



Athlete Agent Application

Please take the time to read the instructions in this package carefully before beginning the application form. Incomplete forms will be returned, delaying licensure. Any omission, inaccuracy or failure to make full disclosure in an application may be deemed sufficient reason to deny a registration or could result in the suspension or revocation, if one is issued.

What is in this package?

This package includes everything you need to apply to the Department of State for a certificate of registration as an athlete agent in New York State.

A completed application will include the completely filled out and signed 4-page application; the \$100 application fee for the individual applicant, Attachments A, B, and any other requested documentation.

What is an Athlete Agent?

New York State law (Article 39-E of the General Business Law) defines an athlete agent as any person who enters into an agency contract with a student-athlete or, directly or indirectly, recruits or solicits a student-athlete to enter into an agency contract.

Who is excluded from this law?

Explicitly excluded from registration are spouses, parents, siblings, grandparents or guardians of the student-athlete, or individuals acting solely on behalf of a professional sports team or professional sports organization.

What is the application fee and term of registration?

The nonrefundable application fee for an athlete agent registration is \$100; the registration will be effective for two years.

What forms of payment do you accept?

You may pay by check or money order made payable to the Department of State or charge any fee to MasterCard or Visa, using the enclosed credit card authorization form. Do not send cash. **Application fees are nonrefundable.** A \$20 fee will be charged for any check returned by your bank.

Do I need to complete the Child Support Statement section of the application?

Yes, a Child Support Statement is mandatory in New York State (General Obligations Law). The law requires you to complete this section — regardless of whether or not you have children or any support obligation.

Any person who is four months or more in arrears in child support may be subject to having his or her business, professional and driver's licenses suspended. The intentional submission of a false written statement for the purpose of frustrating or defeating the lawful enforcement of support obligations is punishable under §175.35 of the Penal Law. It is a class E felony to offer a false instrument for filing with a state or local government with the intent to defraud.

PRIVACY NOTIFICATION

Do I need to provide my Social Security and Federal ID numbers on the application?

Yes. The Department of State is required to collect the federal Social Security and Employer Identification numbers of all licensees. The authority to request and maintain such personal information is found in §5 of the Tax Law and §3-503 of the General Obligations Law. Disclosure by you is mandatory. The information is collected to enable the Department of Taxation and Finance to identify individuals, businesses and others who have been delinquent in filing tax returns or may have underestimated their tax liabilities and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. It will be used for tax administration purposes and any other purpose authorized by the Tax Law and may also be used by child support enforcement agencies or their authorized representatives of this or other states established pursuant to Title IV-D of the Social Security Act, to establish, modify or enforce an order of support, but will not be available to the public. A written explanation is required where no number is provided. This information will be maintained in the Licensing Information System by the Director of Administration and Management, at 41 State Street, Albany, NY 12231-0001.

It is important that you notify this office of any changes to your business address so you can receive renewal notices and any other notifications pertinent to your registration.

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NYS Department of State
 DIVISION OF LICENSING SERVICES
 P.O. Box 22001
 ALBANY, NY 12201-2001

You must answer each question and PRINT responses in black or blue ink.

Fee Due: \$100

APPLICANT'S LAST NAME _____

FIRST NAME _____ MIDDLE NAME _____ SUFFIX (E.G., SR/JR/III) _____

HOME ADDRESS - NUMBER AND STREET (P.O. BOX MAY BE ADDED TO ENSURE DELIVERY) _____ APT/UNIT/PO BOX _____

CITY _____ STATE _____ ZIP+4 _____

COUNTY _____

NAME OF BUSINESS OR EMPLOYER _____

PRINCIPAL PLACE OF BUSINESS - STREET ADDRESS (REQUIRED - P.O. BOX MAY BE ADDED TO ENSURE DELIVERY) _____ APT/UNIT/PO BOX _____

CITY _____ STATE _____ ZIP+4 _____

COUNTY _____

DAYTIME PHONE (INCLUDING AREA CODE) _____ FAX NUMBER - IF ANY (INCLUDING AREA CODE) _____

E-MAIL ADDRESS (IF ANY) _____ DATE OF BIRTH _____

SOCIAL SECURITY NUMBER OR FEDERAL TAXPAYER ID (SEE INSTRUCTIONS -PRIVACY NOTIFICATION) _____

A. BACKGROUND QUESTIONS

Answer the following questions by checking either "YES" or "NO"

