
NEW YORK STATE
REGISTER

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

For notices published in this issue:

- the 60-day period expires on August 25, 2019
- the 45-day period expires on August 10, 2019
- the 30-day period expires on July 26, 2019

**ANDREW M. CUOMO
GOVERNOR**

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NEW YORK STATE DEPARTMENT OF STATE

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NEW YORK STATE REGISTER

Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission
State Capitol
Albany, NY 12247
Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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RULE MAKING ACTIVITIES

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AAM -the abbreviation to identify the adopting agency
01 -the *State Register* issue number
96 -the year
00001 -the Department of State number, assigned upon receipt of notice.
E -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

Department of Civil Service

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-13-19-00001-A

Filing No. 568

Filing Date: 2019-06-06

Effective Date: 2019-06-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the non-competitive class.

Text or summary was published in the March 27, 2019 issue of the Register, I.D. No. CVS-13-19-00001-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-13-19-00002-A

Filing No. 569

Filing Date: 2019-06-06

Effective Date: 2019-06-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 1 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify a position in the exempt class.

Text or summary was published in the March 27, 2019 issue of the Register, I.D. No. CVS-13-19-00002-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-13-19-00004-A

Filing No. 565

Filing Date: 2019-06-06

Effective Date: 2019-06-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To classify positions in the non-competitive class.

Text or summary was published in the March 27, 2019 issue of the Register, I.D. No. CVS-13-19-00004-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

NOTICE OF ADOPTION

Jurisdictional Classification

I.D. No. CVS-13-19-00005-A

Filing No. 564

Filing Date: 2019-06-06

Effective Date: 2019-06-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Appendix 2 of Title 4 NYCRR.

Statutory authority: Civil Service Law, section 6(1)

Subject: Jurisdictional Classification.

Purpose: To add a subheading and to classify a position in the non-competitive class.

Text or summary was published in the March 27, 2019 issue of the Register, I.D. No. CVS-13-19-00005-P.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Jennifer Paul, NYS Department of Civil Service, Empire State Plaza, Agency Building 1, Albany, NY 12239, (518) 473-6598, email: commops@cs.ny.gov

Assessment of Public Comment

The agency received no public comment.

Education Department

PROPOSED RULE MAKING
NO HEARING(S) SCHEDULED

Reports of Child Abuse in an Educational Setting

I.D. No. EDU-26-19-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 100.2(hh), 200.7, 200.20 and Subpart 57-1 of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207, 305, 1125(1)-(10), 1126(1)-(4), 1128(1)-(4), 1132(1)-(4), 3028-b; L. 2018, ch. 363

Subject: Reports of child abuse in an educational setting.

Purpose: To implement the provisions of chapter 363 of the Laws of 2018 relating to reports of child abuse in an educational setting.

Text of proposed rule: 1. Subparagraph (iii) of paragraph (1) of subdivision (hh) of section 100.2 of the Regulations of the Commissioner of Education is amended, as follows:

(iii) additional duties of school administrators and superintendents pursuant to Education Law section 1128-a.

2. Paragraph (2) of subdivision (hh) of section 100.2 of the Regulations of the Commissioner of Education is amended and new paragraphs (3) and (4) shall be added to subdivision (hh) of section 100.2 of the Regulations of the Commissioner of Education as follows:

(2) Training in reporting of child abuse in an educational setting.

(i) For purposes of this paragraph, school shall include a school district, public school, charter school, nonpublic school, board of cooperative educational services, special act school district as defined in Education Law section 4001, approved preschool special education programs pursuant to Education Law section 4410, approved private residential or non-residential schools for the education of students with disabilities including private schools established under Chapter 853 of the Laws of 1976 or State operated or State supported schools in accordance with Articles 85, 87 or 88 of the Education Law.

(ii) Each school [district, each charter school and each board of cooperative educational services] shall establish, and implement on an ongoing basis, a training program regarding the procedures set forth in article 23-B of the Education Law for all current and new teachers, school nurses, school counselors, school psychologists, school social workers, school administrators, other personnel required to hold a teaching or administrative certificate or license, and all persons employed in equivalent titles in a nonpublic school, special act school district as defined in Education

Law § 4100, approved preschool special education program pursuant to Education Law § 4410, approved private residential or non-residential school for the education of students with disabilities including private schools established under chapter 853 of the laws of 1976, or state-operated or state-supported schools in accordance with Education Law articles eighty-five, eighty-seven or eighty-eight, and any school bus driver or supervisor employed by any person or entity that contracts with such school to provide transportation services to children, [and] school board members, licensed and registered physical therapists, licensed and registered occupational therapists, licensed and registered speech-language pathologists, teacher aides, and school resource officers.

[(ii)] (iii) Such program shall include, but is not limited to, training regarding:

(a) the duties of employees specifically enumerated in Education Law, section 1126 upon receipt of an allegation of child abuse in an educational setting, including when and how a report must be made, and what other actions the employee is mandated or authorized to take;

(b) confidentiality of records pursuant to Education Law, section 1127;

(c) duties of school administrators and superintendents upon receipt of a report pursuant to Education Law, section 1128, and the additional duties of superintendents pursuant to Education Law, section 1128-a;

(d) penalties for failure to report and comply pursuant to Education Law, section 1129;

(e) notification by a district attorney pursuant to Education Law, section 1130, and actions taken upon criminal conviction of a licensed or certified school employee pursuant to Education Law, section 1131; and

(f) the prohibition set forth in Education Law, section 1133 with respect to an unreported resignation or voluntary suspension of an employee or volunteer against whom an allegation of child abuse in an educational setting is made[.];

(g) information regarding the physical and behavioral indicators of child abuse and maltreatment;

(h) the statutory requirements for reporting child abuse and maltreatment as set forth in Social Services Law sections 413 through 420, including when and how a report must be made, what other actions the reporter is mandated or authorized to take, the legal protections afforded reporters, and the consequences for failure to report.

(3) Each school shall annually provide to each teacher and all other school officials a written explanation pursuant to section 3028-b of the Education Law concerning the reporting of child abuse in an educational setting, including the immunity provisions of Education Law, section 1126.

(4) For all persons employed on or after July 1, 2019 by a school other than a school district or public school, in titles equivalent to a teacher (e.g., as enumerated in section 80-3.2 of this Title) or in a title equivalent to an administrator (e.g., the educational leadership service titles as defined in section 80-3.10 of this Title), and any school bus driver employed by any person or entity that contracts with a school to provide transportation services to children shall be required to complete two hours of coursework or training regarding the identification and reporting of child abuse and maltreatment.

(i) Such program shall be obtained from an institution or provider that has been approved by the Department in accordance with the provisions of Part 57 of this Title to provide such coursework or training and shall include, but not be limited to, training regarding:

(a) the physical and behavioral indicators of child abuse and maltreatment; and

(b) the statutory reporting requirements set forth in Social Services Law sections 413 through 420, including, but not limited to:

(i) when and how a report must be made;

(ii) what other actions the reporter is mandated or authorized to take;

(iii) the legal protections afforded reporters; and

(iv) the consequences for failing to report.

(ii) Proof of completion of training. Each employee required to complete such training shall provide the school administrator of the school with documentation showing that he or she completed the required training by the later of July 1, 2020 or within 30 days of employment. In addition, each school bus driver shall provide such contracting person or entity with documentation showing that he or she completed the required training. The Department is authorized to request such records on a periodic basis and may publish a list of any persons or schools who are not in compliance with this subdivision on its website.

(iii) The coursework or training required by this paragraph shall not apply to those persons already required to undergo coursework or training regarding the identification and reporting of child abuse and maltreatment pursuant to sections three thousand three and three thousand four of the Education Law.

3. Section 57-1.1 of the Regulations of the Commissioner of Education is amended, as follows:

Subpart 57-1.1 Definition.

As used in this Subpart, a provider shall mean any teachers' or coaches' or professional organization or association, school district, institution of higher education, hospital, health care facility, government agency or office, social service agency, or employer of licensed professionals or of licensed or certified teachers or of coaches, approved by the department to offer coursework or training in the identification and reporting of child abuse and maltreatment, pursuant to sections 1132(3), 3003(4), 3004, 3007, 3036, 5003 and, 6507(3)(a) of the Education Law.

4. Subdivision (a) of section 57-1.4 of the Regulations of the Commissioner of Education is amended, as follows:

(a) Pursuant to the requirements of sections 1132(3), 3003(4), 3004, 3007, 5003, and 6507(3)(a) of the Education Law, a provider, at a minimum, shall offer the syllabus prepared by the department. However, nothing in this section shall preclude providers from offering additional coursework or training which exceeds, or expands upon, the two hour syllabus prescribed by the department.

5. A new paragraph (9) of subdivision (b) of section 200.7 of the Regulations of the Commissioner of Education is added as follows:

(9) *Reporting of child abuse in an educational setting. Schools governed by this section shall comply with the requirements relating to reporting and training relating to child abuse in an educational setting set forth in Article 23-B of the Education Law and child abuse and maltreatment as set forth in Social Services Law 413 through 420 and section 100.2(hh) of this Title.*

6. The opening paragraph of subdivision (a) of section 200.20 of the Regulations of the Commissioner of Education is amended as follows:

(a) The approval and operation of preschool programs for preschool students with disabilities shall be conducted in a manner consistent with section 200.7(a)(1) through (3) and (b)(3), (5) [and], (6), (8) and (9) of this Part, except that the following requirements shall apply...

Text of proposed rule and any required statements and analyses may be obtained from: Kirti Goswami, NYS Education Department, Office of Counsel, 89 Washington Avenue, Room 112 EB, Albany, NY 12234, (518) 474-6400, email: legal@nysed.gov

Data, views or arguments may be submitted to: Christina Coughlin, Assistant Commissioner, NYS Education Department, 89 Washington Avenue, Room 1078 EBA, Albany, NY 12234, (518) 473-8202, email: REGCOMMENTS@nysed.gov

Public comment will be received until: 60 days after publication of this notice.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

1. STATUTORY AUTHORITY:

Education Law section 101 continues existence of Education Department, with Board of Regents as its head, and authorizes Regents to appoint Commissioner of Education as Department's Chief Administrative Officer, which is charged with general management and supervision of all public schools and educational work of State.

Education Law section 207 empowers Regents and Commissioner to adopt rules and regulations to carry out State education laws and functions and duties conferred on the Department.

Education Law section 305(1) and (2) provide Commissioner, as chief executive officer of the State's education system, with general supervision over all schools and institutions subject to the Education Law, or any statute relating to education, and responsibility for executing all educational policies of the Regents.

Education Law section 308 authorizes the Commissioner to enforce and give effect to any provision in the Education Law or in any other general or special law pertaining to the school system of the State or any rule or direction of the Regents.

Education Law section 309 charges Commissioner with general supervision of boards of education and their management and conduct of all departments of instruction.

Education Law section 1125(1)-(6) establish the applicable definitions within Article 23-B relating to the reporting of child abuse in an educational setting.

Education Law section 1126(1), (2), (3) and (4) establish the duties of employees specifically enumerated in section 1126 upon receipt of an allegation of child abuse in an educational setting.

Education Law section 1128(1)-(4) establishes the duties of school administrators and superintendents upon receipt of a written report alleging child abuse in an educational setting.

Education Law section 1132(1)-(4), as amended by Chapter 363 of the Laws of 2018, directs the commissioner to promulgate rules and regulations for training necessary to implement Article 23-B, relating to the

reporting of child abuse in an educational setting, and establishes the training requirements for implementation of the article.

Education Law section 3028-b requires each school to annually provide to each teacher and all other school officials a written explanation concerning the reporting of child abuse in an educational setting, including the immunity provisions of Education Law section 1126.

Chapter 363 of the Laws of 2018 amended the provisions of Article 23-B of the Education Law to expand the individuals, and the educational settings in which they work, who are required to make a report of child abuse in an educational setting and to complete training surrounding such obligations.

2. LEGISLATIVE OBJECTIVES:

The proposed amendment is consistent with the above statutory authority and is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018.

3. NEEDS AND BENEFITS:

Article 23-B of the Education Law was enacted in 2001 and required certain school employees to report allegations of child abuse in an educational setting. The law also required the Commissioner to promulgate rules and regulations for the training necessary for the implementation of Article 23-B. Presently, Commissioner's regulation § 100.2(hh) establishes the training in the reporting of child abuse in an educational setting required for school districts, charter schools, and BOCES.

Chapter 363 of the Laws of 2018 specifically amended the provisions of Article 23-B of the Education Law to expand the individuals, and the educational settings in which they work, who are required to make a report of child abuse in an educational setting and to complete training surrounding such obligations. Specifically:

- Education Law § 1125 was amended to expand the definitions of child, employee, volunteer, educational setting, and administrator within Article 23-B to include all public schools, school districts, charter schools, nonpublic schools, boards of cooperative educational services (BOCES), approved preschool special education programs (4410s), state-operated and state-supported schools (4201s), approved private residential and non-residential schools for the education of students with disabilities including 853s, and Special Act School Districts. The law was also amended to expand the definitions of employee and volunteer to include individuals who receive compensation from or provide services to bus companies that contract with such schools to provide transportation services to children.

- Education Law § 1126 was amended to expand the responsibility to report allegations of child abuse to include licensed and registered physical therapists, licensed and registered occupational therapists, licensed and registered speech-language pathologists, teacher aides, school resource officers, school bus drivers, and school bus driver supervisors.

- Education Law § 1132 was amended to expand the components which must be included in the training on reporting allegations of child abuse pursuant to Article 23-B.

- Education Law § 1132 was further amended to require that all teachers and administrators, other than those in a school district or public school, and all school bus drivers employed on or after July 1, 2019 complete two hours of coursework or training regarding the identification and reporting of child abuse and maltreatment as defined in Social Services law.

Commissioner's regulation § 100.2(hh) presently contains the required components of training in the reporting of child abuse in an educational setting. The proposed amendments expand the individuals, and the educational settings in which they work, who are required to receive this ongoing training as required by section (7) of Chapter 363 of the Laws of 2018. Chapter 363 of the Laws of 2018 also expanded the required components of the training of identification of child abuse in an educational setting to now include information regarding the physical and behavioral indicators of child abuse and maltreatment and the statutory reporting requirements established by Social Services law sections 413 through 420. The proposed amendment makes such conforming changes to § 100.2(hh).

Additionally, the proposed amendments add a new paragraph (4) to § 100.2(hh) to comply with section (8) of Chapter 363 of the Laws of 2018 to further require that all persons employed on or after July 1, 2019 by a school other than a school district or public school, in titles equivalent to a teacher (e.g., as enumerated in section 80-3.2 of the Commissioner's regulations) or in a title equivalent to an administrator (e.g., the educational leadership service titles as defined in section 80-3.10 of the Commissioner's regulations), and any school bus driver employed by any person or entity that contracts with a school to provide transportation services to children must complete two hours of coursework or training regarding the identification and reporting of child abuse and maltreatment.

The proposed amendment is necessary to implement the statutory changes required by Chapter 363 of the Laws of 2018 and to ensure that the necessary individuals receive the appropriate training in the identification of child abuse in an educational setting and training in the identification of child abuse and maltreatment within the meaning of the statute.

4. COSTS:

Cost to the State: none.

Costs to local government: none.

Cost to private regulated parties: none.

Cost to regulating agency for implementation and continued administration of this rule: none.

The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018, and does not impose any additional costs on the State, regulated parties, or the State Education Department, beyond those inherent in the statute.

5. LOCAL GOVERNMENT MANDATES:

The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018, and does not impose any additional program, service, duty or responsibility upon local governments beyond those inherent in the statute. Consistent with the statute, the proposed amendment requires each school (as defined in Education Law § 1125(10)) to include a school district, public school, charter school, nonpublic school, board of cooperative educational services, special act school district as defined in Education Law section 4001, approved preschool special education programs pursuant to Education Law section 4410, approved private residential or non-residential schools for the education of students with disabilities including private schools established under Chapter 853 of the Laws of 1976 or State operated or State supported schools in accordance with Articles 85, 87 or 88 of the Education Law to establish, and implement on an ongoing basis, a training program regarding the procedures set forth in article 23-B of the Education Law for all current and new teachers, school nurses, school counselors, school psychologists, school social workers, school administrators, other personnel required to hold a teaching or administrative certificate or license, and all persons employed in equivalent titles in a nonpublic school, special act school district as defined in Education Law § 4100, approved preschool special education program pursuant to Education Law § 4410, approved private residential or non-residential school for the education of students with disabilities including private schools established under chapter 853 of the laws of 1976, or state-operated or state-supported schools in accordance with Education Law articles eighty-five, eighty-seven or eighty-eight, and any school bus driver or supervisor employed by any person or entity that contracts with such school to provide transportation services to children, school board members, licensed and registered physical therapists, licensed and registered occupational therapists, licensed and registered speech-language pathologists, teacher aides, and school resource officers.

6. PAPERWORK:

The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018, and does not impose any specific recordkeeping, reporting or other paperwork requirements beyond those inherent in the statute.

