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OFFICE OF COUNSEL
DEPARTMENT OF STATE

STATE OF NEW YORK
DEPARTMENT OF STATE

In the Matter of the Alleged Violations of the
Do Not Call Registry

CONSENT ORDER

- by-

0716 - DNC - 13
0058 - DNC - 14
2013-0716
2014-0058

MAXIMUM SERVICES, INC.

1. The New York State Department of State, Division of Licensing Services (the "Department") is a department of the State of New York charged with administration and enforcement of General Business Law § 399-z and 21 New York Codes, Rules and Regulations (NYCRR) Parts 4602 and 4603.
2. An enforcement action and proceeding against **MAXIMUM SERVICES, INC.**, ("Company") was initiated based upon complaints received by the Department alleging violations of the Do Not Call Registry, in violation of General Business Law § 399-z and 21 New York Codes, Rules and Regulations (NYCRR) Parts 4602 and 4603.
3. The Department alleges that Company has apparently violated New York General Business Law (GBL) Section 399-z and 21 NYCRR Parts 4602 and 4603 by making no less than fourteen (14) unsolicited telemarketing sales calls to thirteen (13) consumers whose telephone numbers were validly registered on the National Do Not Call Registry.
4. Company has been advised of their right to be represented by counsel, and has elected to represent themselves *pro se*.
5. By executing this Consent Order, Company affirmatively waives the right to a hearing in the manner provided by law, consents to the issuance and entry of this Order and agrees to be bound by the terms, provisions and conditions contained herein.
6. Company enters into this agreement in order to resolve a disputed matter. Company affirms that all violations alleged in the Department's Notice of Apparent Liability, regarding a pattern of business practices which allegedly violated the relevant sections of the General Business Law have been, or will be rectified, in accordance with the terms set forth herein:

To resolve the Department's allegations that the Company made no less than fourteen (14) unsolicited telemarketing sales calls to thirteen (13) consumers whose telephone numbers were validly registered on the National Do Not Call Registry, in violation of New York General Business Law (GBL) Section 399-z and 21 NYCRR Parts 4602 and 4603 the Company agrees to a settlement payment in the amount of \$500 per alleged call, for a total payment due of **\$7,000.00**.

NOW, in consideration of the above premises, it is **ORDERED**:

1. That Company shall execute and forward the within Consent Order to the NYS Department of State, Attn: David A. Mossberg, Esq., NYS Dept. of State, 123 William St., 20th FL., New York, NY 10038, so that the original of same is received on or before August 29, 2014, or this agreement shall be considered void and of no effect.
2. That Company shall pay the Department the amount of \$7,000.00, being due in accordance with the schedule set forth below in this Section, with payment to be in the form of attorney's check, cashier's/certified check or money order, made payable to the New York State Department of State. Failure to submit an attorney's check, certified/cashier's check or money order in the stated first payment amount, together with an original fully executed copy of this Consent Order will render the offer set forth herein null and void;

1. Payment I: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: David A. Mossberg, Esq, 123 William Street, 20th Floor, New York, NY 10038 and received on or before August 29, 2014;

2. Payment II: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before September 30, 2014;

3. Payment III: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before October 31, 2014;

4. Payment IV: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before November 28, 2014;

5. Payment V: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before December 31, 2014;

6. Payment VI: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before January 30, 2015;

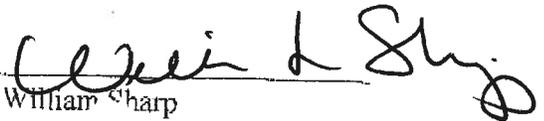
7. Payment VII: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before February 27, 2015;

8. Payment VIII: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before March 31, 2015;
9. Payment IX: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before April 30, 2015;
10. Payment X: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before May 29, 2015;
11. Payment XI: \$583.33 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before June 30, 2015;
12. Payment XII: \$583.37 shall be delivered to the following address: NYS Department of State, Division of Licensing Services, Attn: Norma Rosario, One Commerce Plaza, 99 Washington Avenue, 5th Fl., Albany, NY 12231 and received on or before July 31, 2015.
3. That upon execution of this Order by the Secretary of State or his designee, the disciplinary file involving the referenced telemarketing calls, being pursued by virtue of issuance of a Notice of Apparent Liability in this matter, shall be terminated, withdrawn and discontinued with prejudice with respect to Company in accordance with the terms hereof.
4. That the Consent Order herein expressly depends upon representations made by the Company, both oral or written, formal or informal which relate to the subject matter of the Consent Order and that such representations have fully, accurately and truthfully disclosed all relevant facts to the Department of State. If any such representation is determined to be limited, inaccurate or untruthful, the Department of State shall not be bound by the terms herein and shall initiate any action it deems appropriate in the administrative, civil or criminal prosecution of the Company.
5. The effective date of this Order shall be the date on which the Secretary of State or his designee signs the same and shall expire when Company has fully complied with the requirements of this Order. The Department will provide Company or Company's counsel with a fully executed copy of this Order as soon as practicable after the Secretary of State signs the same.
6. The failure of Company to comply fully and in a timely fashion with any provision of this Consent Order shall constitute a default and a failure to perform an obligation under this Consent Order. Such default shall result in an administrative hearing for the purpose of determining whether additional penalties should be imposed due to Company's Default and failure to perform an obligation under this Order.

7. This Order constitutes the entire agreement of the parties and no provision of the agreement shall be deemed waived or otherwise modified except as is specifically set forth in a writing executed by the Secretary of State or his designee indicating intent to modify this Order.
8. Nothing contained in this Order shall be construed as barring, diminishing, adjudicating or in any way affecting (1) any legal, administrative or equitable rights or claims, actions, suits, causes of action or demand whatsoever that the Department may have against anyone other than Company or its officers, directors, employees and own. relating to the subject matter of this Order; (2) any right of the Department to enforce administratively or at law or in equity, the terms, provisions and conditions of this Order; (3) any right of the Department to bring any future action, either administrative or judicial for any other violations of the General Business Law or conditions contained in orders if any, issued by the Department to Company.

Dated: 10/31/14
Albany, New York

NEW YORK STATE
DEPARTMENT OF STATE

By: 
William Sharp
Principal Attorney

