Hearing Aid Dispenser Application — Individual Registrant
Temporary Certification of Registration and Examination

Read the instructions carefully before completing the application. Incomplete applications will be returned, delaying licensure. Any omission, inaccuracy or failure to make full disclosure in an application or supporting documentation may be deemed sufficient reason to deny a license, or, if a license is issued could result in the suspension or revocation of a license.

What is in this package?
This application package includes all the information and forms you will need to apply for registration as a hearing aid dispenser, the examination, and temporary registration. A completed application will include the three-page application form; the appropriate fee (outlined on page 3 and on the application form), and any requested documentation.

Who needs to apply for a hearing aid dispenser registration?
Any individual engaged in the dispensing of hearing aids must register with the Secretary of State. The General Business Law [Art. 37-A, §789(8)] defines a hearing aid dispenser as “any person 21 years of age or older or an audiologist licensed under ... the Education Law [Art. 159] who is engaged in the dispensing of hearing aids ...”

Any person participating in a traineeship program for the dispensing of hearing aids must also be registered. A trainee is any person 21 years of age or older who, upon receipt of a temporary registration, is studying hearing aid dispensing full-time in a program under the supervision and training of a registered dispenser.

A hearing aid dispenser registration does not allow you to operate a business; a separate business registration is needed.

What qualifications and supporting documents do I need to apply for registration?
You can apply based on any of the following categories:

1. Audiologists:
Audiologists licensed under Article 159 of the Education Law who engage in the dispensing of hearing aids must register with the Secretary of State.

   A) Audiologists with experience:
   If you have proof of experience in the dispensing of hearing aids in New York State immediately preceding January 1, 2000 you must submit:
   
   — a completed application with Item 1A checked off;
   — a nonrefundable $150 application fee;
   — proof of experience in the dispensing of hearing aids in New York State immediately preceding 1/1/2000; and
   — a copy of your current license as an audiologist.

Acceptable proof of experience:
In order to qualify for a hearing aid dispenser registration without exam, you must provide proof of at least 6 months experience in the dispensing of hearing aids. The term, “dispensing of hearing aids” includes the selecting, selling, renting, adopting, or servicing of hearing aids.

Proof of experience of dispensing hearing aids may be demonstrated as follows:

   — By your affidavit detailing the scope, nature and duration of your hearing aid dispensing experience.
   — A copy of your audiologist license from the NYS Department of Education.
   — A copy of your hearing aid dealer license (if any).
   — Corroborating affidavits (references) from employers, associates, colleagues, or clients indicating the nature and scope of your hearing aid dispensing activities.
   — Other corroborating proof of experience which may include relevant portions of your tax return, 1099's, W2's, contractual documents showing the hearing aid transactions, or receipts.

B) Audiologists without experience:
If you do not have experience dispensing hearing aids, you will be waived from the written examination, course of instruction and 12-month training program, but you will be required to take the practical test of proficiency. To apply for registration you must submit:

   — a completed application with Item 1B checked off;
   — a nonrefundable $200 fee (to cover the $150 application fee and the $50 fee for the practical test of proficiency only); and
   — a copy of your current license as an audiologist.
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NOTE:

- Temporary Certificate of Registration is not available.
- You must pass the practical test of proficiency. Once you pass that test, a permanent registration will be mailed to you.

Attention Audiology clinical fellowship year candidates: If you wish to dispense hearing aids in a clinical setting as a CFY, you must apply for one temporary license, while you are in your traineeship. Select category 2 on this application. (Additionally, the clinic in which you work must be registered as a hearing aid dispenser business.)

NOTE:

- Contact the Bureau of Educational Standards at 518-486-3803 for a school application.

Once you are in possession of your audiologist license, you may apply for a hearing aid dispenser registration. Select category 1B on the hearing aid dispenser application. You will then be scheduled for a practical examination, as soon as practicable. Upon passage of the exam, you will be issued a hearing aid dispenser registration.

2. First time applicant (first temp):

If you are a first time applicant, you may apply for your first Temporary Certificate of Registration by submitting:

- a completed application with Item 2 checked off and the Employer’s Affidavit section completed and signed by the supervising registered hearing aid dispenser; and
- a nonrefundable $30 fee for a Temporary Certificate of Registration.