Consistent with the statute, the proposed amendment requires all persons employed on or after July 1, 2019 by a school other than a school district or public school, in titles equivalent to a teacher (e.g., as enumerated in section 80-3.2 of this Title) or in a title equivalent to an administrator (e.g., the educational leadership service titles as defined in section 80-3.10 of this Title), and any school bus driver employed by any person or entity that contracts with a school to provide transportation services to children to complete two hours of coursework or training regarding the identification and reporting of child abuse and maltreatment. Each employee required to complete such training must provide the school administrator of the school with documentation showing that he or she completed the required training by the later of July 1, 2020 or within 30 days of employment. In addition, each school bus driver shall provide such contracting person or entity with documentation showing that he or she completed the required training. The Department is authorized to request such records on a periodic basis and may publish a list of any persons or schools who are not in compliance with this subdivision on its website.

7. DUPLICATION:

The proposed amendment does not duplicate existing State or federal requirements. The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018.

8. ALTERNATIVES:

The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018. There were no significant alternatives and none were considered.

9. FEDERAL STANDARDS:

There are no applicable Federal standards.

10. COMPLIANCE SCHEDULE:

It is anticipated parties will be able to achieve compliance with the rule by its effective date. The proposed amendment merely conforms the Commissioner's Regulations to Chapter 363 of the Laws of 2018.

Regulatory Flexibility Analysis

(a) Small businesses:

The proposed amendment will not impose any additional compliance

requirements and is necessary to implement and otherwise conform Commissioner's Regulations to Chapter 363 of the Laws of 2018, and does not impose any adverse economic impact, reporting, record keeping or any other compliance requirements on small businesses. Because it is evident from the nature of the proposed amendment that it does not affect small businesses, no further measures were needed to ascertain that fact and none were taken. Accordingly, a regulatory flexibility analysis for small businesses is not required and one has not been prepared.

1. EFFECT OF RULE:

The proposed amendment applies to each of the 695 public school districts in the State.

2. COMPLIANCE REQUIREMENTS:

The proposed amendment is consistent with the authority conferred by the above statutes and is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018, and does not impose any additional compliance requirements beyond those inherent in the statute.

3. NEEDS AND BENEFITS:

Article 23-B of the Education Law was enacted in 2001 and required certain school employees to report allegations of child abuse in an educational setting. The law also required the Commissioner to promulgate rules and regulations for the training necessary for the implementation of Article 23-B. Presently, Commissioner's regulation § 100.2(hh) establishes the training in the reporting of child abuse in an educational setting required for school districts, charter schools, and BOCES.

Chapter 363 of the Laws of 2018 specifically amended the provisions of Article 23-B of the Education Law to expand the individuals, and the educational settings in which they work, who are required to make a report of child abuse in an educational setting and to complete training surrounding such obligations. Specifically:

- Education Law § 1125 was amended to expand the definitions of child, employee, volunteer, educational setting, and administrator within Article 23-B to include all public schools, school districts, charter schools, nonpublic schools, boards of cooperative educational services (BOCES), approved preschool special education programs (4410s), state-operated and state-supported schools (4201s), approved private residential and non-residential schools for the education of students with disabilities including 853s, and Special Act School Districts. The law was also amended to expand the definitions of employee and volunteer to include individuals who receive compensation from or provide services to bus companies that contract with such schools to provide transportation services to children.

- Education Law § 1126 was amended to expand the responsibility to report allegations of child abuse to include licensed and registered physical therapists, licensed and registered occupational therapists, licensed and registered speech-language pathologists, teacher aides, school resource officers, school bus drivers, and school bus driver supervisors.

- Education Law § 1132 was amended to expand the components which must be included in the training on reporting allegations of child abuse pursuant to Article 23-B.

- Education Law § 1132 was further amended to require that all teachers and administrators, other than those in a school district or public school, and all school bus drivers employed on or after July 1, 2019 complete two hours of coursework or training regarding the identification and reporting of child abuse and maltreatment as defined in Social Services law.

Commissioner's regulation § 100.2(hh) presently contains the required components of training in the reporting of child abuse in an educational setting. The proposed amendments expand the individuals, and the educational settings in which they work, who are required to receive this ongoing training as required by section (7) of Chapter 363 of the Laws of 2018. Chapter 363 of the Laws of 2018 also expanded the required components of the training of identification of child abuse in an educational setting to now include information regarding the physical and behavioral indicators of child abuse and maltreatment and the statutory reporting requirements established by Social Services law sections 413 through 420. The proposed amendment makes such conforming changes to § 100.2(hh).

Additionally, the proposed amendments add a new paragraph (4) to § 100.2(hh) to comply with section (8) of Chapter 363 of the Laws of 2018 to further require that all persons employed on or after July 1, 2019 by a school other than a school district or public school, in titles equivalent to a teacher (e.g., as enumerated in section 80-3.2 of the Commissioner's regulations) or in a title equivalent to an administrator (e.g., the educational leadership service titles as defined in section 80-3.10 of the Commissioner's regulations), and any school bus driver employed by any person or entity that contracts with a school to provide transportation services to children must complete two hours of coursework or training regarding the identification and reporting of child abuse and maltreatment.

The proposed amendment is necessary to implement the statutory changes required by Chapter 363 of the Laws of 2018 and to ensure that the necessary individuals receive the appropriate training in the identification of child abuse in an educational setting and training in the identification of child abuse and maltreatment within the meaning of the statute.

4. PROFESSIONAL SERVICES:

Consistent with the statute, the proposed amendment requires each school (as defined in Education Law 1125(10)) to establish, and implement on an ongoing basis, a training program regarding the procedures set forth in Article 23-B of the Education Law for all current and new teachers, school nurses, school counselors, school psychologists, school social workers, school administrators, other personnel required to hold a teaching or administrative certificate or license, and all persons employed in equivalent titles in a nonpublic school, special act school district as defined in Education Law § 4100, approved preschool special education program pursuant to Education Law § 4410, approved private residential or non-residential school for the education of students with disabilities including private schools established under chapter 853 of the laws of 1976, or state-operated or state-supported schools in accordance with Education Law articles eighty-five, eighty-seven or eighty-eight, and any school bus driver or supervisor employed by any person or entity that contracts with such school to provide transportation services to children, school board members, licensed and registered physical therapists, licensed and registered occupational therapists, licensed and registered speech-language pathologists, teacher aides, and school resource officers.

5. COMPLIANCE COSTS:

The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018, and does not impose any additional costs on the State, regulated parties, or the State Education Department, beyond those inherent in the statute.

6. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

The proposed rule does not impose any additional costs or technological requirements on local governments.

7. MINIMIZING ADVERSE IMPACT:

The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018, and does not impose any additional costs on the State, regulated parties, or the State Education Department, beyond those inherent in the statute. Accordingly, no alternatives were considered.

8. LOCAL GOVERNMENT PARTICIPATION:

Comments on the proposed rule have been solicited from school districts through the offices of the district superintendents of each supervisory district in the State, and from the chief school officers of the five big city school districts.

Rural Area Flexibility Analysis**1. TYPES AND ESTIMATED NUMBERS OF RURAL AREAS:**

The proposed rule applies to all school districts in the State, including those located in the 44 rural counties with less than 200,000 inhabitants and the 71 towns in urban counties with a population density of 150 per square mile or less.

2. REPORTING, RECORDKEEPING AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018, and does not impose any specific recordkeeping, reporting or other paperwork requirements beyond those inherent in the statute.

Consistent with the statute, the proposed amendment requires all persons employed on or after July 1, 2019 by a school other than a school district or public school, in titles equivalent to a teacher (e.g., as enumerated in section 80-3.2 of this Title) or in a title equivalent to an administrator (e.g., the educational leadership service titles as defined in section 80-3.10 of this Title), and any school bus driver employed by any person or entity that contracts with a school to provide transportation services to children to complete two hours of coursework or training regarding the identification and reporting of child abuse and maltreatment. Each employee required to complete such training must provide the school administrator of the school with documentation showing that he or she completed the required training by the later of July 1, 2020 or within 30 days of employment. In addition, each school bus driver shall provide such contracting person or entity with documentation showing that he or she completed the required training. The Department is authorized to request such records on a periodic basis and may publish a list of any.

3. COMPLIANCE COSTS:

The proposed amendment is necessary to conform the Commissioner's Regulations to Chapter 363 of the Laws of 2018, and does not impose any additional costs on the State, regulated parties, or the State Education Department, beyond those inherent in the statute.

4. MINIMIZING ADVERSE IMPACT:

The proposed amendment is merely conforms the Commissioner's Regulations to Chapter 363 of the Laws of 2018 and does not impose any additional compliance requirements or costs on school districts or charter schools beyond those inherent in the statute. Because the statutory requirement upon which the proposed amendment is based applies to all schools in the State as defined in Education Law 1125(10), it is not possible to establish differing compliance or reporting requirements or timetables or to exempt schools in rural areas from coverage by the proposed amendment.

5. RURAL AREA PARTICIPATION:

Comments on the proposed amendment were solicited from the Department's Rural Advisory Committee, whose membership includes school districts located in rural areas.

Job Impact Statement

The proposed rule is necessary to implement and otherwise conform Commissioner's Regulations to Chapter 363 of the Laws of 2018 which requires necessary individuals to receive the appropriate training in the identification of child abuse in an educational setting and training in the identification of child abuse and maltreatment within the meaning of the statute.

Because it is evident from the nature of the proposed rule that it will have no impact on the number of jobs or employment opportunities in New York State, no further steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

REVISED RULE MAKING NO HEARING(S) SCHEDULED

Professional Development Plans and Other Related Requirements for School Districts and BOCES

I.D. No. EDU-40-18-00010-RP

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following revised rule:

Proposed Action: Amendment of sections 52.21, 75.8, 90.18, 100.2, 100.13, 100.15, 100.17, 100.19 200.2; Subparts 57-2, 151-1, 154-2; Parts 30, 80; repeal of sections 80-3.4(a)(1), 80-3.6, 80-3.10(a)(2)(i), (b)(1) and (c)(1) of Title 8 NYCRR.

Statutory authority: Education Law, sections 101, 207(not subdivided), 305, 3004, 3006, 3006-a and 3009

Subject: Professional development plans and other related requirements for school districts and BOCES.

Purpose: To improve the quality of teaching and learning for teachers and leaders for professional growth.

Substance of revised rule (Full text is posted at the following State website: <http://www.counsel.nysed.gov/rules/full-text-indices>): The proposed amendments to subdivision 100.2(dd) are designed to create greater coherence with other statutory and Department initiatives related to ensuring that all educators – teachers, teaching assistants, and school leaders - have the knowledge and skills necessary to meet the needs of all students. Specifically, the amendments further align the Commissioner's Regulations with requirements related to the Dignity for All Students Act (DASA), the Continuing Teacher and Leader Education (CTLE) statutory requirements, and the Department's recently approved Every Student Succeeds Act (ESSA) plan. These changes include:

- A shift in terminology from professional development to professional learning, which is consistent with the changes to the standards adopted by the PSPB. This shift is more than just a change in language. Professional organizations and educational researchers, including Learning Forward, the Learning Policy Institute (LPI), and the Association for Supervision and Curriculum Development (ASCD), have adopted this change in language, which emphasizes the importance of educators taking an active role in their continuous development. Rather than being passive recipients of information, educators should be active partners in determining the content of their learning, how their learning occurs, and how they evaluate its effectiveness.

- Requires the professional learning plan to describe how professional learning related to educator practice and curriculum development are culturally responsive and reflect the needs of the community that the school district or BOCES serves.

- Clarifying the Department's expectations regarding the use of data – both qualitative and quantitative – in determining appropriate professional learning and measuring its impact on educators and student learning, consistent with research on effective professional learning.

- Clarifying that professional learning plans must describe the professional learning opportunities that are available to teachers, teaching assistants, and school leaders, whereas the existing regulations do not consistently include references to educators other than teachers.

- Technical edits to remove references to dates, professional learning requirements for teachers, pupil personnel service providers and educational leaders, and certain structures in the New York City Department of Education that are no longer relevant.

Consistent with the shift in terminology from professional development to professional learning related to school district and BOCES professional learning plans, the amendments make conforming edits to other provi-

sions of the Commissioner's Regulations. Specifically, Sections 52.21, 57-2, 75.8, 80-1, 80-2, 80-3, 80-5, 80-6, 90.18, 100.2, 100.13, 100.15, 100.17, 100.19, 151-1, 154-2, and 200.2 of the Commissioner's Regulations and 30-1, 30-2, and 30-3 of the Rules of the Board of Regents are amended to change references to professional development to professional learning. Additionally, Section 80-3.6 of the Commissioner's Regulations, which prescribed professional development requirements for teachers through the 2016-17 school year, is repealed since that school year has ended and the section is no longer applicable. Conforming edits were also made to other sections of Part 80 consistent with the repeal of Section 80-3.6.

Revised rule making(s) were previously published in the State Register on January 30, 2019.

Revised rule compared with proposed rule: Substantial revisions were made in section 100.2(dd).

Text of revised proposed rule and any required statements and analyses may be obtained from Kirti Goswami, NYS Education Department, 89 Washington Avenue, Office of Higher Education, Albany, NY 12234, (518) 473-2183, email: legal@nysed.gov

Data, views or arguments may be submitted to: Petra Maxwell, NYS Education Department, 89 Washington Avenue, Office of Higher Education, Albany, NY 12234, (518) 486-3633, email: petra.maxwell@nysed.gov

Public comment will be received until: 45 days after publication of this notice.

Revised Regulatory Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on January 30, 2019, the following substantial revisions were made to the proposed rule:

Require that the appointment of teachers to serve on the Professional Learning Team for the central office of the New York City Department of Education (NYCDOE) shall be upon designation by the teachers' collective bargaining organization; and

Removed the requirement that professional learning teams for each community school district, District 75, District 79, and the high school districts of the NYCDOE be a subcommittee of the District Leadership Team (DLT).

The above revisions to the proposed rule do not require any revisions to the previously published Regulatory Impact Statement.

Revised Regulatory Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the State Register on January 30, 2019, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The above revisions to the proposed rule do not require any revisions to the previously published Regulatory Flexibility Analysis.

Revised Rural Area Flexibility Analysis

Since publication of a Notice of Proposed Rule Making in the State Register on January 30, 2019, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The above revisions to the proposed rule do not require any revisions to the previously published Rural Area Flexibility Analysis.

Revised Job Impact Statement

Since publication of a Notice of Proposed Rule Making in the State Register on January 30, 2019, the proposed rule was revised as set forth in the Statement Concerning the Regulatory Impact Statement submitted herewith.

The revised proposed rule will not have a substantial impact on jobs and employment opportunities. Because it is evident from the nature of the revised proposed rule that it will not affect job and employment opportunities, no affirmative steps were needed to ascertain that fact and none were taken. Accordingly, a job impact statement is not required and one has not been prepared.

Assessment of Public Comment

1. COMMENT: Two commenters expressed concern regarding the fact that teachers who serve as members of the professional learning team for the central office of the NYCDOE are selected by the chancellor and not designated by the teachers' collective bargaining organization, noting that this process is different than that for every other school district in New York State.

DEPARTMENT RESPONSE: The Department has revised the proposed amendment to require that teachers who serve as a member of the professional learning team for the central office of the NYCDOE be upon designation by the teachers' collective bargaining organization consistent with the requirements for all other districts across the state.

2. COMMENT: One commenter expressed concern that the professional learning teams for each community school district, District 75, District 79,

and the high school districts of the NYCDOE were to be a sub-committee of the District Leadership Team (DLT).

DEPARTMENT RESPONSE: The Department has revised the proposed amendment to remove this requirement to ensure that each local professional learning team can include representatives that can help to identify professional learning opportunities that will ensure all educators are able to meet the needs of all learners.

3. COMMENT: One commenter noted that the changes should not take effect until July 1st to give districts time to implement changes. The same commenter also believes that increasing the number of CTLE that can be claimed for mentoring is problematic.

DEPARTMENT RESPONSE: No changes are necessary. The earliest the proposed amendments can be permanently adopted by the Board is at its July meeting. Therefore, the changes will not take effect until after July 1. Regarding the decision to increase the number of CTLE hours that can be claimed for mentoring, the Department received many comments on both sides of this issue (see Assessment of Public Comment, Attachment D to January 2019 Regents Item: <http://www.regents.nysed.gov/common/regents/files/119hed2.pdf>). The proposed amendments reflect a compromise between those diverse perspectives.

Department of Environmental Conservation

NOTICE OF ADOPTION

BEACH Act Standards and Reclassification Rule

I.D. No. ENV-12-18-00043-A

Filing No. 563

Filing Date: 2019-06-05

Effective Date: 2019-11-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Parts 700, 703 and 890 of Title 6 NYCRR.

Statutory authority: Environmental Conservation Law, sections 3-0301(2)(m), 15-0313(1), (2), (3), 17-0301(2) and (9)

Subject: BEACH Act Standards and Reclassification Rule.

Purpose: To comply with the Federal BEACH Act of 2000 (P.L. 106-284) and protect coastal recreation waters for recreation.

Text of final rule: Title 6 of the New York Codes, Rules, and Regulations (NYCRR) Part 700, entitled "Definitions, Samples and Tests," is amended as follows:

Subdivision (a) of Section 700.1 is amended by adding the following definition:

(73) *Coastal recreation waters mean the Great Lakes and marine coastal waters (including coastal estuaries) that are designated under section 303(c) of the federal Clean Water Act by the State for use for swimming, bathing, surfing, or similar water contact activities. Coastal recreation waters do not include inland waters or waters upstream of the mouth of a river or stream having an unimpeded natural connection with the Great Lakes or open marine waters.*

Title 6 of the New York Codes, Rules, and Regulations (NYCRR) Part 703, entitled "Surface Water and Groundwater Quality Standards and Groundwater Effluent Limitations," is amended as follows:

Section 703.4 is amended as follows:

§ 703.4 Water quality standards for coliforms, *enterococci*, and *E. coli*.

