教育和/或培训、执照和/或证书。

有哪些付款方式？

您可以使用支票或汇票向纽约州州务院付款（抬头请写 NYS Department of State）。请勿邮寄现金。申请费不可退还。对于被银行退回的任何支票，每张将收取 20.00 美元的费用。

如何向纽约州竞技委员会提交申请和支持性材料？

纽约州竞技委员会的通信地址：
New York State, Department of State
State Athletic Commission
P.O.Box 22090
Albany, NY 12201-1001

申请职业竞技运动经纪人或筹办人执照

隐私相关通知

是否需要申请表上提供我的社会安全号码？

是的。纽约州竞技委员会需要收集所有执照持有者的社会安全号码。索取和维护此类个人信息的依据参见税法 (Tax Law) §5 条款和一般义务法 (General Obligations Law) §3-503 条款。您必须披露此类信息。采集此类信息是为了让税务和财政部门识别在纳税申报中有逾期提交以及可能低估纳税金额的个人、企业或其他方，同时识别受税务和财政专员辖下税收政策影响的个人。它将用于税务管理以及税法授权的任何其他目的，也可能被儿童抚养执行机构或者本州或其他州根据社会安全法 (Social Security Act) IV-D 条款授权的代表用来制定、修改或执行抚养命令，但不可向大众公开此类信息。如无法提供社会安全号码，需要做出书面说明。对于要求提供此信息的权限，19 NYCRR §207.5(a)(1) 中也有相关规定。此信息将保存在纽约州竞技委员会的许可信息系统中，地址为：123 William Street, New York, NY 10038-3804。



申请职业竞技运动经纪人或筹办人执照

请阅读说明后再填写此申请表。请务必用墨水填写。带*的项目为必填栏。

要申请一张以上的执照，请单独提交每张执照的申请表、费用和所需材料。

- *请选择执照类型（单选）：
 拳击经纪人（30.00 美元） 综合格斗经纪人（50.00 美元）
 拳击赛事筹办人（费用美元） 综合格斗赛事筹办人（费用美元） 摔跤赛事筹办人（费用美元）

请查阅第 1 页的筹办人申请费说明，并在上方填写相应的费用。

- *您的申请身份：
 个人（填写 A 部分） 企业（填写 B 部分）

*您要申请新执照、更新执照还是希望修正/更改档案上的信息？

- （单选）：
 新执照（费用见上文） 更新执照（费用见上文） 修正执照（无费用）

A 部分

*名字	*姓氏	中间名首字母	后缀
*地址 1		地址 2	
*城市	*美国下辖州或加拿大下辖省	*邮编	
县/郡（如为纽约州居民）	*国家/地区	*性别 <input type="checkbox"/> 男 <input type="checkbox"/> 女	*出生日期（月月/日日/年年年年）
*您有社会安全号码 (Social Security Number, SSN) 吗？ <input type="checkbox"/> 是 <input type="checkbox"/> 否 如果选择“是”，请提供您的社会安全号码：			
*电话号码 - 家庭电话	手机号码	工作电话	*电子邮箱地址

B 部分 - *选择企业类型（单选）：

- 股份公司 - 提供纽约州 DOS ID 号码： _____
- 有限责任公司 - 提供纽约州 DOS ID 号码： _____
- 有限合伙公司 - 提供纽约州 DOS ID 号码： _____
- 独资企业（如果使用自己的个人姓名注册，请填写 A 部分。如果使用公司名称注册，请填写 B 部分。）
- 普通合伙公司

*您开办的企业是不是在纽约州成立？

- 是 否 如果选择“否”，请随附企业成立所在地颁发的状态证明（存续证明）。

企业信息

*企业名称（如果您的独资企业使用自己的个人姓名注册，请在此输入）		联邦雇主识别号码 (FEIN)	
化名：（如果您的企业以化名备案 - 又称商号或 DBA - 请列出相应名称。您必须随附所列每个化名在备案所在地获发的化名或 DBA 证明的核证复印件。			
*企业地址 1		企业地址 2	
*城市	*美国下辖州或加拿大下辖省	*邮编	*县/郡 *国家/地区
*企业电话号码		*企业电子邮箱地址	
企业传真号码		企业网站	
*企业主要联系人的姓名		*企业主要联系人的电子邮箱地址	*企业主要联系人的电话号码