- You must have a high school diploma or its equivalent.
- In addition to the above, in accordance with §790(1)(a)(ix) of Article 37-A of the General Business Law, applicants applying on or after January 1, 2003, must include proof of completion of either a 2-year degree or 60 credit hours. Acceptable proof is a copy of a diploma or an original school transcript.

Upon issuance of your Temporary Certificate of Registration, you will be referred to as a trainee.

NOTE:

- You must complete a 12-month training program under the direct supervision of a registered dispenser, complete a state-mandated course of instruction and pass written and practical examinations.

3. First time applicant (additional temp):

The term of registration is two years except a Temporary registration for a traineeship is valid for one year. A temporary

If you are a trainee applying for an additional Temporary Certificate of Registration, you must submit:

- a completed application with Item 3 checked off and the Employer’s Affidavit section completed and signed by the supervising registered hearing aid dispenser;
- a nonrefundable $30 fee for a Temporary Certificate of Registration.

4. Trainee—applying for exam:

If you are a trainee who has completed six months of supervised training and the course of instruction who wishes to take the exams, you must submit:

- a completed application with Item 4 checked off;
- a nonrefundable $50 exam fee (the $50 fee that accompanies this first application will be applied to both the practical and written exams; after that, the fee is $50 per exam);
- the course and six-month training Certificates which entitle individuals to take the practical test of proficiency and the written exams.

5. Trainee—applying for a full registration:

If you are a trainee who has completed your training, course of instruction and passed both exams, you may apply for a hearing aid dispenser registration by submitting:

- a completed application with Item 5 checked off;
- a nonrefundable $150 application fee; and
- the completed Certificate of 12 months of Training in Hearing Aid Dispensing issued by the registered hearing aid dispenser.

How many times can a first time applicant with no previous experience (trainee) with a temporary registration take the exam?

An individual is allowed three attempts to pass the written and practical exams. Failure to pass the exams within three attempts will require the trainee to restart the application process — including submitting a new application form to the Department of State, retaking the course of instruction and completing the traineeship program under the direct supervision of a registered hearing aid dispenser.

What are the fees, terms of registration?

- $50 First-time fee for both the Written Examination and the Practical Test of Proficiency;
- $150 Individual certificate of registration as a hearing aid dispenser; and
- $30 Temporary certificate of registration. A certificate of registration may be reissued only (again, for a period of one year), upon written verification of continued supervision by a registered hearing aid dispenser.
**What forms of payment do you accept?**

You may pay by check or money order made payable to the Department of State or by MasterCard or Visa, using a credit card authorization form. Do not send cash. Application and examination fees are nonrefundable. A $20 fee will be charged for any check returned by your bank.

**I know that trainees need a course of instruction. Does this course need to be taught by an approved instructor?**

Yes, the course of instruction which is actually two components (a theory portion and a practical portion) must be taught by an individual who has been deemed an approved instructor by the Department of State, Bureau of Educational Standards. If an individual is going to teach either portion of these components s/he must submit a completed instructor application (available from the Bureau of Educational Standards), a resume, and a $25 fee to that unit for processing. Hearing aid dispensers who want to provide the course of instruction to their trainee(s) need to obtain approval before they can instruct. To be approved, the dispenser needs 3 years of experience in the dispensing of hearing aids or a field directly related to hearing aid dispensing.

**Where can I obtain a copy of the hearing aid dispenser course of instruction?**

You may contact the Department of State, Bureau of Educational Standards, to obtain the most current copy of the curriculum.

**Are there any continuing education requirements?**

Yes. As a condition of renewing your hearing aid dispenser registration, you will be required to have completed the following prior to renewal:

- **Dispensers:** 20 hours of Department of State approved continuing education credit including two hours on infection control and one (1) hour on NYS and Federal Law, regulations and professional conduct.
- **Audiologists:** Four hours of hearing aid dispenser related topics including at least two (2) hours on the topic of infection control and one (1) hour on NYS and Federal Law, regulations and professional conduct. Such hours may be earned in satisfaction of continued licensure under Article 159 of the Education Law as long as the registrant demonstrates proof of completion of the required topics.

**Can I obtain a Hearing Aid Registration based on my licensure in another state?**

Perhaps. We will review application requests from individuals submitting proof of current licensure from another state where requirements are equivalent or higher.