Total and fecal coliform, *enterococci*, and *E. coli* standards for specific classes are provided in this section.

There are no changes to subdivisions (a), (b), or (c) of section 703.4.

A new subdivision (d) within section 703.4 is adopted to read as follows:

(d) *Enterococci (number per 100 mL (colony-forming units or most probable number))*

Classes	Standard
Coastal recreation waters of the following classes: SA and SB	The geometric mean of samples collected over any consecutive 30-day period shall not exceed 35, and no more than 10 percent of the samples collected in the same 30-day period shall exceed 130.

A new subdivision (e) within section 703.4 is adopted to read as follows:

(e) *E. coli* (number per 100 mL (colony-forming units or most probable number))

Classes	Standard
Coastal recreation waters of the following classes: A, A-Special, AA, AA-Special, and B	The geometric mean of samples collected over any consecutive 30-day period shall not exceed 126, and no more than 10 percent of the samples collected in the same 30-day period shall exceed 410.

A new subdivision (f) within section 703.4 is adopted to read as follows:

(f)(1) *The enterococci standards for Class SA and SB coastal recreation waters shall apply: (i) during the period of May 1 through October 31; (ii) in any other instance where the Department determines it necessary to protect human health or the best usages of the waters; and (iii) where required by state or federal law or interstate compact.*

(2) *The E. coli standards for Class A, A-Special, AA, AA-Special and B coastal recreation waters shall apply: (i) during the period of May 1 through October 31; (ii) in any other instance where the Department determines it necessary to protect human health or the best usages of the waters; and (iii) where required by state or federal law or interstate compact.*

Title 6 of the New York Codes, Rules, and Regulations (NYCRR) Part 890, entitled "New York City Waters" is amended to read as follows:

Table I of section 890.6 is amended as follows:

890.6 Table I.

TABLE I

CLASSIFICATIONS AND STANDARDS OF QUALITY AND PURITY ASSIGNED TO FRESH SURFACE WATERS AND TIDAL SALT WATERS, INCLUDING CERTAIN TIDAL WATERS OF THE INTERSTATE SANITATION DISTRICT WITHIN DESIGNATED DRAINAGE BASINS OF NEW YORK BAY, RARITAN BAY AND A PORTION OF THE ATLANTIC OCEAN, INCLUDING THE SUBBASINS OF ARTHUR KILL, KILL VAN KULL, THE HARLEM RIVER AND THE LOWER EAST RIVER, BRONX, KINGS, NEW YORK, QUEENS, RICHMOND AND WESTCHESTER COUNTIES, NEW YORK

Item No.	Waters Index Number	Name	Description	Map Ref. No.	Standards Class
4		Lower New York Bay portion including Gravesend Bay	That portion of Bay south of The Narrows and bounded on north by line from tip of Fort Wadsworth to tip of Fort Hamilton; and bounded on south by line from south limits of Fort Wadsworth Military Reservation to Norton Point at western tip of Coney Island peninsula near Sea Gate, including Gravesend Bay.	S-23se [I]SB S-24sw	[I]SB

Item No.	Waters Index Number	Name	Description	Map Ref. No.	Standards Class
6		Upper New York Bay including The Narrows, Atlantic Basin, Gowanus Bay	That portion of Bay within New York bounded on south by line from tip of Fort Wadsworth to tip of Fort Hamilton; and bounded on west by shore of Staten Island north of tip of Fort Wadsworth, thence by north-south line across mouth of Kill Van Kull from northernmost point of Staten Island to easternmost point at Constable Point, Bayonne, New Jersey, thence by New York-New Jersey boundary line from mouth of Hudson River; and bounded on north by true east-west line passing through southernmost tip of Manhattan Island at the Battery and intersecting state boundary line, thence by line extending from same point at the Battery across mouth of Lower East River to western tip of pier 17 at Brooklyn; thence bounded on east by western shore of Brooklyn from pier 17 south to Fort Hamilton, excluding Erie Basin.	S-23ne [I]SB S-23se S-24nw	[I]SB

Map 3 of section 890.9 is repealed and the section is marked "Reserved"

Map 4 of section 890.10 is repealed and the section is marked "Reserved"

Final rule as compared with last published rule: Nonsubstantive changes were made in Parts 700 and 703.

Revised rule making(s) were previously published in the State Register on February 27, 2019.

Text of rule and any required statements and analyses may be obtained from: Michelle Tompkins, Department of Environmental Conservation, 625 Broadway, 4th Floor, Albany, New York 12233, (518) 402-8179, email: BeachRule@dec.ny.gov

Additional matter required by statute: The Department completed an environmental assessment pursuant to 6 NYCRR Part 617 and a coastal assessment pursuant to 19 NYCRR Part 600.

Revised Regulatory Impact Statement

The New York State Department of Environmental Conservation (Department or DEC) has adopted revisions to New York's water quality standards to meet the requirements of the federal Beaches Environmental Assessment and Coastal Health (BEACH) Act of 2000 (P.L. 106-284). The Department has also adopted upgrades to the classification of two water bodies.

1. Statutory Authority

The statutory authority for adoption of water quality standards and classifications is found in the Environmental Conservation Law (ECL) Articles

3 and 17, specifically, Section 17-0301 which provides that the Department “shall group the designated waters of the state into classes. Such classification shall be made in accordance with considerations of best usage in the interest of the public” and further that the Department “shall adopt and assign standards of quality and purity for each such classification necessary for the public use or benefit contemplated by such classification.”

2. Legislative Objectives

The legislative objectives related to this adopted rule are to “conserve, improve and protect [the State’s] natural resources and environment and to prevent, abate and control water, land and air pollution, in order to enhance the health, safety and welfare of the people of the state and their overall economic and social wellbeing.” ECL 1-0101(1). Furthermore, it is the policy of the State to guarantee that the “widest range of beneficial uses of the environment is attained without risk to health or safety, unnecessary degradation or other undesirable or unintended consequences.” ECL 1-0101(3)(b). In furtherance of these broad policies, specific objectives are to “maintain reasonable standards of purity of the waters of the state consistent with public health and public enjoyment thereof...” ECL 17-0101.

3. Needs and Benefits

The adopted rule adds new fecal indicator criteria for all coastal recreation waters and new definitions for the term “coastal recreation waters,” which are needed to meet the requirements of the federal BEACH Act. The adopted standards are consistent with the United States Environmental Protection Agency’s (USEPA’s) 2012 Recreational Water Quality Criteria (RWQC). The RWQC are USEPA’s recommendations for protecting human health in waters designated for primary contact recreation use. The adopted standards are: a 30-day Geometric Mean (GM) of 35 cfu/100mL and a statistical threshold value (STV) of 130 cfu/100mL for enterococci, and a 30-day GM of 126 cfu/100mL and a STV of 410 cfu/100mL for E. coli. Existing total and fecal coliform standards for recreational use protection are not repealed in the adopted rule.

In evaluating the waters that will be defined as “coastal recreation waters,” and covered by the adopted rule, the Department identified two large coastal waters, which are currently designated as Class I, and, therefore, are not designated as having a best usage of primary contact recreation: Upper New York Bay (6 NYCRR § 890.6 - Item No. 6); and a portion of Lower New York Bay (6 NYCRR § 890.6 - Item No. 4). Considering the water quality improvements in these two coastal waterbodies and that they are adjacent to numerous public beaches, the Department has determined that they should have a designated best usage of primary contact recreation and, as a result, need to be reclassified from Class I to Class SB.

In 2015, the Department revised its regulations to require that Class I and SD waters be of quality suitable for swimming. However, that 2015 Class I and SD rule making did not revise the best usages of those waters. The best usages of those waters remained “secondary contact recreation and fishing,” and “fishing,” respectively. Therefore, reclassification of 6 NYCRR § 890.6 - Item Nos. 4 and 6 is necessary to make them coastal recreation waters.

4. Costs

The financial impact due to the adoption of the adopted E. coli standard is considered to be de minimus, as existing treatment facilities with disinfection discharging to the Great Lakes are expected to meet the adopted standard without significant adjustments. However, there may be an increased cost for laboratory analysis, depending on how the Department implements the adopted E. coli standards for dischargers to the Great Lakes. Additional costs for laboratory analysis of up to \$73,350 may occur should DEC require such facilities to sample and report both E. coli and fecal coliform. However, if DEC supplants coliform in permits with enterococci, there will be no additional cost because the analytical costs for these two indicators are the same.

Under the adopted enterococci standards, 25 municipal wastewater treatment plants and 4 Private, Commercial, and Institutional (PCI) facilities discharging to marine coastal recreation waters (including waters reclassified by this rule) will likely need to upgrade their existing disinfection systems or incur increased operation and maintenance (O&M) costs resulting from higher dosing. The Department estimates that 9 municipal wastewater treatment facilities and 2 PCI facilities will incur a collective capital cost of approximately \$55 million to construct chlorination/dechlorination and that all 29 impacted facilities will incur increased O&M costs, collectively totaling approximately \$14 million per year.

There may also be an increased cost for laboratory analysis, depending on how the Department implements the adopted enterococci standards for dischargers to the marine coastal recreation waters. Additional costs for laboratory analysis of up to \$208,620 may occur should DEC require such facilities to sample and report both enterococci and coliform. However, if DEC supplants coliform in permits with enterococci, there will be no additional cost because the analytical costs for these two indicators are the same.

Certain coastal Class SB waters (including waters reclassified in this rule from Class I to Class SB by this rule) are impacted by Combined Sewer Overflows (CSO). The New York City (NYC) CSO control program is being implemented through the development of Long Term Control Plans (LTCPs). The LTCPs must meet the regulatory requirements of the EPA’s CSO Control Policy as per the Clean Water Act (CWA) section 402(q) and adhere to the terms of the 2005 Consent Order between NYSDEC and NYC (Case No. CO2-20000107-8), as modified in 2008, 2009, 2012, 2015, 2016, and 2017 (collectively the “Consent Order”). LTCPs evaluate the cost-effectiveness of a range of control options/strategies, including up to 100% CSO capture. Given that NYC must comply with EPA’s CSO control policy through the development and implementation of these LTCPs, no additional costs are anticipated from this rulemaking beyond those already required by the Consent Order, the LTCPs, NYC’s State Pollutant Discharge Elimination System (SPDES) Permits, the CSO Control Policy and CWA section 402(q). These existing and continuing requirements are expected to result in the submission of approvable Jamaica Bay and City-Wide & East River/ Open Water LTCPs that will include projects designed to achieve the highest attainable condition within the CSO impacted waterbodies. For the waterbodies subject to the proposed rule, the LTCP analysis includes a comparison of compliance with enterococci criteria. This analysis predicts that the proposed new criteria does not necessitate additional CSO projects at this time.

The adopted reclassification will also cause a more stringent, existing Class SB aquatic life standard for Dissolved Oxygen (DO) to apply to these waters. An examination of the current DO levels in these water bodies reveals that the new standard will be attained and will not likely result in additional costs.

5. Local Government Mandates

As described in this document, this adopted rule revised and updated New York State’s water quality standards which in turn will be incorporated into permits issued under Titles 7 and 8 of Article 17 of the Environmental Conservation Law. Any county, city, town, village, school district, fire district, or other special district permitted to discharge under the above statute may be responsible for complying with revised effluent limitations resulting from the adopted rule. The Department has reviewed potentially affected permits and included the estimated costs to comply with the adopted rule discussed above. Beyond these costs, this rule will not impose any additional program, service, duty, or responsibility upon any county, city, town, village, school district, fire district, or other special district.

6. Paperwork

As part of the SPDES program, all significant permittees (for permit classifications see the Department’s Technical & Operational Guidance Series (TOGS) 1.2.2) are required to periodically report monitoring data for substances included in their permit. The adopted regulations are not expected to increase or decrease the number of significant SPDES permittees. Dischargers that may be required to report on a parameter for which they were previously not regulated will have to maintain records and report the discharge level of the newly regulated parameter on existing reports. This adopted rule does not require the submission of any new forms.

7. Duplication

Both federal law and federal regulations set forth requirements for states regarding water quality standards (uses and criteria). Under federal law, promulgation of surface water standards is primarily a state responsibility. EPA provides oversight and guidance and approves state standards for surface water but does not promulgate standards that apply nationwide. However, where a state’s standards are inadequate, and EPA disapproves, EPA must then promulgate standards for the state if the state does not timely address the inadequacies.

8. Alternatives

The Department considered the “no action” alternative which could place the State in the position of not meeting the federal BEACH Act. The no action alternative was rejected as it was determined to be less protective of coastal recreation waters than the adopted rule and would not implement the requirements of the BEACH Act. The “no action” alternative for the reclassification was also rejected because the reclassification is appropriate at this time due to improvements in water quality since 1985 and because the two large coastal waters are adjacent to numerous public beaches.

9. Federal Standards

The adopted regulatory changes do not exceed any federal minimum standards.

10. Compliance Schedule

The adopted rule will take effect on the date specified in the Notice of Adoption as published in the State Register. However, the Department recognizes that it may be unreasonable, both physically and fiscally, to expect regulated parties to comply with the regulations immediately. After the rule making becomes effective it will be implemented in permits when

they are modified. If additional treatment is required, a compliance schedule may be included in the permit on a case-by-case basis and may require the permittee to submit a report describing their chosen treatment alternative and include a schedule for construction. Under such a scenario, the Department would review and, if appropriate, would approve the report before construction would commence. Although it is difficult to estimate, with accuracy, the amount of time necessary for regulated parties to achieve compliance with the adopted rule, it is expected that the Department will be able to review, modify, and renew affected permits within five years of the effective date of promulgation.

Revised Regulatory Flexibility Analysis

The New York State Department of Environmental Conservation (Department or DEC) has adopted revisions to New York's water quality standards to meet the requirements of the federal Beaches Environmental Assessment and Coastal Health (BEACH) Act of 2000 (P.L. 106-284). The Department has also adopted upgrades to the classification of two water bodies.

1. Effect of Rule

The Department reviewed the rule and identified the likely anticipated costs that are set forth in this section. The Department identified 41 municipal wastewater treatment plants ranging from 0.1 million gallons per day (MGD) to 135 MGD treatment capacity discharging to coastal recreation waters (including waters proposed for reclassification by this rule). Sixteen (16) of the 41 municipal wastewater treatment plants discharge to the Great Lakes, while the remaining 25 facilities discharge to marine coastal recreation waters (including waters proposed for reclassification by this rule). Additionally, 4 Private, Commercial, and Institutional (PCI) facilities were identified as surface water sanitary dischargers to marine coastal recreation waters.

The financial impact due to the adoption of the proposed *E. coli* standard is considered to be de minimus, as existing treatment facilities with disinfection discharging to the Great Lakes are expected to meet the proposed standard without significant adjustments. However, there may be an increased cost for laboratory analysis, depending on how the Department implements the proposed *E. coli* standards for dischargers to the Great Lakes. The Department is not repealing the existing total and fecal coliform standards. Incorporation of the standards into State Pollutant Discharge Elimination System (SPDES) permits, after adoption of the rule, will comply with all applicable laws, regulations, and criteria. The approach will be protective of the best uses, while avoiding unnecessary duplication. At this time, the Department has not determined whether the *E. coli* standards would be included in SPDES permits in lieu of, or in addition to, existing coliform standards. Additional costs for laboratory analysis of up to \$73,350 may occur should the Department require such facilities to sample and report both *E. coli* and fecal coliform. At this time, DEC has not determined whether the *E. coli* standards would be included in SPDES permits in lieu of, or in addition to, existing coliform standards; however, it is DEC's goal to avoid unnecessary duplication.

The Department revised the express terms so that the proposed standards for *E. coli* in Class A, A-Special, AA, and AA-Special waters would not necessarily apply year-round. This revision may reduce the need for samples outside of the primary contact recreation season and thus reduce costs for laboratory analysis.

Under the adopted enterococci standards, 25 municipal wastewater treatment plants and 4 PCI facilities discharging to marine coastal recreation waters (including waters proposed for reclassification by this rule) will likely need to upgrade their existing disinfection systems or incur increased operation and maintenance (O&M) costs resulting from higher dosing. The Department analyzed the costs associated with disinfection using chlorination and ultraviolet radiation (UV).

The estimated unit cost for building a UV disinfection system is \$512,676/MGD design flow in capital costs with an estimated O&M cost of \$10,000/MGD per year. Given that the total capital cost for conversion to UV disinfection is significantly higher than other alternatives, the estimated financial impact assumes that the impacted facilities will not choose the UV option. For facilities that already have an existing UV disinfection system, the most cost-effective alternative is to double the UV light intensity or dosing, thus the financial impact of \$10,000/MGD per year will be that resulting solely from increased O&M expenditures. Construction of a de-chlorination facility is estimated to cost \$220,000/MGD. The average O&M cost of approximately \$18,600/MGD per year was used to determine the potential financial impact associated with O&M for facilities utilizing chlorination and de-chlorination and \$27,900/MGD per year for facilities that currently chlorinate but would need to add de-chlorination facilities. The Department estimates that 9 municipal wastewater treatment facilities and 2 PCI facilities will incur a collective capital cost of approximately \$55 million to construct chlorination/dechlorination and that all 29 impacted facilities will incur increased O&M costs, collectively totaling approximately \$14 million per year.