申请职业竞技运动经纪人或筹办人执照

企业背景信息（仅限企业）

1) *企业目前是否持有或曾经持有纽约州竞技委员会或任何其他竞技委员会颁发的执照？

是 否 如果选择“是”，请提供所持每张执照的以下信息：

执照类型	颁发州（仅限美国）	颁发国	执照编号	执照年度

2) *是否有纽约或其他任何地方政府颁发给企业的执照或许可被撤销、暂时吊销或取消，或者您是否曾受到纪律处分？

是 否 如果选择“是”，请予以说明：

企业申请人注意事项-所有与申请人（企业）有关的负责方必须复制并填写本申请表的“负责方信息”、“负责方背景信息”和“财务信息”部分。负责方包括申请企业及其高级管理人员、董事、成员、主管合伙人以及申请企业的任何控制/主要所有人。

负责方信息

*经纪人或筹办人企业名称（如适用）			
*负责方的名字	*负责方的姓氏	中间名首字母	后缀
*负责方职务（如为企业申请人）			

负责方背景信息

1) *您是否持有或曾经持有纽约州竞技委员会或任何其他竞技委员会颁发的执照？

是 否 如果选择“是”，请提供所持每张执照的以下信息：

执照类型	颁发州（仅限美国）	颁发国	执照编号	执照年度

2) *您本人或您在纽约或其他任何地方负责或曾经负责的公司是否有执照或许可被撤销、暂时吊销或取消，或者您是否曾受到纪律处分？

是 否 如果选择“是”，请予以说明：

3) *您在纽约州或其他任何地方是否曾有轻微或重大犯罪行为？

是 否 如果选择“是”，请注明每次犯罪行为的下列信息：

犯罪年度	发生犯罪行为的辖区	罪行

如果您曾经犯罪，请附上残疾救济证、行政赦免、品行优良奖章的复印件或其他支持性材料。

4) *纽约州或其他任何地方的法院是否针对您的任何未决刑事起诉（包括轻罪和重罪）？

是 否 如果选择“是”，请注明每次起诉的下列信息：

起诉年度	起诉辖区	罪行（起诉性质）	诉讼的当前状态

5) *您是否有任何与赌博有关的债务？

是 否 如果选择“是”，请予以说明：

申请职业竞技运动经纪人或筹办人执照

财务信息

1) *目前是否存在不利于您的终审判决？

是 否 如果选择“是”，请予以说明：

请随附您希望提交的其他文件。

2) *您是否在任何税务管辖区有任何未缴清税款？

是 否 如果选择“是”，请予以说明：

请随附您希望提交的其他文件。

3) *您是否持有任何其他已被暂时吊销或撤销且尚未恢复的执照或履约保证？

是 否 如果选择“是”，请予以说明：

请随附您希望提交的其他文件。

4) *您是否为待定破产程序中的债务人？

是 否 如果选择“是”，请予以说明：

请随附您希望提交的其他文件。

5) *您是否曾在过去 12 个月内收到解除破产令？

是 否 如果选择“是”，请予以说明：

请随附您希望提交的其他文件。

6) *您是否在过去 36 个月内申请解除破产令遭拒？

是 否 如果选择“是”，请予以说明：

请随附您希望提交的其他文件。

申请职业竞技运动经纪人或筹办人执照

经历

1) *说明与申请竞技运动经纪人或筹办人执照相关的经历和资质。如需更多空间来详细阐述，请附加其他材料。

2) *申请拳击和综合格斗经纪人执照时，必须提交当前与您签订经纪合同的所有徒手格斗运动员名单。该名单必须提供格斗运动员的姓名以及签订合同时所在的州。（如申请摔跤赛事筹办人执照，则无需提交）

3) *所有申请人均需提交竞技运动简历复印件和证明人列表。其中应注明每个证明人的日间电话号码和电子邮箱地址。

4) *所有申请人均需提交政府颁发的有效附照身份证复印件。

筹办人保证金

*摔跤赛事筹办人：20,000.00 美元的保证金

每位摔跤赛事筹办人必须向纽约州审计署提交 20,000.00 美元的保证金，以保障俱乐部持照员工（经委员会许可）的收入和工资、印制门票和所有宣传材料的合法开支、支付给主办方的款项，以及纽约州和地方政府相应的销售与补偿使用税。具体而言，首先由申请人向纽约州州务院缴纳保证金，然后州务院在纽约州审计署为保证金备案。