- | | YES | or | NO |
|---|------------|----|-----------|
| 1. Has there been an administrative or judicial determination that you have made a false, misleading, deceptive or fraudulent representation?
→ IF "YES," you must submit all relevant documents, including the agency determination, if any. | _____ | | _____ |
| 2. Has there ever been an instance in which your conduct resulted in the imposition of a sanction, suspension or declaration of ineligibility to participate in an interscholastic or intercollegiate athletic event on a student-athlete or educational institution?
→ IF "YES," you must submit all relevant documents, including the agency determination, if any. | _____ | | _____ |
| 3. Has there ever been any sanction, suspension or disciplinary action taken against you by a governmental or quasi-governmental licensing entity or adjudicatory process arising out of occupational or professional conduct?
→ IF "YES," you must submit all relevant documents, including the agency determination, if any. | _____ | | _____ |
| 4. Has there ever been any denial of an application for, suspension or revocation of, or refusal to renew the registration or licensure of the applicant or any person named pursuant to athletic program for females, as appropriate?
→ IF "YES," you must submit all relevant documents, including the agency determination, if any. | _____ | | _____ |

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- | | YES | or | NO |
|--|-------|----|-------|
| 5. Have you ever been convicted in this state or elsewhere of a crime or offense that is a misdemeanor or a felony?
→ IF "YES," you must submit with this application a written explanation giving the place, court jurisdiction, nature of the offense, sentence and/or other disposition. You must submit a copy of the accusatory instrument (e.g., indictment, criminal information or complaint) and a Certificate of Disposition. If you possess or have received a Certificate of Relief from Disabilities, Certificate of Good Conduct or Executive Pardon, you must submit a copy with this application. | _____ | | _____ |
| 6. Are there any criminal charges (misdemeanors or felonies) pending against you in any court in this state or elsewhere? .
→ IF "YES," you must submit a copy of the accusatory instrument (e.g., indictment, criminal information or complaint). | _____ | | _____ |
| 7. Has any license, permit, commission, registration or application for a license, permit, commission, or registration held by you or a company in which you are or were a principal or employee in New York State or elsewhere ever been revoked, suspended or denied by any state, territory or governmental jurisdiction or foreign country for any reason?
→ IF "YES," you must submit all relevant documents, including the agency determination, if any. | _____ | | _____ |

B. ADDITIONAL INFORMATION. MUST BE COMPLETED ON ATTACHMENTS

Answer the following questions by checking either "YES or "NO"

- | | YES | or | NO |
|--|-------|----|-------|
| 1. Have you provided the names, addresses, and phone numbers of three individuals not related to you who are willing to serve as references? (See Section C, References) | _____ | | _____ |
| 2. Have you listed all businesses or occupations engaged in for the five years immediately preceding the submission of this application? (See Attachment A, I).. | _____ | | _____ |
| 3. Have you listed all formal, practical experience and educational background relating to your professional activities as an athlete agent? (See Attachment A, II) If none, so state.. | _____ | | _____ |
| 4. Have you listed all financially interested parties? (See Attachment A, III). | _____ | | _____ |
| 5. Have you listed the name, sport and last known team for each individual for whom you acted as an athlete agent during the 5 years next preceding the date of submission of this application? (See Attachment B) If none, so state.. | _____ | | _____ |

C. REFERENCES

Please provide the name, address, and phone number of three individuals not related to you who are willing to serve as references.

1. Name of Person: _____
Address: _____

Phone Number: _____

2. Name of Person: _____
Address: _____

Phone Number: _____

3. Name of Person: _____
Address: _____

Phone Number: _____

D. CHILD SUPPORT STATEMENT

You MUST complete this Child Support Statement. If you do NOT complete it, your application will be returned!

I, the undersigned, do hereby certify that (You must "X" A or B, below):

- A. **I am not under obligation to pay child support.** (SKIP "B" and go directly to **Applicant Affirmation**).
- B. I am under obligation to pay child support (You must "X" any of the four statements below that are true and apply to you):
 - I do not owe four or more months of child support payments.
 - I am making child support payments by income execution or court approved payment plan or by a plan agreed to by the parties.
 - My child support obligation is the subject of a pending court proceeding.
 - I receive public assistance or supplemental social security income.