There may also be an increased cost for laboratory analysis, depending

on how the Department implements the new enterococci standards for dischargers to the marine coastal recreation waters. The Department is not repealing the existing total and fecal coliform standards. The method for implementation of the standards into SPDES permits as limitations would be determined following adoption of the criteria. Additional costs for laboratory analysis of up to \$208,620 may occur should the Department require such facilities to sample and report both enterococci and coliform. The Department revised the express terms so that the proposed standards for enterococci in Class SA waters will not necessarily apply year-round. This revision may reduce the need for samples outside of the primary contact recreation season and thus reduce costs for laboratory analysis.

Certain coastal Class SB waters (including waters reclassified from Class I to Class SB by this rule) are impacted by Combined Sewer Overflows (CSO). The New York City (NYC) CSO control program is being implemented through the development of Long Term Control Plans (LTCPs). The LTCPs must meet the regulatory requirements of the EPA's CSO Control Policy as per the Clean Water Act (CWA) section 402(q), and adhere to the terms of the 2005 Consent Order between the Department and NYC (Case No. CO2-20000107-8), as modified in 2008, 2009, 2012, 2015, 2016, and 2017 (collectively the "Consent Order"). LTCPs evaluate the cost-effectiveness of a range of control options/strategies, including up to 100% CSO capture. Given that NYC must comply with EPA's CSO control policy through the development and implementation of these LTCPs, no additional costs are anticipated from this rulemaking beyond those already required by the Consent Order, the LTCPs, NYC's State Pollutant Discharge Elimination System (SPDES) Permits, the CSO Control Policy and CWA section 402(q). These existing and continuing requirements are expected to result in the submission of approvable Jamaica Bay and City-Wide LTCPs that will include projects designed to achieve the highest attainable condition within the CSO impacted waterbodies.

The reclassification causes a more stringent, existing Class SB aquatic life standard for Dissolved Oxygen (DO) to apply to these reclassified waters. The existing DO standard for Class I is a minimum of 4.0 mg/L, while the existing DO standard for Class SB is a minimum of 4.8 mg/L, with allowable excursions below 4.8 mg/L for limited periods of time. An examination of the current DO levels in these water bodies reveals that the new standard will be attained and not likely result in additional costs.

2. Compliance Requirements

As part of the SPDES program, all significant permittees (for permit classifications see the Department's Technical & Operational Guidance Series (TOGS) 1.2.2) are required to periodically report monitoring data for substances include in their permit. The adopted regulations are not expected to increase or decrease the number of significant SPDES permittees. Dischargers that may be required to report on a parameter for which they were previously not regulated would have to maintain records and report the discharge level of the newly regulated parameter on existing reports. This rule does not require the submission of any new forms. As mentioned above, the Department has identified costs associated with the rule that may be incurred by small businesses or local governments.

3. Professional Services

There may be professional engineering services needed for the facilities potentially affected by the adopted rule, as mentioned above, to upgrade existing disinfection systems.

4. Compliance Costs

The Department reviewed the rule and identified the likely anticipated costs that are set forth in this section. The estimated total financial impact for capital and O&M costs is for the municipal wastewater treatment facilities and PCI facilities to meet the proposed standards is a capital cost of approximately \$55 million and a net increase in O&M costs of approximately \$14 million per year. Additional costs for laboratory analysis of up to \$73,350 may occur should the Department require facilities to sample and report both *E. coli* and fecal coliform. Additional costs for laboratory analysis of up to \$208,620 may occur should the Department require facilities to sample and report both enterococci and coliform. For a more detailed discussion please see above.

5. Economic and Technological Feasibility

The Department has concluded that compliance by regulated parties is both economically and technologically feasible. Under the adopted enterococci standards 25 municipal wastewater treatment plants and 4 PCI facilities discharging to marine coastal recreation waters (including waters proposed for reclassification by this rule) will likely need to upgrade their existing disinfection systems or incur increased O&M costs resulting from higher dosing.

6. Minimizing Adverse Impact

In developing this rulemaking, consideration was given to approaches that would minimize adverse economic impacts of the rule on small businesses and local governments such as differing requirements, outcome standards, and potential exemptions from coverage. Given the nature of this rule, and in order to adequately protect the waters of the State and to

meet the requirements of federal law, differing requirements or potential exemptions for small businesses and local governments were not feasible. However, for the potentially impacted facilities subject to this rule, the Department will allow necessary time to establish a path to compliance.

These regulatory changes will take effect on the date stated in the Notice of Adoption that is published in the State Register. The Department recognizes that it may be unreasonable, both physically and fiscally, to expect regulated parties to comply with the regulations immediately. After the rulemaking becomes effective it would be implemented in permits when they are modified. If additional treatment is required, a compliance schedule may be included in the permit on a case-by-case basis. Such a compliance schedule may require the permittee to submit a report describing their chosen treatment alternative and include a schedule for construction. Under such a scenario, the Department would review and, if appropriate, would approve the report before construction would commence. Although it is difficult to estimate, with accuracy, the amount of time necessary for regulated parties to achieve compliance with the proposed rule, it is expected that the Department will be able to review, modify, and renew affected permits within five years of the effective date of promulgation.

7. Small Business and Local Government Participation

The Department has informed the public about the proposed rule through the Department website, letters to dischargers and municipalities, and notices in the Environmental Notice Bulletin and the State Register. The Department has held two public information meetings and two public hearings pertaining to the rule making. The public has had the opportunity to comment on the proposed rule by attending a public hearing or by submitting written comments to the Department. The public also had an opportunity to comment on the revisions to the proposed rule by submitting written comments to the Department.

Revised Rural Area Flexibility Analysis

The New York State Department of Environmental Conservation (Department or DEC) has adopted revisions to New York's water quality standards to meet the requirements of the federal Beaches Environmental Assessment and Coastal Health (BEACH) Act of 2000 (P.L. 106-284). The Department has also adopted upgrades to the classification of two water bodies.

1. Types and Estimated Numbers of Rural Areas

The adopted rule adds new water quality standards for coastal recreation waters and reclassifies certain Class I waters consisting of Upper New York Bay and a portion of Lower New York Bay to add the best usage of primary contact recreation to these waters. Coastal recreation waters are found along the shores of Bronx, Cayuga, Chautauqua, Erie, Jefferson, Kings, Monroe, Nassau, Niagara, Orleans, Oswego, Queens, Richmond, St. Lawrence, Suffolk, Wayne, and Westchester counties. The Class I waters reclassified to Class SB border Kings, New York, and Richmond counties. Cayuga, Chautauqua, Jefferson, Orleans, Oswego, St. Lawrence, and Wayne counties are rural areas as defined in Executive Law.

2. Reporting, Recordkeeping and Other Compliance Requirements; and Professional Services

As part of the SPDES program, all significant permittees (for permit classifications see the Department's Technical & Operational Guidance Series (TOGS) 1.2.2) are required to periodically report monitoring data for substances include in their permit. The adopted regulations are not expected to increase or decrease the number of significant SPDES permittees. Dischargers that may be required to report on a parameter for which they were previously not regulated will have to maintain records and report the discharge level of the newly regulated parameter on existing reports. This adopted rule does not require the submission of any new forms, nor require substantial additional professional services, in rural areas of the State.

3. Costs

As mentioned in the Final Regulatory Impact Statement (RIS) this rule may have a financial impact related to an increased cost for laboratory analysis, depending on how the Department implements the adopted E. coli standards for dischargers to the Great Lakes. Incorporation of the standards into State Pollutant Discharge Elimination System (SPDES) permits, after adoption of the rule, will comply with all applicable laws, regulations, and criteria. The approach will be protective of the best uses, while avoiding unnecessary duplication. Additional costs for laboratory analysis of up to \$73,350 may occur should the Department require facilities to sample and report both E. coli and fecal coliform.

4. Minimizing Adverse Impact

The Department has revised the adopted express terms from the original proposal so that the adopted standards for E. coli in Class A, A-Special, AA, and AA-Special waters will not necessarily apply year-round. This revision may reduce the need for samples outside of the primary contact recreation season and thus reduce costs for laboratory analysis.

5. Rural Area Participation

The Department has informed the public about the rule through the

Department website, letters to dischargers and municipalities, and notices in the Environmental Notice Bulletin and the State Register. The Department has held two public information meetings and two public hearings pertaining to the rule making. The public has had the opportunity to comment on the proposed rule by attending a public hearing or by submitting written comments to the Department. The public has also had the opportunity to comment on the revisions to the proposed rule by submitting written comments to the Department.

Revised Job Impact Statement

This document is prepared in accordance with the State Administrative Procedure Law (SAPA) § 201-a. Pursuant to SAPA § 201-a(2)(a), the Department has determined that a Job Impact Statement is not required because the adopted rule will not have a substantial adverse impact on jobs and employment opportunities. This document contains the Department's rationale for this determination.

1. Nature of Impact

The Department has adopted new standards for all coastal recreation waters to meet the requirements of the federal Beaches Environmental Assessment and Coastal Health (BEACH) Act of 2000 (P.L. 106-284). In addition, the Department has reclassified certain Class I waters consisting of Upper New York Bay and a portion of Lower New York Bay to add the best usage of primary contact recreation to these waters. The only businesses or entities that could potentially be adversely impacted by this rule are those that hold State Pollutant Discharge Elimination System (SPDES) permits for discharge to the affected waterbodies.

2. Categories and Numbers Affected

The Department reviewed the adopted rule and identified the likely anticipated costs that are set forth in this section. The Department identified 41 municipal wastewater treatment plants ranging from 0.1 million gallons per day (MGD) to 135 MGD treatment capacity discharging to coastal recreation waters (including waters reclassified by this rule). Sixteen (16) of the 41 municipal wastewater treatment plants discharge to the Great Lakes, while the remaining 25 facilities discharge to marine coastal recreation waters (including waters reclassified by this rule). Additionally, 4 Private, Commercial, and Institutional (PCI) facilities were identified as surface water sanitary dischargers to marine coastal recreation waters.

The financial impact due to the adoption of the E. coli standard is considered to be de minimis, as existing treatment facilities with disinfection discharging to the Great Lakes are expected to meet the adopted standard without significant adjustments. Additional costs for laboratory analysis of up to \$73,350 may occur should the Department require such facilities to sample and report both E. coli and fecal coliform.

Under the adopted enterococci standards, 25 municipal wastewater treatment plants and 4 PCI facilities discharging to marine coastal recreation waters (including waters reclassified from Class I to Class SB by this rule) will likely need to upgrade their existing disinfection systems or incur increased operation and maintenance (O&M) costs resulting from higher dosing. The Department analyzed the costs associated with disinfection using chlorination and ultraviolet radiation (UV).

The estimated unit cost for building a UV disinfection system is \$512,676/MGD design flow in capital costs with an estimated O&M cost of \$10,000/MGD per year. Given that the total capital cost for conversion to UV disinfection is significantly higher than other alternatives, the estimated financial impact assumes that the impacted facilities will not choose the UV option. For facilities that already have an existing UV disinfection system, the most cost-effective alternative is to double the UV light intensity or dosing, thus the financial impact of \$10,000/MGD per year will be that resulting solely from increased O&M expenditures. Construction of a de-chlorination facility is estimated to cost \$220,000/MGD. The average O&M cost of approximately \$18,600/MGD per year was used to determine the potential financial impact associated with O&M for facilities utilizing chlorination and de-chlorination and \$27,900/MGD per year for facilities that currently chlorinate but will need to add de-chlorination facilities. Additional costs for laboratory analysis of up to \$208,620 may occur should the Department require such facilities to sample and report both enterococci and coliform.

The Department estimates that 9 municipal wastewater treatment facilities and 2 PCI facilities would incur a collective capital cost of approximately \$55 million to construct chlorination/dechlorination and that all 29 impacted facilities would incur increased O&M costs, collectively totaling approximately \$14 million per year.

Although these costs are not de minimis, they are spread across a large number of facilities over time and are not likely to impact in any measurable way job opportunities in New York State. To the contrary, this rule may create job opportunities for engineers and construction firms to design and construct necessary waste water treatment plant retrofits.

Certain coastal Class SB waters (including waters reclassified from Class I to Class SB by this rule) are impacted by Combined Sewer Overflows (CSO). The New York City (NYC) CSO control program is be-

ing implemented through the development of Long Term Control Plans (LTCPs). The LTCPs must meet the regulatory requirements of the EPA's CSO Control Policy as per the Clean Water Act (CWA) section 402(q) and adhere to the terms of the 2005 Consent Order between NYSDEC and NYC (Case No. CO2-20000107-8), as modified in 2008, 2009, 2012, 2015, 2016, and 2017 (collectively the "Consent Order"). LTCPs evaluate the cost-effectiveness of a range of control options/strategies, including up to 100% CSO capture. Given that NYC must comply with EPA's CSO control policy through the development and implementation of these LTCPs, no additional costs are anticipated to be driven by this rulemaking beyond those already required by the Consent Order, the LTCPs, NYC's SPDES Permits, the CSO Control Policy and CWA section 402(q). These existing and continuing requirements are expected to result in the submission of approvable Jamaica Bay and City-Wide LTCPs that will include projects designed to achieve the highest attainable condition within the CSO impacted waterbodies. For the waterbodies subject to the proposed rule, the LTCP analysis includes comparison of enterococci criteria. This analysis predicts that the proposed new criteria does not necessitate additional CSO projects at this time.

The adopted reclassification has also caused a more stringent, existing Class SB aquatic life standard for Dissolved Oxygen (DO) to apply to these waters. The existing DO standard for Class I is a minimum of 4.0 mg/L, while the existing DO standard for Class SB is a minimum of 4.8 mg/L, with allowable excursions below 4.8 mg/L for limited periods of time. An examination of the current DO levels in these water bodies reveals that the new standard will be attained and not likely result in additional costs.

3. Regions of Adverse Impact

This rule sets forth new water quality standards for coastal recreation waters. These waters are found along the shores of Bronx, Cayuga, Chautauqua, Erie, Jefferson, Kings, Monroe, Nassau, Niagara, Orleans, Oswego, Queens, Richmond, St. Lawrence, Suffolk, Wayne, and Westchester counties. This rule also upgraded the classification of Class I coastal waters of Upper New York Bay and a portion of Lower New York Bay, found along the shores of Kings, New York, and Richmond counties. However, as mentioned above, the adopted rule is not likely to negatively impact in any measurable way job opportunities in the State of New York. To the contrary, this rule may create job opportunities for engineers and construction firms to design and construct necessary wastewater treatment plant retrofits and may result in fewer beach closures which in turn would potentially increase tourism revenue for the affected areas.

4. Minimizing Adverse Impact

The adopted regulatory changes will take effect on the date specified in the Notice of Adoption. However, the Department recognizes that it may be unreasonable, both physically and fiscally, to expect regulated parties to comply with the regulations immediately. After the rule making becomes effective it would be implemented in permits when modified. If additional treatment is required, a compliance schedule may be included with the permit on a case-by-case basis. Such a compliance schedule may require the permittee to submit a report describing their chosen treatment alternative and include a schedule for construction. Under such a scenario, the Department would review and, if appropriate, would approve the report before construction would commence. Although it is difficult to estimate, with accuracy, the amount of time necessary for regulated parties to achieve compliance with the adopted rule, it is expected that the Department will be able to review and renew affected permits within five years of the effective date of promulgation.

5. Conclusion

The Department has determined that this potential impact is not a "substantial adverse impact on jobs and employment opportunities" as that term is defined in section 201-a(6)(c) of the New York State Administrative Procedure Act. In addition, this rule will not have a measurable impact on self-employment. Therefore, the Department has determined that a Job Impact Statement is not required.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

Comments were received in writing for the revised rule proposed on February 27, 2019. Commenters supported the change in averaging period from 90 days in the original (March 21, 2018) proposed rule, to 30 days as in the revised (February 27, 2019) proposed rule. Some comments were critical of the responses by New York State Department of Environmental Conservation (the "Department") to the original comments or that the revised proposal did not make all of the changes that the commenters had requested. Several comments were repeated from comments on the original proposed rule.

In the final rule, and in consideration of public comment, the Depart-

ment made a minor font modification and withdrew several elements of the revised proposal. The minor font modification is the italicization of the indicator organism *E. coli*. In response to comments on the notice of revised rule making, the following non-substantial revisions were made: (1) proposed changes related to existing total and fecal coliform standards and the associated clarification of language under the proposed 6 NYCRR 703.4(e) were withdrawn; (2) language governing the applicability of the new enterococci and *E. coli* standards was pared back and renumbered under a new 6 NYCRR § 703.4(f); and (3) the proposed definition of primary contact recreation season was withdrawn and instances where it was used were replaced with the time period itself, which is May 1 through October 31.

Detailed responses to public comment are provided in the full Assessment of Public Comment document, available on the DEC website, at <https://dec.ny.gov/regulations/112962.html>.

