

**NYS Department of State
Division of Consumer Protection
Utility Ratemaking Consulting and Regulatory Accounting
Request for Proposals #11-DCP-04**

Questions & Answers

The responses to questions included herein are the official responses by the State to questions submitted by potential applicants and are hereby incorporated into RFA # 11-DCP-04 issued on September 12, 2011. In the event of any conflict between the RFA and these responses, the requirements or information contained in these responses will prevail.

Q1. Is there detail information available regarding the prior firm that performed the engagement?

A1. The organization that previously held this competitive contract is as follows:

<http://www.larkin-associates.net/>

**Larkin & Associates, PLLC
15728 Farmington Road
Livonia, Michigan 48154
(734) 522-3420**

Q2. How can we obtain the Conflict of Interest Policies and Procedures applicable to performance under the contract contemplated in **RFP# 11-DCP-04**?

A2. Section 6.15 refers to sections Public Officer Law Sections 73 and 74. Please see the following links for additional information:

<http://www.nyintegrity.org/law/ethc/POL74.html>

<http://www.nyintegrity.org/law/ethc/POL73.html>

Q3. Are there Quality Controls and Procedural Guidelines that are detailed regarding performance of **RFP #11- DCP-04**?

A3. See Section 5, Scope of Work on page 14 of the RFP. This section addresses the responsibilities of the parties and the procedure for which work will be performed and reported upon.