本人已经随附 20,000.00 美元的原始保证金、续交保证金或保证金有效性的相关证据。保证金必须在参加纽约州竞技委员会批准的任何赛事之前提交至委员会。

保证金到期日 _____ 或 保证金已经设置为自动续费

*拳击或综合格斗赛事筹办人：20,000.00 美元的保证金

每位拳击或综合格斗赛事筹办人必须向纽约州州务院提交 20,000.00 美元的保证金，以保障俱乐部持照员工（经委员会许可）的收入和工资、印制门票和所有宣传材料的合法开支。

本人已经随附 20,000.00 美元的原始保证金、续交保证金或保证金有效性的相关证据。保证金必须在参加纽约州竞技委员会批准的任何赛事之前提交至委员会。

保证金到期日 _____ 或 保证金已经设置为自动续费

*拳击或综合格斗赛事筹办人：10,000.00 美元的保证金

每位拳击或综合格斗赛事筹办人必须向纽约州州务院提交 10,000.00 美元的保证金，以担保筹办人忠实履行纽约州竞技委员会的法令和规章制度。

本人已经随附 10,000.00 美元的原始保证金、续交保证金或保证金有效性的相关证据。保证金必须在参加纽约州竞技委员会批准的任何赛事之前提交至委员会。

保证金到期日 _____ 或 保证金已经设置为自动续费

申请职业竞技运动经纪人或筹办人执照

确认声明

1) *子女抚养声明（针对以个人身份申请经纪人和筹办人执照者）

本人特此证明，截至本申请日期，本人没有支付子女抚养费的义务；或者，虽然本人有支付子女抚养费的义务，但本人没有拖欠子女抚养费达四个月或以上；或者，本人根据收入执行令或法院协定的支付或还款计划或双方商定的计划支付子女抚养费；或者，本人正在法院进行有关子女抚养义务的诉讼程序；或者，本人目前在领取公共救助或社保补助金。

本人已经阅读并理解《子女抚养声明》，并特此证明本人符合相关规定。

2) *法律、法规和政策（在线访问 www.dos.ny.gov/athletic）：

本人了解、同意并且确认，本人有责任遵守纽约州及纽约州竞技委员会适用于本人执照方面的法律、法规和政策。

3) 经纪人申请确认：

本人即下方签字人，已缴纳申请相关费用，特此根据纽约州法律并依照纽约州竞技委员会的规章制度提出申请。本人了解，纽约州州务院、纽约州竞技委员会经审查可能批准或拒绝此申请；并且，提交此申请并不授予开展执照范围内相关活动的任何权利或特权。本人确认，本确认书所含信息完全属实，否则将接受伪证处罚。本人了解并同意，填写虚假信息可能招致刑事和行政处罚。本人还了解并同意，如果所填信息发生改变，本人将立即修正本执照申请表，并将修正后的申请表提交至纽约州州务院和纽约州竞技委员会。本人了解，根据此申请颁发的任何执照均不可转让。

4) 筹办人申请确认：

本人即下方签字人，已提交所需的保证金并支付所需的申请费用，特此根据纽约州法律并依照纽约州竞技委员会的规章制度提出申请。本人了解，纽约州州务院、纽约州竞技委员会经审查可能批准或拒绝此申请；并且，提交此申请并不授予开展执照范围内相关活动的任何权利或特权。本人确认，本确认书所含信息完全属实，否则将接受伪证处罚。本人了解并同意，填写虚假信息可能招致刑事和行政处罚。本人还了解并同意，如果所填信息发生改变，本人将立即修正本执照申请表，并将修正后的申请表提交至纽约州州务院和纽约州竞技委员会。本人了解，根据此申请颁发的任何执照均不可转让。

打印申请人姓名

X

申请人签名

请在您的申请表后随附以下材料：

- 政府颁发的有效附照身份证复印件
- 商务文件（对于纽约州境外成立的企业，需提交状态证明；对于以化名备案的企业，需提交化名或 DBA 证明的核证复印件）
- 如果您在本申请表“企业背景信息”、“负责方信息”、“负责方背景信息”和“财务信息”部分的问题下回答“是”，请提供相关支持材料
- 目前已经与您签署经纪合同的格斗选手名单（适用于经纪人执照申请者）
- 竞技运动简历和证明人列表及其联系信息
- 申请筹办人执照所需的保证金
- 申请费用（通过支票或汇票支付）
- 政府颁发的有效附照身份证复印件

\$20,000 Boxing and Mixed Martial Arts Promoter Bond

Know All Men by These Presents,

That we, _____, of
(Name of individual Promoter or Promotional Entity, as applicable)

_____ of the City of _____, County of _____ and State
(Street and Number)

of New York, as principal, and _____, a corporation duly authorized to issue surety
(Name of Surety Company)
bonds in the State of New York, and whose principal office is located at, _____,

_____ as surety are held and firmly bound unto
the People of the State of New York in the sum of twenty thousand dollars, lawful money of the United States of America, to be paid
to the People of the State of New York, their attorney or assigns, for the payment of which, well and truly to be made, we bind
ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

IN WITNESS WHEREOF, we have hereunto set our hands this _____ day of _____.