New York State Gaming Commission

NOTICE OF ADOPTION

Sports Wagering at Gaming Facilities

I.D. No. SGC-12-19-00007-A

Filing No. 573

Filing Date: 2019-06-11

Effective Date: 2019-06-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 5329 to Title 9 NYCRR.

Statutory authority: Racing, Pari-Mutuel Wagering and Breeding Law, sections 104(19), 1307(1), (2)(g), 1367(3)(a), (b) and (5)

Subject: Sports wagering at gaming facilities.

Purpose: To regulate and control sports wagering as directed by statute.

Substance of final rule: The addition of Part 5329 of Subtitle T of Title 9 NYCRR will allow the New York State Gaming Commission ("Commission") to prescribe the rules for sports wagering at gaming facilities.

Section 5329.1 sets forth definitions applicable to sports wagering.

Section 5329.2 sets forth the process by which a gaming facility may petition for a sports pool license.

Section 5329.3 sets forth the term of a sports pool license and describes the review process for continuing licensure.

Section 5329.4 allows for contracting with a sports pool vendor to operate or assist in the operation of sports pools on behalf of a gaming facility and sets forth licensing requirements.

Section 5329.5 establishes a continuing duty to report operator and sports pool vendor changes.

Section 5329.6 describes occupational licensing requirements of individuals.

Section 5329.7 authorizes action in the event of misconduct or improper associations.

Section 5329.8 requires internal controls and sets forth minimum requirements for internal controls.

Section 5329.9 sets forth requirements for the sports wagering lounge physical space.

Section 5329.10 sets forth sports pool system requirements.

Section 5329.11 sets forth regulations for automated ticket machines.

Section 5329.12 requires each operator to establish house rules for sports wagering and sets forth minimum requirements for house rules.

Section 5329.13 regulates wager types and sets forth that prior Commission approval of a wager type is required.

Section 5329.14 sets forth requirements for parlay card wagers.

Section 5329.15 allows layoff wagers as a risk management tool.

Section 5329.16 requires certain information to be available to patrons.

Section 5329.17 sets forth requirements for the manner in which wagers may be placed.

Section 5329.18 sets forth requirements for wagering tickets.

Section 5329.19 sets forth certain restrictions on wagering, including by minors, prohibited persons and proxies.

Section 5329.20 regulates ticket payout procedures and establishes certain reporting requirements.

Section 5329.21 regulates the circumstances under which wagers may be cancelled.

Section 5329.22 prohibits the structuring of wagers to avoid compliance with law or regulation.

Section 5329.23 requires diligent investigation of patron complaints.

Section 5329.24 sets forth operator reserve requirements.

Section 5329.25 prohibits dishonest actions in connection with sports wagering.

Section 5329.26 establishes duties to report dishonest or unlawful acts, bribes, suspicious activity and suspected money laundering.

Section 5329.27 requires the establishment of controls to identify unusual betting activity and requires the retention of an integrity monitoring provider to assist in the identification of suspicious betting activity and cooperation with others in protecting the integrity of underlying sports events.

Section 5329.28 sets forth regulations in regard to the payment and reporting of tax.

Section 5329.29 sets forth procedures to report and reconcile gross gaming revenue.

Section 5329.30 sets forth requirements for accounting and financial records.

Section 5329.31 establishes a duty to give evidence to the Commission when requested or ordered to do so.

Section 5329.32 requires compliance assessments.

Section 5329.33 empowers the Commission to review and examine records.

Section 5329.34 requires compliance with responsible gaming obligations.

Section 5329.35 sets forth that other casino regulations apply.

Section 5329.36 sets forth Commission power to suspend or revoke licenses or impose fines, when appropriate.

Final rule as compared with last published rule: Nonsubstantial changes were made in sections 5329.9(a), (b)(2), 5329.10(e)(8), (f)(2), (4), (5), (g)(5), (i)(7)(ix), (x), (j), (o)(9), (10), (p)(2), (3), (4), 5329.11(b)(1), (2), (3), (g)(2), (5), (j)(4), 5329.12(a)(5), 5329.13(b)(1)(iii), (iv), 5329.16(b), (c), 5329.17(a), 5329.18(b), 5329.20 (title), (a)(1), (iii)(d), (c), (d), (e), 5329.21(c)(1), (2), 5329.24 and 5329.36(a).

Text of rule and any required statements and analyses may be obtained from: Kristen M. Buckley, New York State Gaming Commission, One Broadway Center, P.O. Box 7500, Schenectady, New York 12301-7500, (518) 388-3332, email: gamingrules@gaming.ny.gov

Revised Regulatory Impact Statement

A revised Regulatory Impact Statement is not required because the changes to the proposed new Part 5329 to 9 NYCRR involve revisions that are not substantial. As is apparent from the revision, the non-substantial changes will not have an impact beyond those stated in the RIS of the Notice of Proposed Rule Making.

Revised Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A regulatory flexibility analysis for small business and local governments, a rural area flexibility analysis, and a job impact statement are not required for this rulemaking proposal because it will not adversely affect small businesses, local governments, rural areas, or jobs.

The proposed new Part 5329 of 9 NYCRR creates the sports wagering rules that are necessary for the licensed commercial casinos in New York State to be able to offer sports wagering in a sports lounge at the casino. The proposal has no impact local governments or small businesses, which are not eligible for a sports pool license, are not anticipated to be a sports pool vendor, and have no regulatory responsibility for sports wagering. The proposal has no adverse impact on rural areas.

The proposal, as adopted, also includes changes to the language of the proposed rulemaking that are not substantial and the changes made do not necessitate that such analyses be modified.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is the 4th or 5th year after the year in which this rule is being adopted. This review period, justification for proposing same, and invitation for public comment thereon, were contained in a RFA, RAFA or JIS:

An assessment of public comment on the 4 or 5-year initial review period is not attached because no comments were received on the issue.

Assessment of Public Comment

Public comments were received from many sources. Numerous comments were submitted by Patrick Kehoe, Esq., of the law firm Allen & Desnoyers LLP; American Wagering, Inc. (d/b/a William Hill US); Jeremy Kudon, Esq., of the law firm Orrick, Herrington & Sutcliffe LLP; Rivers Casino & Resort Schenectady and Seneca Gaming Corporation. The commentators included, among others, New Yorkers for Constitutional Freedoms and Pegula Sports + Entertainment.

The Commission made several modifications to the proposal in response

to public comments. The requirement of a segregated booth for a cashier cashing a ticket in Section 5329.9(b)(2)(iii) was modified to apply to tickets of more than \$10,000 rather than \$20,000. The unnecessary requirement to display available wagering information to the operator's surveillance system was removed from Section 5329.16(b). Section 5329.20(c) was modified to accept an electronic recording in lieu of a physical marking that a ticket was cashed. Section 5329.24 was modified to require Commission approval of the sports pool operator's cash reserve amount, rather than the Commission setting an amount.

The Commission made suggested typographical and stylistic changes to Sections 5329.9(a), 5329.10(g)(5), 5329.11(j)(4), 5329.17(a), 5329.20(a)(1) and 5329.36(a). The Commission felt the proposal was clear as written and declined to make changes to Sections 5329.1(h)(3) and (5), 5329.9(b)(2)(iv) and (3), 5329.10(k) and (p), 5329.11(e), (e)(1) and (h), 5329.12(a)(8), 5329.13(b)(2)(v) and (e), 5329.16(a), 5329.17(b), 5329.19, 5329.27(e)(1) and (2) and (h)(2), and 5329.31; and with regard to the apparent suggestions, although the comments were stated as questions, to modify Sections 5329.1(f) and (h)(1), 5329.10(i)(5) and (6), 5329.15, 5329.18(a)(13), 5329.21(b) and (c)(2), and 5329.33. In several of these instances, the public comment raised a concern that is addressed by statutory law, other rules or standard industry practices: Sections 5329.1(a) (the law currently limits automated sports wagering ticket machines to sports lounges), 5329.16(c) (operators may calibrate the lock time to the wager offered), 5329.18(a)(13) (round-robin payouts are adequately described by stating the maximum win on the wagering ticket), and 5329.12(a)(8) and 5329.1(h)(3) (the governing body and team employees may wager on sports events the governing body does not oversee).

The Commission declined to condition sports pool vendor contracts on the license status of the vendor, Section 5329.4(a), because such is outside the scope of the appropriate regulatory function of the Commission.

The Commission declined to modify the proposal because doing so would conflict with existing law in response to suggestions to provide for immediate mobile sports wagering, permit sports wagering on New York college contests, relieve a sports pool operator from the obligation to maintain data on wagers placed by New York residents, permit sports wagering at major professional sports venues, or prevent sports wagering by not adopting the regulations.

The Commission did not modify the proposal because doing so would be inconsistent with other proposed or existing rules in the regulatory scheme in response to suggestions to require GAAP audited accounting statements in Section 5329.3(b)(5); have no expiration date for unredeemed vouchers in Section 5329.10(g)(4); use the terms "cage department" or "accounting department" in place of "finance department" at various places in Section 5329.11(d) through (k); apply to only cash wagers the various requirements for wagers and payouts that exceed \$10,000 in Sections 5329.20(a)(1) and 5329.22(b), (c) and (d); or make various modifications to Sections 5329.11(e), (f)(4), (g), (h)(2), (i), (i)(5) and (6), (j) and (k) that would be inconsistent with the Commission's drop and count requirements generally.

The Commission declined to require involvement of the Gaming Inspector General in reporting of unusual betting activity occurring outside the Commission in Section 5329.1(c); expand the definition of "suspicious wager" in Section 5329.1(l)(3) in order to preserve the flexibility of a sports wagering operator to exercise business judgment based on its experiences; declined to expand play restrictions set forth in Section 5300.3 to certain prohibited sports pool participants whose ownership stakes are addressed in Section 5329.2(a)(2); declined to mandate that the Commission deny a license for every matter implicated by Section 5329.2(f); declined to limit the sharing of unusual betting activity information to only integrity monitoring providers under Section 5329.11; declined to eliminate required casino employee involvement in certain functions set forth in Section 5329.11(e), (f) and (k); declined to limit instances of required reporting of reconciliation variances in Section 5329.11(k); declined to prohibit Commission-approved house rules from allowing acceptance of wagers at other than posted terms, as regulated in Section 5329.12(a)(4); declined to short the record retention period for betting data from 10 to seven years in Section 5329.20(f); declined to adopt federal timeframes rather than "prompt" reporting of possible instances of money laundering in Section 5329.26(e) or suspicious wagering in Section 5329.26(f)(3); declined to require casinos to use only official league data to settle in-game wagers under Sections 5329.1 and 5329.13; declined to reduce to the narrower category of "suspicious" the requirement of reporting of "unusual" betting activity in Sections 5329.1(c) and 5329.27(a), (e)(1) and (2); declined to lessen the documentation for automated ticket machine fill attestations and the requirement of a locked accounting box in Section 5329.11(i)(5); declined to eliminate the requirement for a separate cashier window for large winning tickets in Section 5329.9(b)(2)(iii); declined to permit less restricted access to cash tickets and the related storage areas pursuant to Section 5329.20(e); declined to permit a multiple transaction log to use a patron's account number rather than the patron's name and ad-

dress in Section 5329.22(b)(1); declined to make the Commission responsible to rescind erroneous or non-compliant wagers, Section 5329.10(k); declined to allow major league sports leagues to opt out of wagers based on perceived integrity risks, Section 5329.13(b)(2)(v); and declined to stop casinos from excluding bettors who consistently win. These suggestions fell short of the Commission policy to permit flexibility where appropriate while implementing industry-leading security safeguards for sports wagering in New York or were otherwise inconsistent with policy the Commission wished to implement.

Finally, the Commission concluded that some suggestions were premature for inclusion in the current rulemaking proposal but will be taken under advisement.

NOTICE OF ADOPTION

Cash 4 Life Multi-Jurisdiction Lottery Game

I.D. No. SGC-13-19-00023-A

Filing No. 572

Filing Date: 2019-06-11

Effective Date: 2019-07-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of section 5007.15 of Title 9 NYCRR.

Statutory authority: Tax Law, sections 1601, 1604, 1612(a), 1617; Racing, Pari-Mutuel Wagering and Breeding Law, sections 103(2), 104(1) and (19)

Subject: Cash 4 Life multi-jurisdiction lottery game.

Purpose: To provide for daily Cash 4 Life drawings to raise additional revenue for education.

Text of final rule: Section 5007.15 of Title 9 of the NYCRR is amended to read as follows:

§ 5007.15. Cash 4 Life.

(a) Definitions. The following definitions apply to the Cash 4 Life game:

(13) Purchaser means a player of the Cash 4 Life game who purchases a ticket within New York State in accordance with Cash 4 Life rules and New York State governing laws and regulations.

(c) Game description.

(2) The commission reserves the right to change the field of numbers in the Cash 4 Life game. Any change in the field of numbers shall be [publicly] announced *publicly* by the commission in advance of the effective date of such change.

(3) A Cash 4 Life subscription sales program may be offered at the discretion of the commission. *No subscription for the Cash 4 Life game shall remain in effect longer than one year.*

(d) Play characteristics and restrictions.

(2) A multi-draw Cash 4 Life ticket may be purchased for a specified number of future drawings. *Such multi-draw ticket shall not be considered a subscription pursuant to paragraph (3) of subdivision (c) of this section or Part 5005 of this subchapter.*

(3) A Cash 4 Life ticket may not be purchased [in any other state] anywhere by any commission employee or any spouse, child, brother, sister or parent residing as a member of the same household in the principal place of abode of any commission employee.

(e) Drawings. [Cash 4 Life drawings shall be conducted twice per week] *Cash 4 Life drawings shall be conducted each calendar day at a time and at a location authorized by the party lotteries. The [day,] time, frequency and location of the Cash 4 Life drawings may be changed following a public announcement.*

(f) Pool, prize structure and probability of winning.

(1) Prize pool. The prize pool for the aggregate of all prize categories shall consist of 55 percent of the sales for each drawing period after the prize reserve accounts are funded to predetermined amounts. If the Cash 4 Life game is no longer offered in New York State, any amount remaining in the prize pool at the end of the game shall be carried forward to a replacement game or used in a manner as permitted by New York State law.

(2) Prize reserve accounts. The prize reserve account may be adjusted as needed to maintain an approved maximum balance and shares from each of the party lotteries as specified by the party lotteries. If the Cash 4

Life game is no longer offered in New York State, any amount remaining in a prize reserve account at the end of the game shall be carried forward to a replacement prize reserve account or used in a manner as permitted by New York State law.

(3) Prize payout and structure. There are nine prize levels in the Cash 4 Life game. The prize payout percentage and prize structure are as follows, unless there are multiple winners in a prize category, in which case prize amounts may be reduced as set forth in subdivision (h) of this section:

Final rule as compared with last published rule: Nonsubstantive changes were made in section 5007.15(a).

Text of rule and any required statements and analyses may be obtained from: Kristen Buckley, Acting Secretary, New York State Gaming Commission, One Broadway Center, 5th Floor, Schenectady, NY 12305, (518) 388-3332, email: gamingrules@gaming.ny.gov

Revised Regulatory Impact Statement

The change made to the published proposed rule does not necessitate revision to the previously published Regulatory Impact Statement ("RIS"). A revised RIS is not required because the non-substantive change to Section 5007.15(a) of Title 9 of the NYCRR involves merely the insertion of a missing word. With the addition of the word "game" as indicated in the rule text attached to the Notice of Adoption, Section 5007.15(a) will clarify that its definitions apply to "the Cash 4 Life game" rather than "the Cash 4 Life."

As is apparent from the revision, the non-substantive change will not have an impact beyond those stated in the RIS of the Notice of Proposed Rulemaking. Therefore, the non-substantive change made to the last published rule does not necessitate revision to the previously published RIS.

Revised Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A regulatory flexibility analysis for small business and local governments, a rural area flexibility analysis, and a job impact statement are not required for this rulemaking because it will not adversely affect small businesses, local governments, rural areas or jobs.

The change made to the published proposed rule does not necessitate revision to the previously published consolidated statement of regulatory flexibility analysis, rural area flexibility analysis and job impact. The only change made to the last published rule in 9 NYCRR Subtitle T includes a non-substantive change to Section 5007.15(a) of Title 9 of the NYCRR, which involves merely the insertion of a missing word, i.e. "game". With the addition of the word "game" as indicated in the rule text attached to the Notice of Adoption, Section 5007.15(a) will provide that its definitions apply to "the Cash 4 Life game" rather than "the Cash 4 Life." As is apparent from the revision, the non-substantive change to Section 5007.15(a) will not impact small businesses, local governments, rural areas or jobs. Therefore, the non-substantive change to the rule text does not necessitate revision to the previously published Consolidated Statement of Non-Assessment.

Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2024, which is no later than the 5th year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Office of Mental Health

NOTICE OF ADOPTION

Operation of Crisis Residences in New York State

I.D. No. OMH-43-18-00001-A

Filing No. 571

Filing Date: 2019-06-07

Effective Date: 2019-06-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Part 589 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 7.09 and 31.04

Subject: Operation of Crisis Residences in New York State.

Purpose: To revise and update the categories of Crisis Residences to match what is currently operating in New York.