**Do I need to provide my Social Security and Federal ID numbers on the application?**

Yes, if you have a social security number or Federal ID number, you are required to provide this number. If you do not have a social security number or a Federal ID number, please provide a written explanation.

The Department of State is required to collect the federal Social Security and Employer Identification numbers of all licensees. The authority to request and maintain such personal information is found in §5 of the Tax Law and §3-503 of the General Obligations Law. Disclosure by you is mandatory. The information is collected to enable the Department of Taxation and Finance to identify individuals, businesses and others who have been delinquent in filing tax returns or may have underestimated their tax liabilities and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. It will be used for tax administration purposes and any other purpose authorized by the Tax Law and may also be used by child support enforcement agencies or their authorized representatives of this or other states established pursuant to Title IV-D of the Social Security Act, to establish, modify or enforce an order of support, but will not be available to the public. A written explanation is required where no number is provided. This information will be maintained in the Licensing Information System by the Director of Administration and Management, at One Commerce Plaza, 99 Washington Avenue, Albany, NY 12231-0001.

**WOULD YOU LIKE TO REGISTER TO VOTE?**

Please visit the NY State Board of Elections at [www.elections.ny.gov/votingregister.html](http://www.elections.ny.gov/votingregister.html) or call 1-800-FOR-VOTE to request a NYS Voter Registration form.

To register online, please visit [www.ny.gov/services/register-vote](http://www.ny.gov/services/register-vote).
**Hearing Aid Dispenser Application – Individual Registrant**

**Temporary Certification of Registration and Examination**

1) **I am a licensed Audiologist:**
   - **☐ A) with experience** in the dispensing of hearing aids in New York State immediately preceding January 1, 2000. **Fee due:** $150
   - **☐ B) with no experience. Fee due:** $200 (includes $150 application fee and $50 examination fee)

2) **☐ I am a first-time applicant applying for a first Temporary Certificate of Registration.**
   - Are you currently an audiology student? **Yes ________  No ________**
   - Fee due is $30

3) **☐ I am a trainee/first-time applicant applying for an additional Temporary Certificate of Registration.**
   - Are you currently an audiology student? **Yes ________  No ________**
   - Fee due is $30

4) **☐ I am a trainee who has completed 6 months of supervised training and the course of instruction and is now apply for an exam.**
   - Fee due: $50 (exam fee)

5) **☐ I am a trainee who has completed my training and course of instruction and passed both the written and practical exams. I am now applying for a aid dispenser registration. Fee due: $150 (Individual Registration)**

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**Social Security Number (See Privacy Notification)**

**Federal I.D. Number (See Privacy Notification)**

**Daytime Phone (Optional; if problem with application)**

**E-mail Address (If any)**
1. Have you ever been issued a regular or temporary NYS hearing aid dispenser license?  
➔ If YES, ☑ appropriate box below and specify dates and unique ID number:

☐ Temporary License  From ___________ To ___________ UID __________________________

☐ Regular License  From ___________ To ___________ UID __________________________

2. Have you ever been convicted in this state or elsewhere of any criminal offense that is a misdemeanor or a felony?  
➔ If YES, submit a written explanation giving the place, court jurisdiction, nature of the offense, sentence and/or other disposition. You must provide a copy of the accusatory instrument (e.g., indictment, criminal information or complaint) and a Certificate of Disposition. If you possess or have received a Certificate of Relief from Disabilities, Certificate of Good Conduct or Executive Pardon, you must submit a copy with this application.

3. Are there any criminal charges (misdemeanors or felonies) pending against you in any court in this state or elsewhere?  
➔ If YES, you must provide a copy of the accusatory instrument (e.g., indictment, criminal information or complaint).

4. Has any license or permit issued to you or a company in which you are or were a principal in New York State or elsewhere ever been revoked, suspended or denied?  
➔ If YES, you must provide all relevant documents, including the agency determination, if any.

5. Do you have a high school diploma or its equivalent?

6. Do you have a two-year degree or 60 credit hours and have enclosed proof (copy of a diploma or an original school transcript)? (Not applicable to licensed audiologists.)