WHEREAS, the above named principal has made, or is about to make, application for a license to conduct, hold or give boxing or
mixed martial arts matches or exhibitions pursuant to the provisions of Chapter 32 of the Laws of 2016, being an Act entitled, "AN
ACT to amend the general business law, the tax law, and the alcoholic beverage control law, in relation to authorized combative sports;
and to repeal chapter 912 of the laws of 1920, relating to the regulation of boxing, sparring, and wrestling": as amended; and

WHEREAS, said act provides, in part, that each promoter shall execute and file with the Secretary of State, a bond in the sum of
twenty thousand dollars (\$20,000), conditioned for and guaranteeing the payment of professionals' purses, salaries of club employees
licensed by the commission, and the legitimate expenses of printing tickets and all advertising material.

NOW, THEREFORE, the condition of this obligation is such that, if the said principal shall well and truly pay all purses, all
salaries of club employees licensed by the commission, all legitimate expenses of printing tickets and all advertising material
during the term of the aforesaid license, then this obligation to be void; otherwise to remain in full force and effect.

(Principal - Name of individual Promoter or Promotional Entity)

By: _____
(Title - Printed Name)

(Signature of Principal)

(Surety - Name of Company)

By: _____
(Signature of Surety Company)

(Acknowledgment of Principal)

STATE OF _____, }

COUNTY OF _____, }ss.:

On this _____ day of (month) _____, (year) _____, before me, personally appeared _____
_____, to me known or proved to me on the basis of satisfactory evidence, who, being by me duly
(Name of Signatory)

sworn, did depose and say that s/he resides/conducts business at _____; that
(Address of Residence/Business)

s/he is the _____ of _____; the entity described herein and which
(Title of Signatory, if applicable) (Name of Promotional business entity, if applicable)

executed the instrument and s/he acknowledged to me that s/he executed the same for the purposes therein mentioned or that s/he
knows the seal of said entity; that the seal affixed to said instrument is such entity seal; that it was so affixed by the order of the Board
of Directors of said corporation; and that s/he signed his/her name thereto by like order.

Subscribed and sworn to before me
this _____ day of _____.

(Notary Public)

\$20,000 Boxing and Mixed Martial Arts Promoter Bond

(Acknowledgment of Surety)

STATE OF NEW YORK, }
COUNTY OF _____}ss.:

On this _____ day of _____, _____, before me personally appeared _____, to me personally known, who, being by me duly sworn, did depose and say that s/he resides in _____; that s/he is the _____ of the _____ the corporation described in and which executed the within instrument; that by order of the board of directors of said corporation s/he signed his/her name thereto and said _____ further says that s/he is acquainted with _____ and knows him/her to be the _____ of the said corporation; that the signature of the said _____ subscribed to the within instrument is in the genuine handwriting of the said _____ and was subscribed thereto by like order of the board of directors in the presence of him/her the said _____.

Subscribed and sworn to before me, this _____ day of _____, _____.

Notary Public
Commissioner of Deeds

INSTRUCTIONS

The provisions of the General Business Law governing the licensing of the business given on the face of this bond are such that before a license can be issued the approved surety bond must be on file with the Department of State.

The bond must be written, executed, acknowledged and approved before a filing can be accepted and must also bear a date with the effective date of the license when issued. To accomplish this, applicants would arrange with the surety company to have the contract of guaranty written on a conditional basis, so that it can be updated to become effective on the date of issuance of the license applied for.

\$10,000 Boxing and Mixed Martial Arts Promoter Bond

Know All Men by These Presents,

That we, _____, of _____, of
(Name of individual Promoter or Promotional Entity, as applicable)
_____ of the City of _____, County of _____ and State
(Street and Number)
of New York, as principal, and _____, a corporation duly authorized to issue surety
(Name of Surety Company)
bonds in the State of New York, and whose principal office is located at, _____,

_____, as surety are held and firmly bound unto the
People of the State of New York in the sum of ten thousand dollars, lawful money of the United States of America,
to be paid to the People of the State of New York, their attorney or assigns, for the payment of which, well and truly to be made, we
bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

IN WITNESS WHEREOF, we have hereunto set our hands this _____ day of _____, _____.