Substance of final rule: The New York State Office of Mental Health along with County leadership has developed a shared vision of a coordinated behavior health crisis management system available to all New Yorkers, regardless of ability to pay. This system will integrate existing crisis infrastructure with newly available resources in managed care, Delivery System Reform Incentive Payment (DSRIP) and Value Based Payments (VBP). To inform this vision, an interagency workgroup comprised of State and local governmental subject matter experts received input from relevant stakeholders, including people with mental illness, people in recovery from substance use disorders, family members, the advocacy community, behavioral health providers, hospital systems, managed care plans, the child welfare systems and meetings with other states.

The goals of the crisis management system are to maintain people safely in the community, reduce unnecessary emergency room visits and inpatient hospitalizations, reduce risk of future crises and coordinate information sharing among clinicians, recipients and involved family members and identified supports to reflect recipient's preferences.

A continuum of crisis services available in a community allows for individuals to receive services at the right time and right place. An integral part of the crisis management system and continuum of crisis services are crisis residential programs.

At this time, the Office of Mental Health (OMH) is proposing amendments to 14 NYCRR Part 589 (regulations that guide the operations of crisis residences). OMH has revised Part 589 to update the language in the regulations and conform the regulations to more accurately reflect current practice related to the operation of crisis residences.

- The revision of the use of the phrases “mentally ill” to “persons experiencing mental illness” allows for the person to be defined separately from their illness in an effort to remove outdated language while conforming with the Mental Health Hygiene laws.

- The “background and intent” provision was updated to include a broader explanation of the purposes of crisis residences and to include the three types of crisis residences: Intensive Crisis Residence, Residential Crisis Support, and Children’s Crisis Residence. These types of residences reflect the current provision of residential crisis services.

- The “legal base” and “applicability” provisions contain no substantive changes, only minor technical changes to update the language.

- The “definitions” section was updated to include staffing and program components, with the intention of consolidating this information and including it in one section. The definition of residential programs was also revised to reflect the three types of crisis residences.

- The “certification” provision was similarly updated to reflect purpose of the crisis residences, as well as the population, and services that are included in each of the three types of residences.

- The “organization and administration” provision was revised to reflect the additional populations under discrimination and to include specific cultural and linguistic competency in staff training. It also includes policies and procedures for staff supervision, visiting, and identifying and mandatory reporting of child abuse and neglect for the children’s crisis residences.

- The “written plan for services and staff composition” provision now includes a plan for continuity and integration of care within the mental health system, and other systems of care under written plans for services and staff composition.

- There is also the inclusion of background checks and additional staffing requirements for children’s crisis residences that specifies minimum staffing and allows for an adequate volume of professional and nursing staff to ensure the continuous provision of treatment services—this is included in “staffing.” There are also case record requirements included under the individual services plan and included in the children’s crisis residence requirements.

- In the “quality assurances” provision, the language was updated to include monitoring of program performance and OMH monitoring of program quality. This monitoring process allow for oversight of the care of recipients and identify actions that can be taken if programs are in violation of its operating certificate, applicable statute, standard, rule or regulation.

- The language in the “utilization review” provision now includes review of admission and continued stay and over-utilization and under-utilization of services.

- The revised regulations add a “rights of recipients” provision that outlines the rights of recipients residing in a residential program and a grievance process which ensures the timely review and resolution of complaints.

- The “premises” provision includes changes to the safety requirement, which also removes space heaters as a prohibited fire hazard if pre-approved by OMH. The revised regulations also include carbon monoxide detectors to the safety requirements.

- Technical/logistical amendments were made to change the numbering of the “statistical records and reports” provision, and to eliminate subparts 589-1 and 589-2.

- Section 589.15 Determination of self-preservation section was added to the regulation.

The full text of the rule can be viewed online at: https://omh.ny.gov/omhweb/policy_and_regulations/

Final rule as compared with last published rule: Nonsubstantive changes were made in sections 589.1, 589.2, 589.4, 589.5, 589.6, 589.7, 589.8, 589.9, 589.10, 589.12, 589.13, 589.14 and 589.15.

Revised rule making(s) were previously published in the State Register on October 24, 2018.

Text of rule and any required statements and analyses may be obtained from: Nancy Pepe, Office of Mental Health, 44 Holland Avenue, Albany, NY 12229, (518) 474-1331, email: Nancy.Pepe@omh.ny.gov

Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement are not required because the changes made to the last published rule do not necessitate revision to the previously published document. The changes to the text are not substantial, do not change the meaning of any provision and therefore do not change any statements in the document.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The Office of Mental Health has received public comments from 5 stakeholder entities, including providers and provider organizations. All the comments were reviewed, assessed and taken into consideration. Below are the collective responses to each of the issues presented. The full Assessment of Public Comment can be viewed online at: https://omh.ny.gov/omhweb/policy_and_regulations/

Definitions

- Definitions such as ‘collateral’, ‘mental illness’, and ‘qualified mental health staff person’ were clarified to bring them into alignment with current regulations or more common usage.

Certification

- Service definitions will be defined in program guidance. Requests for additional services included under programs are at the discretion of the program. The regulation sets minimum standards for service delivery.

- Principles of service delivery will be included in program guidance.

- Medication therapy in Intensive Crisis Residences are intended as a part of a comprehensive treatment program and differentiates this from Residential Crisis Support.

Organization and Administration

- Programs may be in the same building, if they are programmatically and physically separate. Staff may not be shared between programs during a shift.

- HIPAA compliance, background checks and child and abuse neglect registry expectations were clarified.

Staffing

- Peer specialists as part of a staffing plan are included in the definitions and staffing sections.

- Program supervision may be on-site or on-call and must be addressed in the staffing plan. This is based on program’s ability to ensure health, safety and well-being of recipients and the efficacy of the program.

- Staffing ratios will be included in program guidance.

Individual Service Plan and Case Record

- Children’s crisis residential individual service plan review clarified that an intake assessment and preliminary service plan must be developed within 24 hours. Adult programs must also have an individual service plan completed within 24 hours.

- Reference to electronic health records has been included.

Quality Assurance

- All references to a ‘functional program’ were removed.

- Quality assurance practices that are not required in regulation, such as a committee comprised of current and former recipients will be addressed in program guidance and must be included in program policies and procedures.

Rights of Recipients

- The right of recipients to control their own schedules and activities has been added to this section.

Premises

- The requirement that 10% of the floor area of the bedroom be windows can be waived for cause.

A section on determination of self-preservation has been added to the regulation.

Office for People with Developmental Disabilities

NOTICE OF ADOPTION

Enrollment in Medicare Prescription Drug Plans and Fully Integrated Duals Advantage Plans for IDD

I.D. No. PDD-13-19-00008-A

Filing No. 570

Filing Date: 2019-06-06

Effective Date: 2019-06-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Amendment of Subpart 635-11 of Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b), 13.15(a) and 16.00

Subject: Enrollment in Medicare Prescription Drug Plans and Fully Integrated Duals Advantage Plans for IDD.

Purpose: To allow individuals to be enrolled in a FIDA-IDD plan when individuals are unable to enroll themselves.

Text or summary was published in the March 27, 2019 issue of the Register, I.D. No. PDD-13-19-00008-EP.

Final rule as compared with last published rule: No changes.

Text of rule and any required statements and analyses may be obtained from: Office of Counsel, Bureau of Policy and Regulatory Affairs, Office for People With Developmental Disabilities, 44 Holland Ave., 3rd Floor, Albany, NY 12229, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2022, which is no later than the 3rd year after the year in which this rule is being adopted.

Assessment of Public Comment

The agency received no public comment.

Public Service Commission

PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Petition to Submeter Electricity and Waiver of Energy Audit

I.D. No. PSC-26-19-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the petition of 211 Sea Foam Properties, LLC to submeter electricity at 211 West 29th Street, New York, NY and request for a waiver of 16 NYCRR section 96.5(k)(3).

Statutory authority: Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

Subject: Petition to submeter electricity and waiver of energy audit.

Purpose: To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Substance of proposed rule: The Commission is considering the petition filed by 211 Sea Foam Properties, LLC on May 30, 2019, requesting authorization to submeter electricity at 211 West 29th Street, New York, NY, located in the service territory of Consolidated Edison Company of New York, Inc. (Con Edison).

In the petition, 211 Sea Foam Properties, LLC requests authorization to take electric service from Con Edison and then distribute and meter that

electricity to its residents. Submetering of electricity to residential residents is allowed so long as it complies with the protections and requirements of the Commission's regulations in 16 NYCRR Part 96. The Commission is also considering the Owner's request for a waiver of 16 NYCRR § 96.5(k)(3), which requires proof that an energy audit has been conducted when 20 percent or more of the residents receive income-based housing assistance.

The full text of the petition and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(19-E-0409SP1)

Department of Taxation and Finance

EMERGENCY RULE MAKING

Congestion Surcharge

I.D. No. TAF-09-19-00005-E

Filing No. 566

Filing Date: 2019-06-06

Effective Date: 2019-06-10

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 700 to Title 20 NYCRR.

Statutory authority: Tax Law, sections 171, subdivision First, 1096(a), art. 29-C

Finding of necessity for emergency rule: Preservation of general welfare.

Specific reasons underlying the finding of necessity: Pursuant to Article 29-C of the Tax Law, a surcharge is in effect, beginning January 1, 2019, on certain intrastate for-hire transportation that begins in, ends in, or passes through the geographic area of the city of New York, in the borough of Manhattan, south of and excluding 96th Street (the "congestion zone"). The Commissioner is required to administer this surcharge, and to accept the registration of persons liable for the surcharge.

This rule is being readopted on an emergency basis so that persons liable for the congestion surcharge can timely register, and to ensure that proper transportation records are kept, beginning January 1, 2019.

Subject: Congestion Surcharge.

Purpose: To implement the Congestion Surcharge and related registration, recordkeeping and reporting requirements.

Substance of emergency rule (Full text is posted at the following State website: <https://www.tax.ny.gov/rulemaker/default.htm>): Tax Law Article 29-C mandates the payment of a surcharge, effective January 1, 2019, on the provision of certain intrastate for-hire transportation that begins in, ends in, or passes through the geographic area of the city of New York, in the borough of Manhattan, south of and excluding 96th Street (the "congestion zone"). The provisions of Article 29-C require, among other things, that persons liable for the congestion surcharge register with the Commissioner of Taxation and Finance and keep records of the transportation they are responsible for.

This rule adds a new Subchapter E (section 700.1 through section 700.4)

to Chapter IV of Title 20 NYCRR. Section 700.1 contains definitions that are applicable throughout Subchapter E, while section 700.2 reflects the imposition of the congestion surcharge. Section 700.3 sets forth registration and renewal requirements (including the payment of fees) for persons liable for the surcharge. Finally, section 700.4 identifies the types of records and information that must be kept, how they must be kept and transmitted, and who is responsible for keeping them (i.e., persons liable for the congestion surcharge).

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. TAF-09-19-00005-EP, Issue of February 27, 2019. The emergency rule will expire August 9, 2019.

Text of rule and any required statements and analyses may be obtained from: Kathleen D. Chase, Tax Regulations Specialist II, Department of Taxation and Finance, Office of Counsel, Room 200, Building 9, W.A. Harriman Campus, Albany, NY 12227, (518) 530-4153, email: kathleen.chase@tax.ny.gov

Regulatory Impact Statement

1. Statutory authority: Tax Law, section 171, subdivision First, generally authorizes the Commissioner of Taxation and Finance to promulgate regulations; section 1096(a) of the Tax Law generally authorizes the Commissioner to make such rules and regulations, and to require such facts and information to be reported, as it may deem necessary to enforce the provisions of Article 27 of the Tax Law; section 1299-G of Article 29-C of the Tax Law states that the provisions of Article 27 of the Tax Law apply with respect to the administration of and procedure with respect to the congestion surcharge; section 1299-A of Article 29-C of the Tax Law imposes a surcharge on for-hire transportation trips that begin in, end in, or pass through the geographic area of the city of New York, in the borough of Manhattan, south of and excluding 96th Street (the “congestion zone”); Article 29-C of the Tax Law requires the Commissioner to administer the congestion surcharge, and to accept the registration of persons liable for the surcharge. Section 1299-C of Article 29-C requires that persons liable for the congestion surcharge file with the Commissioner a completed application for a certificate of registration, in a form prescribed by the Commissioner, subject to renewal in accordance with rules promulgated by the Commissioner. Section 1299-E of Article 29-C requires records to be kept by persons liable for the surcharge.

2. Legislative objectives: New Subchapter E (section 700.1 through section 700.4) of Chapter IV of Title 20 NYCRR reflects the imposition of the congestion surcharge. Subchapter E implements the registration and administration requirements of Article 29-C of the Tax Law. Section 700.1 of Subchapter E contains definitions that are applicable throughout Subchapter E, while section 700.2 reflects the imposition of the congestion surcharge. Section 700.3 sets forth registration and renewal requirements (including the payment of fees) for persons liable for the surcharge. Finally, section 700.4 identifies the types of records and information that must be kept, how they must be kept and transmitted, and who is responsible for keeping them (i.e., persons liable for the congestion surcharge).

3. Needs and benefits: This rule sets forth the renewal and registration requirements necessary to comply with Article 29-C, as well as the records that must be kept to accomplish compliance with Article 29-C. This rule benefits taxpayers by putting in place the means for complying with the congestion surcharge effective January 1, 2019.

4. Costs:

(a) Costs to regulated parties for the implementation and continuing compliance with this rule: There is no additional cost or burden to comply with these amendments. There is no additional time period needed for compliance.

(b) Costs to this agency, the State and local governments for the implementation and continuation of this rule: Since the need to make amendments to the New York State Sales and Use and Other Miscellaneous Tax regulations under Article 29-C of the Tax Law arises due to the statutory changes requiring that the Commissioner administer the congestion surcharge, and accept the registration of those who will be liable for the surcharge, there are no costs to this agency or the State and local governments that are due to the promulgation of this rule.

(c) Information and methodology: This analysis is based on a review of the statutory requirements and on discussions among personnel from the Department’s Taxpayer Guidance Division, Office of Counsel, Office of Tax Policy Analysis Bureau of Tax and Fiscal Studies, Office of Budget and Management Analysis, and Management Analysis and Project Services Bureau.

5. Local government mandates: There are no costs or burdens imposed on local governments to comply with this amendment.

6. Paperwork: This rule will not require any new forms or information. The rule merely implements the registration, renewal and recordkeeping requirements of Article 29-C of the Tax Law.

7. Duplication: This rule does not duplicate any other requirements.

8. Alternatives: Since Article 29-C, as added by Part NNN of Chapter 59 of the Laws of 2018, requires that the Commissioner administer the congestion surcharge, and prescribes renewal, registration and recordkeeping requirements, there are no viable alternatives to providing for registration, renewal and recordkeeping procedures and methods.

9. Federal standards: This rule does not exceed any minimum standards of the federal government for the same or similar subject area.

10. Compliance schedule: The required registration, renewal and recordkeeping information has been made available to regulated parties, by means of the emergency adoption of New Subchapter E of the Sales and Use and Other Miscellaneous Tax Regulations on November 19, 2018, the readoption as an emergency measure and proposal as a permanent rule on February 12, 2019 and the readoption as an emergency rule on April 10, 2019, in sufficient time for affected parties to comply with the congestion surcharge effective January 1, 2019. This rule readopts the amendments relating to the congestion surcharge as an emergency measure in order to maintain the effectiveness of the amendments and permit continuing compliance with the requirements of Article 29-C of the Tax Law.

Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis for Small Businesses and Local Governments is not being submitted with this rule because it will not impose any adverse economic impact or any additional reporting, recordkeeping, or other compliance requirement on small businesses or local governments.

The purpose of the rule is to add a new Subchapter E to 20 NYCRR, to implement Article 29-C of the Tax Law, as added by Part NNN of Chapter 59 of the Laws of 2018. Article 29-C generally imposes a surcharge on for-hire transportation that begins in, ends in, or passes through the geographic area of the City of New York, in the borough of Manhattan, south of and excluding 96th Street (the “congestion zone”). The Commissioner is required to administer the congestion surcharge imposed by Article 29-C, and to accept the registration of persons liable for the surcharge.

Section 1299-C of Article 29-C requires that persons liable for the congestion surcharge file with the Commissioner a completed application for a certificate of registration, in a form prescribed by the Commissioner, subject to renewal in accordance with rules promulgated by the Commissioner. The rule implements section 1299-C by setting forth registration and renewal requirements. Section 1299-E of Article 29-C requires records to be kept by persons liable for the surcharge. The rule implements section 1299-E by enumerating those records to be kept by persons liable for the surcharge. Without a recordkeeping requirement, it would be impossible to ensure compliance with section 1299-A of Article 29-C, which imposes the congestion surcharge.

This rule merely complies with the mandates of Article 29-C of the Tax Law, as added by Part NNN of Chapter 59 of the Laws of 2018, by adding a new Subchapter E to 20 NYCRR, setting forth renewal, registration and recordkeeping requirements relating to the congestion surcharge.

Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not being submitted with this rule because it will not impose any adverse impact on any rural areas. The purpose of the rule is to add a new Subchapter E to 20 NYCRR, to implement Article 29-C of the Tax Law, as added by Part NNN of Chapter 59 of the Laws of 2018. Article 29-C generally imposes a surcharge on for-hire transportation that begins in, ends in, or passes through the geographic area of the City of New York, in the borough of Manhattan, south of and excluding 96th Street (the “congestion zone”). The Commissioner is required to administer the congestion surcharge imposed by Article 29-C, and to accept the registration of persons liable for the surcharge.