7. What is your date of birth? ________________________

8. If you hold a valid hearing aid dispenser’s license or registration in another state, indicate which state:

EMPLOYER’S AFFIDAVIT — All applicants (except licensed audiologists) must have their sponsoring dispenser sign this affidavit.

I, ____________________________, a registered hearing aid dispenser, hereby state that I am sponsoring the above-named individual.

Sponsoring Dispenser’s Signature __________________________________________ Date ______________

PRINT Sponsor’s Name: ______________________________________________________

Business Name: ____________________________________________________________

Business Address: __________________________________________________________

Sponsor’s UID: ______________________________________________________________

ALL APPLICANTS—Business Location (Employees: place of employment)

BUSINESS NAME

BUSINESS ADDRESS — NUMBER AND STREET

CITY __________________________ STATE __________ ZIP + 4 ______________________

COUNTY ______________________

BUSINESS UID# (If known) ______________________________________________________

DOS 1433-a (Rev. 04/18)
Employees of Not for Profit Corporations: Fee Exemption
Employees of Not for Profit Corporations are exempt from the registration (application) fees as long as the employee of the Not for Profit Corporation is not otherwise engaged in dispensing hearing aids for profit. Employees of Not for Profit Corporations are NOT exempt from the qualifications necessary to dispense hearing aids. They must file an application and comply with all qualifications necessary to receive a hearing aid dispenser registration. Employees of a Not for Profit Corporation are NOT exempt from the exam fees.

Are you an employee of a Not for Profit corporation who is not otherwise engaged in dispensing hearing aids for profit?

☐ YES ☐ NO

If yes, you are waived from the $30 temporary and $150 registration fees. You are NOT exempt from the exam fees.

Child Support Statement

By signing this application, I certify that as of the date of this application, I am not under an obligation to pay child support OR if I am under an obligation to pay child support, I am not four or more months in arrears in the payment of child support, or I am making payments by income execution or by court agreed payment or repayment plan or by plan agreed to by the parties or my child support obligation is the subject of a pending court proceeding, or I am receiving public assistance or supplemental security income.

Applicant Affirmation — I affirm, under the penalties of perjury, that the statements made in this application are true and correct. I further affirm that I have read and understand the provisions of Article 37-A of the General Business Law and the rules and regulations promulgated thereunder.

Applicant’s Signature

X ___________________________ Date ___________________________
Hearing Aid Dispenser Application – Individual

Please remember to include all documentation with this form and the appropriate fees (payable to NYS Department of State). Before you mail your packet to the Department of State, Division of Licensing Services, P.O. Box 22001, Albany, NY 12201-2001, please take a moment to verify what you are mailing using the checklist below:

1) If you are a licensed Audiologist:
   A) with experience in the dispensing of hearing aids in New York State immediately preceding January 1, 2000, include a $150 application fee and proof of current licensure as an audiologist and proof of experience immediately preceding January 1, 2000.

   OR, if you are a licensed Audiologist:

   B) with no experience, include a $200 application fee (to cover the $150 application and $50 exam fee) and a copy of your current audiologist license.

2) If you are a first-time applicant, include a $30 Application fee (for a Temporary Certificate of Registration), proof of completion of either a two-year degree or 60 credit hours (copy of a diploma or an original school transcript), and be sure the Employer’s Affidavit (see page 2) is completed and signed.

3) If you are a trainee applying for an additional Temporary Certificate of Registration, include a $30 fee (for a Temporary Certificate of Registration) and be sure the Employer’s Affidavit (see page 2) is completed and signed.

4) If you are a trainee who has completed 6 MONTHS OF SUPERVISED TRAINING and a course of instruction, include a $50 exam fee and a Certificate of Satisfactory Course Completion (issued either by the Department of State-approved education provider or registered hearing aid dispenser) and the completed Certificate of 6 Months of Training in Hearing Aid Dispensing issued by the registered hearing aid dispenser.

5) For those who are now making application for a hearing aid dispenser application because you completed your training and course of instruction and passed both the written and practical exams, be sure to complete the application and include a $150 application fee and the completed Certificate of 12 Months of Training in Hearing Aid Dispensing issued by the registered hearing aid dispenser.

It is important that you notify this division of any changes to your residential or business address so you can receive renewal notices and any other notifications pertinent to your license.