WHEREAS, the above named principal has made, or is about to make, application for a license to conduct, hold or give boxing or
mixed martial arts matches and exhibitions pursuant to the provisions of Chapter 32 of the Laws of 2016, being an Act entitled, "AN
ACT to amend the general business law, the tax law, and the alcoholic beverage control law, in relation to authorized combative
sports; and to repeal chapter 912 of the laws of 1920, relating to the regulation of boxing, sparring, and wrestling": as amended; and

WHEREAS, said act provides, in part, that each such promoter shall execute and file with the Secretary of State, a bond determined
by the State Athletic Commission in the sum of ten thousand dollars (\$10,000), conditioned for and guaranteeing, the faithful
performance by said promoter of the provisions of the act and the rules and regulations of the State Athletic Commission.

NOW, THEREFORE, the condition of this obligation is such that, if the said principal shall well, truly and faithfully perform
and execute the provisions of the act and the rules and regulations of the State Athletic Commission during the term of the aforesaid
license, then this obligation to be void; otherwise to remain in full force and effect.

(Principal - Name of Promoter)

By: _____
(Title - Printed Name)

(Signature of Principal)

(Surety - Name of Company)

By: _____
(Signature of Surety Company)

(Acknowledgment of Principal)

STATE OF _____, }
COUNTY OF _____, }ss.:

On this _____ day of (month) _____, (year) _____, before me, personally appeared _____
_____, to me known or proved to me on the basis of satisfactory evidence, who, being by me duly
(Name of Signatory)
sworn, did depose and say that s/he resides/conducts business at _____; that
(Address of Residence/Business)

s/he is the _____ of _____; the entity described herein and which
(Title of Signatory, if applicable) (Name of business entity, if applicable)
executed the instrument and s/he acknowledged to me that s/he executed the same for the purposes therein mentioned or that s/he knows
the seal of said entity; that the seal affixed to said instrument is such entity seal; that it was so affixed by the order of the Board of
Directors of said corporation; and that s/he signed his/her name thereto by like order.

Subscribed and sworn to before me
this _____ day of _____, _____.

(Notary Public)

\$10,000 Boxing and Mixed Martial Arts Promoter Bond

(Acknowledgment of Surety)

STATE OF NEW YORK, }
COUNTY OF _____}ss.:

On this _____ day of _____, _____, before me personally appeared _____, to me personally known, who, being by me duly sworn, did depose and say that s/he resides in _____; that s/he is the _____ of the _____ the corporation described in and which executed the within instrument; that by order of the board of directors of said corporation s/he signed his/her name thereto and said _____ further says that s/he is acquainted with _____ and knows him/her to be the _____ of the said corporation; that the signature of the said _____ subscribed to the within instrument is in the genuine handwriting of the said _____ and was subscribed thereto by like order of the board of directors in the presence of him/her the said _____.

Subscribed and sworn to before me, this _____ day of _____, _____.

Notary Public
Commissioner of Deeds

INSTRUCTIONS

The provisions of the General Business Law governing the licensing of the business given on the face of this bond are such that before a license can be issued the approved surety bond must be on file with the Department of State.

The bond must be written, executed, acknowledged and approved before a filing can be accepted and must also bear a date with the effective date of the license when issued. To accomplish this, applicants would arrange with the surety company to have the contract of guaranty written on a conditional basis, so that it can be updated to become effective on the date of issuance of the license applied for.

\$20,000 Wrestling Promoter Bond

Know All Men by These Presents,

That we, _____, of _____, of New York, as principal, and _____, a corporation duly authorized to issue surety bonds in the State of New York, and whose principal office is located at, _____,

as surety are held and firmly bound unto the People of the State of New York in the sum of twenty thousand dollars, lawful money of the United States of America, to be paid to the People of the State of New York, their attorney or assigns, for the payment of which, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

IN WITNESS WHEREOF, we have hereunto set our hands this _____ day of _____, _____.