Section 1299-C of Article 29-C requires that persons liable for the congestion surcharge file with the Commissioner a completed application for a certificate of registration, in a form prescribed by the Commissioner, subject to renewal in accordance with rules promulgated by the Commissioner. The rule implements section 1299-C by setting forth registration and renewal requirements. Section 1299-E of Article 29-C requires records to be kept by those liable for the surcharge. The rule enumerates those records to be kept by persons liable for the surcharge. Without a recordkeeping requirement, it would be impossible to ensure compliance with section 1299-A of Article 29-C, which imposes the congestion surcharge.

This rule merely complies with the mandates of Article 29-C of the Tax Law, as added by Part NNN of Chapter 59 of the Laws of 2018, by adding a new Subchapter E to 20 NYCRR, setting forth renewal, registration and recordkeeping requirements relating to the congestion surcharge.

Job Impact Statement

A Job Impact Statement is not being submitted with this rule because it is evident from the subject matter of the rule that it will have no adverse impact on jobs and employment opportunities.

The purpose of the rule is to add a new Subchapter E to 20 NYCRR, to implement Article 29-C of the Tax Law, as added by Part NNN of Chapter 59 of the Laws of 2018. Article 29-C generally imposes a surcharge on for-hire transportation that begins in, ends in, or passes through the geographic area of the City of New York, in the borough of Manhattan, south of and excluding 96th Street (the “congestion zone”). The Commissioner is required to administer the congestion surcharge imposed by Article 29-C, and to accept the registration of persons liable for the surcharge.

Section 1299-C of Article 29-C requires that persons liable for the congestion surcharge file with the Commissioner a completed application for a certificate of registration, in a form prescribed by the Commissioner, subject to renewal in accordance with rules promulgated by the Commissioner. Section 1299-E of Article 29-C requires records to be kept by persons liable for the surcharge. The rule enumerates those records to be kept by persons liable for the surcharge. Without a recordkeeping requirement, it would be impossible to ensure compliance with section 1299-A of Article 29-C, which imposes the congestion surcharge.

This rule merely complies with the mandates of Article 29-C of the Tax Law, as added by Part NNN of Chapter 59 of the Laws of 2018, by adding a new Subchapter E to 20 NYCRR, setting forth renewal, registration and recordkeeping requirements relating to the congestion surcharge.

Assessment of Public Comment

The agency received no public comment.

HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
Environmental Conservation, Department of		
ENV-24-19-00002-P	Hazardous waste management regulations (FedReg5)	Department of Environmental Conservation, 625 Broadway, Rm. 129, Albany, NY—August 19, 2019, 1:00 p.m.
Housing and Community Renewal, Division of		
HCR-21-19-00019-P	Low-income housing qualified allocation plan	Division of Housing and Community Renewal, 38-40 State St., 1st Fl., Albany, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 25 Beaver St., Rm. 642, New York, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 620 Erie Blvd. W, Suite 312, Syracuse, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 535 Washington St., Suite 105, Buffalo, NY—July 22, 2019, 1:00 p.m.
Housing Finance Agency		
HFA-21-19-00020-P	Low-income housing qualified allocation plan	Division of Housing and Community Renewal, 38-40 State St., 1st Fl., Albany, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 25 Beaver St., Rm. 642, New York, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 620 Erie Blvd. W, Suite 312, Syracuse, NY—July 22, 2019, 1:00 p.m. Division of Housing and Community Renewal, 535 Washington St., Suite 105, Buffalo, NY—July 22, 2019, 1:00 p.m.
Public Service Commission		
PSC-22-19-00011-P	Proposed major increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues)	Department of Public Service, Three Empire State Plaza, Albany, NY—January 21, 2020, 10:00 a.m. (Evidentiary Hearing)* *On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 19-G-0309.
PSC-22-19-00014-P	Proposed major increase in KEDNY's gas delivery revenues by \$49.4 million (4.1% increase in total revenues)	Department of Public Service, Three Empire State Plaza, Albany, NY—January 21, 2020, 10:00 a.m. (Evidentiary Hearing)* *On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, public notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case 19-G-0310.
PSC-23-19-00005-P	Proposed major increase in SWNY's annual base revenues of approximately \$31.5 million (or 19.8% in total revenues)	Department of Public Service, Agency Bldg. 3, 3rd Fl. Hearing Rm., Albany, NY—August 7, 2019, 10:30 a.m. and continuing daily as needed. (Evidentiary Hearing)* *On occasion, there are requests to reschedule or postpone hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case

19-W-0168.

ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
AAM	01	12	00001	P

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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AGING, OFFICE FOR THE

AGE-44-18-00005-P 10/31/19	Nutrition Program	The purpose of this rule is to update the regulations governing the Nutrition Program
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AGRICULTURE AND MARKETS, DEPARTMENT OF

AAM-17-19-00001-P 04/23/20	Farm wineries, breweries, and distilleries; hops processors; and cideries	To update references to regulations and to exempt small hops processors from food processing licensing requirements
AAM-18-19-00001-P 04/30/20	Incorporation by reference of the 2017 edition of the Grade A Pasteurized Milk Ordinance ("PMO").	To require certain producers, processors and manufacturers of milk and milk products to comply with the 2017 edition of the PMO.
AAM-21-19-00002-EP 05/21/20	Control of the European Cherry Fruit Fly	To help control the spread of the European Cherry Fruit Fly (ECFF), which renders cherries unmarketable if they are infested.

CHILDREN AND FAMILY SERVICES, OFFICE OF

CFS-51-18-00010-P 12/19/19	Residential and non-residential services to victims of domestic violence	To conform the existing regulations to comply with state and federal laws regarding services to victims of domestic violence
CFS-19-19-00006-EP 05/07/20	Procedures for addressing children absent without consent from foster care, conditional releases and searches	To put into place procedures for children absent without consent from foster care, conditional releases and searches
CFS-19-19-00009-EP exempt	Child Care Market Rates	To adjust child care market rates in accordance with the results of the most recent cost of care market survey
CFS-20-19-00001-EP 05/14/20	To eliminate requirement that victims of domestic violence apply for public assistance to pay for cost of shelter and services	To eliminate requirement that victims of domestic violence apply for public assistance to pay for cost of shelter and services

Action Pending Index**NYS Register/June 26, 2019**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-33-18-00007-P	08/15/19	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-06-19-00001-P	02/06/20	Jurisdictional Classification	To classify a position in the exempt class
CVS-13-19-00003-P	03/26/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-14-19-00003-P	04/02/20	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2019
CVS-15-19-00001-P	04/09/20	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-15-19-00002-P	04/09/20	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-15-19-00003-P	04/09/20	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-15-19-00004-P	04/09/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt class and to delete a position from the non-competitive class
CVS-15-19-00005-P	04/09/20	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-15-19-00006-P	04/09/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt class
CVS-18-19-00007-P	04/30/20	Jurisdictional Classification	To classify positions in the exempt class
CVS-18-19-00008-P	04/30/20	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-25-19-00001-P	06/18/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt and non-competitive classes.
CVS-25-19-00002-P	06/18/20	Jurisdictional Classification	To classify positions in the exempt class.
CVS-25-19-00003-P	06/18/20	Jurisdictional Classification	To classify a position in the exempt class.
CVS-25-19-00004-P	06/18/20	Jurisdictional Classification	To delete positions from the non-competitive class.
CVS-25-19-00005-P	06/18/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class.
CVS-25-19-00006-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.
CVS-25-19-00007-P	06/18/20	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class.
CVS-25-19-00008-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, DEPARTMENT OF			
CVS-25-19-00009-P	06/18/20	Jurisdictional Classification	To delete positions from and classify positions in the exempt and non-competitive classes.
CVS-25-19-00010-P	06/18/20	Jurisdictional Classification	To classify positions in the non-competitive class.
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF			
CCS-05-19-00006-P	01/30/20	Standard Conditions of Release Parole Revocation Dispositions	Establish standard conditions of release and provide a workable structure for applying appropriate parole revocation penalties
CCS-21-19-00014-P	05/21/20	Adolescent Offender Facilities	To reclassify two existing correctional facilities to adolescent offender facilities.
CRIMINAL JUSTICE SERVICES, DIVISION OF			
CJS-20-19-00003-P	05/14/20	Certified Instructors and Course Directors	Establish/maintain effective procedures governing certified instructors and course directors who deliver MPTC-approved courses
CJS-21-19-00004-P	05/21/20	Changes the accrediting authority to the ANSI-ASQ National Accreditation Board.	To change the accrediting authority to the ANSI-ASQ National Accreditation Board.
EDUCATION DEPARTMENT			
EDU-40-18-00010-RP	10/03/19	Professional development plans and other related requirements for school districts and BOCES	To improve the quality of teaching and learning for teachers and leaders for professional growth
EDU-52-18-00005-P	12/26/19	Annual professional performance reviews.	To extend the transition period for an additional year (until 2019-2020).
EDU-05-19-00008-P	01/30/20	Protecting Personally Identifiable Information	To implement the provisions of Education Law section 2-d
EDU-05-19-00017-ERP	01/30/20	Assessments and Student Official Transcripts and Permanent Records	To continue the effectiveness of Part AA, Subpart B of Ch.56 L.2014 as amended by Section 35 of Part CCC of Ch. 56 L.2018
EDU-13-19-00009-ERP	03/26/20	The Execution by Registered Professional Nurses of Non-Patient Specific Orders to Administer Immunizations	To conform the regulation to current immunization standards
EDU-17-19-00005-EP	04/23/20	Extension of the Educational Technology Specialist Content Specialty Test Safety Net	Safety net enables candidates to take either the revised Educational Technology Specialist CST or the predecessor CST
EDU-17-19-00006-P	04/23/20	Registration requirements for school counselor programs and certification requirements for school counselors	To amend requirements for registered school counselor programs and the certification requirements for school counselors
EDU-17-19-00007-P	04/23/20	Extension of the edTPA Safety Net for Candidates Who Receive a Failing Score on the Library Specialist edTPA	The safety net enables candidates to be held harmless during the handbook revision process
EDU-17-19-00008-P	04/23/20	To require study in language acquisition and literacy development of English language learners in certain teacher preparation	To ensure that newly certified teachers enter the workforce fully prepared to serve our ELL population

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEPARTMENT			
EDU-21-19-00007-EP	05/21/20	The Certification of Manufacturers and Wholesalers for Export Purposes	To clarify who may issue free sale certificates to New York State registered manufacturers or wholesalers
EDU-21-19-00008-P	05/21/20	Continuing Teacher and Leader Education (CTLE) for Educators in nonpublic schools.	To make technical amendments to the CTLE regulations for educators employed in nonpublic schools.
EDU-21-19-00009-P	05/21/20	Creation of safety nets for the science Content Specialty Tests (CSTs)	Allow candidates to be held harmless during a one-year transition period from the predecessor CSTs to the revised CST's
EDU-21-19-00010-P	05/21/20	Conditional initial certificate requirements.	Provides that candidates may be eligible for an Initial certificate even after their conditional initial certificate expires.
EDU-21-19-00011-P	05/21/20	Educational Broadcast Councils and Radio Stations	Reduce costs incurred by each Council and Radio Station for redundant annual certified audits & to streamline reporting process
EDU-21-19-00012-P	05/21/20	Requirements for Transitional D Programs that Lead to School District Leader Certification	Certificate enables the candidates to work in a school dist. /BOCES as a school dist. leader while they complete their requireme
EDU-25-19-00014-EP	06/18/20	Eligibility Requirements for the Endorsement Pathway for Certification as an Educator in the Classroom Teaching Service	To provide candidates with more flexibility to be eligible for the endorsement pathway
EDU-25-19-00015-EP	06/18/20	Statement of continued eligibility for certain teachers of students with disabilities	Extends the deadline to apply for a statement of continued eligibility for certain teachers of students with disabilities
EDU-26-19-00001-P	06/25/20	Reports of child abuse in an educational setting	To implement the provisions of Chapter 363 of the Laws of 2018 relating to reports of child abuse in an educational setting
ELECTIONS, STATE BOARD OF			
SBE-14-19-00005-P	04/02/20	Pre-registering voters who are 16 and 17-years-old	To permit persons who are 16 or 17-years-old to pre-register to vote
SBE-14-19-00006-EP	04/02/20	Establishing a process for transferring a voter's registration and enrollment from one county to another	To establish a process where a voter who moves from one county to another can transfer their voter registration
SBE-22-19-00001-EP	05/28/20	Audit Status for Early Voting	Establishes Process for Auditing Early Voting Machines and Systems
SBE-22-19-00002-EP	05/28/20	Related to the Minimum Required Voting Machines and Privacy Booths needed for Early Voting Polling Sites	Establishes the Minimum Required Voting Machines and Privacy Booths needed for Early Voting Polling Sites
SBE-22-19-00003-EP	05/28/20	Process for Early Voting	Establishing Process for Early Voting
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-09-19-00015-P	05/13/20	Set nitrogen oxide (NOx) emission rate limits for simple cycle and regenerative combustion turbines	Reduction of nitrogen oxide (NOx) emissions from simple cycle and regenerative combustion turbines

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF			
ENV-10-19-00003-P	05/13/20	Regulate volatile organic compounds (VOCs) in architectural and industrial maintenance (AIM) coatings	To set new and lower VOC limits for certain coating categories. Update categories and methods
ENV-12-19-00002-P	05/23/20	Air emissions regulation of cleaning solutions containing volatile organic compounds.	Update existing regulation with latest emission control requirements and add requirements recently issued by EPA.
ENV-12-19-00003-P	05/23/20	Revised Part 208 will incorporate the new federal emission guideline for MSW landfills pursuant to 40 CFR Part 60, Subpart Cf.	Part 208 controls landfill gas emissions by requiring a gas collection and control system.
ENV-18-19-00006-EP	04/30/20	Regulations governing commercial fishing and harvest of scup.	To revise regulations concerning the commercial harvest of scup in New York State waters.
ENV-24-19-00002-P	08/18/20	Hazardous Waste Management Regulations (FedReg5)	To amend regulations pertaining to hazardous waste management
FINANCIAL SERVICES, DEPARTMENT OF			
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liability Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
DFS-30-18-00007-P	07/25/19	Minimum Standards for Form, Content, and Sale of Health Insurance, Including Standards for Full and Fair Disclosure	To clarify requirements regarding coverage and disclosure of information for contraceptives
DFS-36-18-00003-P	09/05/19	Professional Bail Agents; Managing General Agents; et al	To provide greater protection to consumers, and raise the standards of integrity in the bail business.
DFS-46-18-00014-P	11/14/19	Regulations Implementing the Comprehensive Motor Vehicle Insurance Repairs Act-Claims for Personal Injury Protection Benefit	To give insurer option to void assignment of benefits when insurer issues denial for EIP's failure to attend IME or EUO
DFS-08-19-00003-P	02/20/20	Charges for Professional Health Services	To delay the effective date of the Workers' Compensation fee schedule increases for no-fault reimbursement
DFS-16-19-00009-EP	04/16/20	Registration and Financial Responsibility Requirements for Mortgage Loan Servicers	To implement the registration requirement of chapter 472, Laws of 2008 and inform servicers of registration process details
DFS-18-19-00005-P	04/30/20	Servicing Mortgage Loans: Business Conduct Rules	Sets standards governing the servicing of residential home mortgage loans.
DFS-20-19-00002-P	05/14/20	Electronic Filings and Submissions	To require certain filings or submissions to be made electronically
DFS-20-19-00004-P	05/14/20	Valuation of Individual and Group Accident and Health Insurance Reserves	To adopt the 2016 Cancer Claim Cost Valuation Tables
DFS-20-19-00005-P	05/14/20	SECURITY AT AUTOMATED TELLER FACILITIES	To update the regulation to ensure the removal of obsolete language from the reporting requirements under Part 301

Action Pending Index**NYS Register/June 26, 2019**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
FINANCIAL SERVICES, DEPARTMENT OF			
DFS-21-19-00005-P	05/21/20	Continuing Care Retirement Communities	Amend rules related to permitted investments, financial transactions, reporting requirements and add new optional contract type.
GAMING COMMISSION, NEW YORK STATE			
SGC-39-18-00003-P	09/26/19	Heads Up Hold 'Em poker.	To set forth the practices and procedures for the operation of Heads Up Hold 'Em poker as a casino table game.
SGC-17-19-00009-P	04/23/20	Pick-six jackpot wager for Thoroughbred racing	To improve Thoroughbred pari-mutuel wagering and generate reasonable revenue for the support of government
HEALTH, DEPARTMENT OF			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
HLT-31-18-00005-ERP	08/01/19	Medical Use of Marihuana	To add additional serious conditions for which patients may be certified to use medical marihuana
HLT-42-18-00008-P	10/17/19	Office-Based Surgery Practice Reports	Requires accredited Office-Based Surgery practices to submit adverse event & practice information which includes procedural data
HLT-51-18-00016-P	12/19/19	Nursing Home Weekly Bed Census Survey	To require nursing homes to electronically submit weekly bed census data to the DOH through the Health Commerce System
HLT-51-18-00018-P	12/19/19	New requirements for Annual Registration of Licensed Home Care Services Agencies	To amend the regulations for licensed home care services agencies for the annual registration requirements of the agency
HLT-05-19-00005-P	01/30/20	Midwifery Birth Center Services	To set the standards for all birth centers to follow the structure of Article 28 requirements
HLT-09-19-00004-P	02/27/20	Cardiac Catheterization Laboratory Centers	To amend existing Certificate of Need requirements for the approval and operation of Cardiac Catheterization Laboratory Centers.
HLT-10-19-00004-EP	03/05/20	Body Scanners in Local Correctional Facilities	Establish operational requirements for local correctional facs. that use body scanning imaging equipment for security purposes
HLT-14-19-00001-P	04/02/20	Managed Care Organizations (MCOs)	To amend contingent reserve requirements for MCOs
HLT-17-19-00002-EP	04/23/20	Medical Use of Marihuana	To clarify requirements for laboratories seeking approval to test medical marihuana products in New York State
HLT-18-19-00016-P	04/30/20	Blood Lead Level	To improve the current understanding of lead poisoning risks to children and pregnant women
HLT-20-19-00006-P	05/14/20	Schroon Lake Water District	The regulation is no longer needed since Horseshoe Pond no longer serves as the public drinking water source