E. APPLICANT AFFIRMATION (ALL APPLICANTS)

I affirm, under the penalties of perjury, that ALL statements made in this application, including attachments are true and correct. I further affirm that I have read and understand the provisions of Article 39-E of the General Business Law.

X _____
Applicant's Signature

Date Signed

Print Name _____



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I. List all businesses or occupations engaged in for the five years immediately preceding the submission of this application:

PRINT or **TYPE** responses. You may photocopy this sheet as many times as needed.

Business or Occupation:	Dates
_____	_____
_____	_____
_____	_____

Business or Occupation:	Dates
_____	_____
_____	_____
_____	_____

Business or Occupation:	Dates
_____	_____
_____	_____
_____	_____

II. List all formal training, practical experience, and educational background relating to your professional activities as an athlete agent:

If **NONE**, please check this box

PRINT or **TYPE** responses. You may photocopy this sheet as many times as needed.

(1) Formal training as an athlete agent:

(2) Practical experience as an athlete agent:

(3) Educational background relating to the applicant's activities as an athlete agent:

IMPORTANT: All persons that meet the criteria described in Section III Financially interested parties, MUST complete this page. This page can be copied as many times as need. PRINT or TYPE responses.

III. Financially interested parties: All persons: (i) with respect to the athlete agent’s business if it is not a corporation, the partners, members, officers, managers, associates or profit-sharers having an interest of 5% or greater of the business; and (ii) with respect to a corporation employing the athlete agent, the officers, directors and any shareholders of the corporation having an interest of 5% or greater, must provide their name, title, address, and phone number, then answer all of the questions below:

Name of person: _____

Title: _____

Address: _____

City: _____ State: _____ ZIP: _____

Phone number: _____

Answer the following questions by checking either "YES" or "NO"

YES or NO

- 1. Has there been an administrative or judicial determination that you have made a false, misleading, deceptive or fraudulent representation?.....
→IF “YES,” you must submit all relevant documents, including the agency determination, if any. _____
- 2. Has there ever been an instance in which your conduct resulted in the imposition of a sanction, suspension or declaration of ineligibility to participate in an interscholastic or intercollegiate athletic event on a student-athlete or educational institution?.....
→IF “YES,” you must submit all relevant documents, including the agency determination, if any. _____
- 3. Has there ever been any denial of an application for, suspension or revocation of, or refusal to renew the registration or licensure of the applicant or any person named pursuant to athletic program for females, as appropriate?
→IF “YES,” you must submit all relevant documents, including the agency determination, if any. _____
- 4. Have you ever been convicted in this state or elsewhere of a crime or offense that is a misdemeanor or a felony?
→ IF “YES,” submit with this application a written explanation giving the place, court jurisdiction, nature of the offense, sentence and/or other disposition. You must submit a copy of the accusatory instrument (e.g., indictment, criminal information or complaint) and a Certificate of Disposition. If you possess or have received a Certificate of Relief from Disabilities, Certificate of Good Conduct or Executive Pardon, you must submit a copy with this application. _____
- 5. Are there any criminal charges (misdemeanors or felonies) pending against you in any court in this state or elsewhere?
→ IF “YES,” you must submit a copy of the accusatory instrument (e.g., indictment, criminal information or complaint). _____
- 6. Has any license, permit, commission, registration or application for a license, permit, commission, or registration held by you or a company in which you are or were a principal or employee in New York State or elsewhere ever been revoked, suspended or denied by any state, territory or governmental jurisdiction or foreign country for any reason?.....
→IF “YES,” you must submit all relevant documents, including the agency determination, if any. _____

AFFIRMATION (ALL FINANCIALLY INTERESTED PARTIES)

I affirm, under the penalties of perjury, that ALL answers provided in Attachment A are true and correct. I further affirm that I have read and understand the provisions of Article 39-E of the General Business Law.

X

Signature

Date Signed

Print Name: _____

B

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PRINT or TYPE responses. You may photocopy this sheet as many times as needed.

If **NONE**, please check this box

List the name, sport, and last known high school, college, or professional team for each individual for whom you acted as an athlete agent during the 5 years preceding the date of submission of this application:

Name: _____

Sport: _____

Team: _____

Name: _____

Sport: _____

Team: _____