WHEREAS, the above named principal has made, or is about to make, application for a license to conduct, hold or give professional wrestling exhibitions pursuant to the provisions of Chapter 32 of the Laws of 2016, being an Act entitled, "AN ACT to amend the general business law, the tax law, and the alcoholic beverage control law, in relation to authorized combative sports; and to repeal chapter 912 of the laws of 1920, relating to the regulation of boxing, sparring, and wrestling": as amended; and

WHEREAS, said act provides, in part, that each promoter of professional wrestling shall execute and file with the State Comptroller, a bond in the sum of twenty thousand dollars (\$20,000), conditioned for and guaranteeing, purses, salaries of club employees licensed by the commission, the legitimate expenses of printing tickets and all advertising material, payments to sponsoring organizations, and the applicable state and local sales and compensating use tax.

NOW, THEREFORE, the condition of this obligation is such that, if the said principal shall well and truly pay all purses, all salaries of club employees licensed by the commission, all legitimate expenses of printing tickets and all advertising material during the term of the aforesaid license, then this obligation to be void; otherwise to remain in full force and effect.

(Principal - Name of individual Promoter or Promotional Entity)

By: _____
(Title - Printed Name)

(Signature of Principal)

(Surety - Name of Company)

By: _____
(Signature of Surety Company)

(Acknowledgment of Principal)

STATE OF _____, }

COUNTY OF _____, }ss.:

On this _____ day of (month) _____, (year) _____, before me, personally appeared _____, to me known or proved to me on the basis of satisfactory evidence, who, being by me duly

sworn, did depose and say that s/he resides/conducts business at _____; that

s/he is the _____ of _____; the entity described herein and which

executed the instrument and s/he acknowledged to me that s/he executed the same for the purposes therein mentioned or that s/he knows the seal of said entity; that the seal affixed to said instrument is such entity seal; that it was so affixed by the order of the Board of Directors of said corporation; and that s/he signed his/her name thereto by like order.

Subscribed and sworn to before me this _____ day of _____, _____.

(Notary Public)

\$20,000 Wrestling Promoter Bond

(Acknowledgment of Surety)

STATE OF NEW YORK, }
COUNTY OF _____}ss.:

On this _____ day of _____, _____, before me personally appeared _____, to me personally known, who, being by me duly sworn, did depose and say that s/he resides in _____; that s/he is the _____ of the _____ the corporation described in and which executed the within instrument; that by order of the board of directors of said corporation s/he signed his/her name thereto and said _____ further says that s/he is acquainted with _____ and knows him/her to be the _____ of the said corporation; that the signature of the said _____ subscribed to the within instrument is in the genuine handwriting of the said _____ and was subscribed thereto by like order of the board of directors in the presence of him/her the said _____.

Subscribed and sworn to before me, this _____ day of _____, _____.

Notary Public
Commissioner of Deeds

No. _____.

STATE ATHLETIC COMMISSION

BOND
OF THE

OF

TO

The People of the State of New York

APPROVED AS TO FORM:

Attorney-General

APPROVED:

Deputy-Comptroller

Filed: _____.

Regulations Governing Execution of Surety Company Bonds.

I. Proof of the execution of an instrument executed by a corporation, must be by acknowledgment of officer authorized to execute the same by the board of directors of the corporation. Such acknowledgment must be under oath showing genuineness of signature of each officer executing the bond and that the signatures were attached by order of the board of directors.

II. Appointment of resident officers or attorneys in fact, authorized to execute bonds, if made by board of directors, should be shown by certified copy of resolution making such appointments. If made by officers of company pursuant to resolution of board of directors, appointment may be shown by certified copy of such resolution, accompanied either,

- (a) By original appointment, duly acknowledged, made pursuant to such resolution, or
- (b) By copy of such original appointment, with copy of acknowledgment, certified by the secretary.

III. Contracts of guaranty of the performance of an undertaking of a principal, should bear even date with the date of the principal undertaking, and should be executed after and not before execution of the undertaking by the principal.

IV. The offices of vice-president, second vice-president, and resident vice-president, are three distinct officers. The offices of secretary, assistant secretary, acting secretary, resident secretary, and resident assistant secretary are five and not one. Papers should be executed and acknowledged by authorized officer in his/her own proper name and title.

V. To meet the requirements of the Insurance Law, bonds should be accompanied by sworn statement, showing the capital and surplus of the company. A statement showing the "surplus and undivided profits" in a lump sum, will not be accepted as a compliance with this rule.

VI. In determining the limitation of risk prescribed in the Insurance Law, personal bonds of indemnity to the surety company will not be considered.