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPARTMENT OF			
HLT-22-19-00015-P	05/28/20	Residents' Rights	Require nursing homes provide info. about home and community based services & comm. transition progs. to residents upon admission
HLT-25-19-00013-P	06/18/20	Registered Nurses in the Emergency Department	To remove a barrier to new graduate nurse recruitment in the emergency department
HIGHER EDUCATION SERVICES CORPORATION			
ESC-22-19-00004-EP	05/28/20	The New York State Teacher Loan Forgiveness Program	To implement The New York State Teacher Loan Forgiveness Program
ESC-22-19-00005-EP	05/28/20	New York State Masters-in-Education Teacher Incentive Scholarship Program	To implement the New York State Masters-in-Education Teacher Incentive Scholarship Program
ESC-22-19-00006-EP	05/28/20	New York State Get on Your Feet Loan Forgiveness Program	To implement the New York State Get on Your Feet Loan Forgiveness Program
ESC-22-19-00007-EP	05/28/20	NYS Part-time Scholarship (PTS) Award Program	To implement the NYS Part-time Scholarship (PTS) Award Program
ESC-22-19-00008-EP	05/28/20	The New York State Achievement and Investment in Merit Scholarship (NY-AIMS)	To implement The New York State Achievement and Investment in Merit Scholarship (NY-AIMS)
ESC-25-19-00012-EP	06/18/20	Eligibility criteria for student financial aid award programs under article 14 of the Education Law	To implement the eligibility criteria for student financial aid award programs under article 14 of the Education Law
HOUSING AND COMMUNITY RENEWAL, DIVISION OF			
HCR-21-19-00019-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits.
HOUSING FINANCE AGENCY			
HFA-21-19-00020-P	07/21/20	Low-Income Housing Qualified Allocation Plan	To amend definitions, threshold criteria and application scoring for the allocation of low-income housing tax credits
LABOR, DEPARTMENT OF			
*LAB-17-18-00005-P	07/11/19	Hours Worked, 24-Hour Shifts	To clarify that hours worked may exclude meal periods and sleep times for employees who work shifts of 24 hours or more
LAW, DEPARTMENT OF			
LAW-41-18-00021-P	10/10/19	Arbitration program regulations for defective farm equipment	To set forth the procedures for the operation of an alternative arbitration mechanism for defective farm equipment disputes
LIQUOR AUTHORITY, STATE			
LQR-02-19-00006-P	05/28/20	Delinquent (C.O.D.) list procedures	To modernize outdated delinquent (C.O.D.) list procedures and provide for electronic notification for same

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
LONG ISLAND POWER AUTHORITY			
*LPA-08-01-00003-P exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
*LPA-15-18-00013-P exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting
LPA-33-18-00018-P exempt	The net energy metering and related provisions of the Authority's Tariff for Electric Service.	To conform the Tariff to orders issued in the Value of Distributed Energy Resources proceeding of the New York DPS.
LPA-37-18-00013-P exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects
LPA-37-18-00017-P exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment.
LPA-37-18-00018-P exempt	The treatment of energy storage in the Authority's Tariff for Electric Service.	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap.
MENTAL HEALTH, OFFICE OF			
OMH-04-19-00002-P 01/23/20	Expansion of Telemental Health (Telepsychiatry) services to additional OMH licensed settings and programs	To implement Public Health Law section 2999-dd and address current shortages in psychiatrists and nurse practitioners in psych.
OMH-08-19-00005-P 02/20/20	Establish standards for providers who wish to become licensed Children's Mental Health Rehabilitation programs	The Children's Mental Health Rehabilitation Program (CMHRS) is a vehicle for implementing the new State Plan services
METROPOLITAN TRANSPORTATION AGENCY			
MTA-23-19-00006-EP 06/04/20	Debarment of contractors	To comply with Public Authorities Law, section 1279-h, which requires the MTA to establish a debarment process for contractors
MOTOR VEHICLES, DEPARTMENT OF			
MTV-25-19-00011-P 06/18/20	Alcohol & Drug Rehabilitation Programs - Restricted Use License eligibility provision	Conforms regulation with statute
NIAGARA FALLS WATER BOARD			
*NFW-04-13-00004-EP exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
NIAGARA FALLS WATER BOARD			
NFW-01-19-00019-EP exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders
NIAGARA FRONTIER TRANSPORTATION AUTHORITY			
NFT-18-19-00004-P 04/30/20	Procurement Guidelines of the Niagara Frontier Transportation Authority and Niagara Frontier Transit Metro System, Inc.	To amend Procurement Guidelines to reflect changes in law, clarifying provisions and change in signing authority.
OGDENSBURG BRIDGE AND PORT AUTHORITY			
OBA-33-18-00019-P exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit.
OBA-07-19-00019-P exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE FOR			
PDD-18-19-00002-P 04/30/20	Transportation of female patients at OPWDD facilities	Repeal as statutory language has been revised and on longer is reflective in this regulation
PDD-20-19-00007-P 05/14/20	Site Based Prevocational Services	To make technical corrections
PDD-22-19-00010-P 05/28/20	Person Centered Planning: Care Management and Home and Community Based Services	To ensure consistency with person-centered planning regulations
PDD-23-19-00002-EP 06/04/20	Enrollment in Medicare Prescription Drug Plans and Fully Integrated Duals Advantage Plans for IDD	To allow individuals to be enrolled in a FIDA-IDD plan when individuals are unable to enroll themselves
POWER AUTHORITY OF THE STATE OF NEW YORK			
*PAS-01-10-00010-P exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PUBLIC SERVICE COMMISSION			
*PSC-09-99-00012-P exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P exempt	Uniform business practices	To consider modification

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-03-00010-P exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-02-04-00008-P exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-28-04-00006-P exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P exempt	Accounts receivable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts receivable
*PSC-46-04-00012-P exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer

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PUBLIC SERVICE COMMISSION			
*PSC-50-05-00008-P exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-07-00011-P exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program
*PSC-39-07-00017-P exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-08-08-00016-P exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-43-08-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-05-09-00009-P exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-09-00012-P exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-09-00008-P exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities
*PSC-05-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs

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PUBLIC SERVICE COMMISSION			
*PSC-12-10-00015-P exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff
*PSC-25-10-00012-P exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-27-10-00016-P exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-34-10-00003-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-10-00014-P exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-26-11-00009-P exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-12-00002-P exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00007-P exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-12-00010-P exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-18-13-00007-P exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-25-13-00008-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-45-13-00023-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P exempt	Conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning, restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.
*PSC-49-13-00008-P exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-05-14-00010-P exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-11-14-00003-P exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.
*PSC-16-14-00015-P exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00013-P exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00020-P exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.
*PSC-26-14-00021-P exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-30-14-00023-P exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00026-P exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-38-14-00003-P exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00005-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-39-14-00020-P exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-14-00009-P exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.
*PSC-52-14-00019-P exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-08-15-00009-P exempt	Approval of a surcharge.	To allow or disallow Emerald Green Lake Louise Marie Water Company, Inc. for a surcharge.
*PSC-08-15-00010-P exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-13-15-00024-P exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-13-15-00027-P exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00007-P exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00005-P exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-19-15-00011-P exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-22-15-00015-P exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-29-15-00025-P exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-33-15-00009-P exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-40-15-00014-P exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-44-15-00028-P exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
*PSC-48-15-00010-P exempt	Lightened and incidental regulation of a 55 MW electric and steam generating facility.	Consider the lightened and incidental regulation of a 55 MW electric and steam generating facility.
*PSC-48-15-00011-P exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
*PSC-50-15-00006-P exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
*PSC-51-15-00010-P exempt	Modification of the EDP	To consider modifying the EDP
*PSC-01-16-00005-P exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
*PSC-04-16-00012-P exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
*PSC-04-16-00013-P exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
*PSC-06-16-00013-P exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
*PSC-06-16-00014-P exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
*PSC-14-16-00008-P exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
*PSC-18-16-00013-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-18-16-00014-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00015-P exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
*PSC-18-16-00016-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-18-16-00018-P exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
*PSC-20-16-00008-P exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
*PSC-20-16-00010-P exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
*PSC-20-16-00011-P exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
*PSC-24-16-00009-P exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
*PSC-25-16-00009-P exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
*PSC-25-16-00025-P exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
*PSC-25-16-00026-P exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
*PSC-28-16-00017-P exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
*PSC-29-16-00024-P exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
*PSC-32-16-00012-P exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
*PSC-33-16-00001-EP exempt	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
*PSC-33-16-00005-P exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
*PSC-35-16-00015-P exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-36-16-00004-P exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
*PSC-40-16-00025-P exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
*PSC-47-16-00009-P exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications
*PSC-47-16-00010-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00013-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00014-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-47-16-00016-P exempt	Standby Service rate design	To consider the report filed and the recommendations therein
*PSC-02-17-00010-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for RG&E.
*PSC-02-17-00012-P exempt	Implementation of the four EAMs.	To consider the implementation of EAMs for NYSEG.
*PSC-14-17-00017-P exempt	Petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.	To consider the petition for Full-Scale Deployment of AMI and to Establish an AMI Surcharge.
*PSC-18-17-00024-P exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist.
*PSC-18-17-00026-P exempt	Revisions to the Dynamic Load Management surcharge.	To consider revisions to the Dynamic Load Management surcharge.
*PSC-19-17-00004-P exempt	NYAW's request to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2016.	Consideration of NYAW's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2016.
*PSC-20-17-00008-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-20-17-00010-P exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles.	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel.
*PSC-21-17-00013-P exempt	The establishment and implementation of Earnings Adjustment Mechanisms.	To consider the establishment and implementation of Earnings Adjustment Mechanisms.
*PSC-21-17-00018-P exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-22-17-00004-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-23-17-00022-P exempt	Changes in regulation of ESCOs, including restrictions on or prohibitions of marketing or offering certain products or services.	To ensure consumer protection for ESCO customers.
*PSC-24-17-00006-P exempt	Development of the Utility Energy Registry.	Improved data access.
*PSC-26-17-00005-P exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York.
*PSC-34-17-00011-P exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives.	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms.
*PSC-37-17-00006-P exempt	Petition to submeter electricity.	To consider the petition of ACC OP (Park Point SU) LLC to submeter electricity at 417 Comstock Avenue, Syracuse, New York.
*PSC-39-17-00011-P exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan.	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan.
*PSC-40-17-00006-P exempt	The aggregation of electric service for the Empire State Plaza and the Sheridan Avenue Steam Plant	To consider a waiver of National Grid's tariff provision requiring all electric delivery points to be on the same premises
*PSC-42-17-00010-P exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report.	To consider NFGD's petition for rehearing.
*PSC-48-17-00015-P exempt	Low Income customer options for affordable water bills.	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs.
*PSC-50-17-00017-P exempt	New Wave Energy Corp.'s petition for rehearing.	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P exempt	Application of the Public Service Law to DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-50-17-00019-P exempt	Transfer of utility property.	To consider the transfer of utility property.
*PSC-50-17-00021-P exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
*PSC-50-17-00022-P exempt	Data protection rules for DER suppliers.	To determine the appropriate regulatory framework for DER suppliers.
*PSC-51-17-00011-P exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project.	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project.
*PSC-04-18-00005-P exempt	Notice of intent to submeter electricity.	To consider the notice of intent of Montante/Morgan Gates Circle LLC to submeter electricity.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
*PSC-05-18-00004-P exempt	Lexington Power's ZEC compliance obligation.	To promote and maintain renewable and zero-emission electric energy resources.
*PSC-06-18-00012-P exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria
*PSC-06-18-00017-P exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity
*PSC-07-18-00015-P exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades.	To consider AEC's petition requesting resolution of their billing dispute with National Grid.
*PSC-11-18-00004-P exempt	New York State Lifeline Program.	To consider TracFone's petition seeking approval to participate in Lifeline.
*PSC-13-18-00015-P exempt	Eligibility of an ESCO to market to and enroll residential customers.	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension.
*PSC-13-18-00023-P exempt	Reconciliation of property taxes.	To consider NYAW's request to reconcile property taxes.
*PSC-14-18-00006-P exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system
*PSC-15-18-00008-P exempt	Amendments to the Uniform Business Practices.	Consideration of revised consumer protections and business practices of energy service companies.
*PSC-17-18-00010-P exempt	Petition for use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
*PSC-18-18-00009-P exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest
*PSC-23-18-00006-P exempt	Whether to impose consequences on Aspiry for its non-compliance with Commission requirements.	To ensure the provision of safe and adequate energy service at just and reasonable rates.
*PSC-23-18-00014-P exempt	Proposed major rate increase of approximately \$11.7 million to cover its Franklin and St. Lawrence Counties expansion project.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
*PSC-24-18-00013-P exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-28-18-00011-P exempt	Storm Hardening Collaborative Report.	To ensure safe and adequate gas service.
PSC-29-18-00008-P exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers
PSC-29-18-00009-P exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-30-18-00004-P exempt	Ownership of St. Lawrence Gas Company, Inc.	To consider whether a proposed transfer of ownership interests in St. Lawrence Gas Company, Inc. is in the public interest.
PSC-31-18-00011-P exempt	Petition for the use of gas metering equipment.	To ensure that consumer bills are based on accurate measurements of gas usage.
PSC-34-18-00011-P exempt	Compensation of distributed energy resources.	To ensure just and reasonable rates, including compensation, for distributed energy resources.
PSC-34-18-00015-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and energy efficiency protections are in place.
PSC-34-18-00016-P exempt	Deferral of pre-staging and mobilization storm costs.	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs.
PSC-35-18-00003-P exempt	Con Edison's 2018 DSIP and BCA Handbook Update.	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider.
PSC-35-18-00005-P exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update.	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers.
PSC-35-18-00006-P exempt	National Grid's 2018 DSIP and BCA Handbook Update.	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider.
PSC-35-18-00008-P exempt	Central Hudson's 2018 DSIP and BCA Handbook Update.	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider.
PSC-35-18-00010-P exempt	O&R's 2018 DSIP and BCA Handbook Update.	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider.
PSC-36-18-00005-P exempt	Permanent operator of gas wells and certain gas facilities.	To resolve ownership of overlapping gas facilities associated with wells transferred to two gas companies.
PSC-37-18-00003-P exempt	Service Classification No. 7 - Sale of Standby Service to Customers with On-Site Generation Facilities.	To expand eligibility to customers across multiple buildings connected to a generating facility by a private thermal loop.
PSC-39-18-00005-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.
PSC-40-18-00014-P exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018.
PSC-40-18-00015-P exempt	Proposed rate filing to increase annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-42-18-00011-P exempt	Voluntary residential beneficial electrification rate design.	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers.
PSC-42-18-00013-P exempt	Petition for clarification and rehearing of the Smart Solutions Program Order.	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-42-18-00014-P exempt	The disposition of a refund from NYPA to the Village of Solvay of \$733,000 for overcharge for electricity over several years.	To determine whether the proposed disposition of the NYPA refund is just and reasonable.
PSC-44-18-00012-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-44-18-00013-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-44-18-00016-P exempt	Petition for approval of gas metering equipment.	To ensure that customer bills are based on accurate measurements of gas usage.
PSC-45-18-00004-P exempt	Proposed transfer of two natural gas pipeline operating companies, and for lightened and incidental regulation	To consider transfer if there is no market power or ratepayer harm, incidental regulation, and continuing lightened regulation
PSC-45-18-00005-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-46-18-00005-P exempt	Proposed rate filing to increase annual revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-47-18-00007-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-18-00008-P exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
PSC-50-18-00003-P exempt	Proposed transfer of interests in an electric generating facility and dedicated natural gas pipeline	To consider the transfer of generating facility and dedicated gas pipeline if there is no market power or ratepayer harm
PSC-52-18-00008-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-52-18-00011-P exempt	LED Street Lighting.	To provide customers with more efficient, lower cost LED street lighting options.
PSC-52-18-00012-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-01-19-00004-P exempt	Advanced Metering Infrastructure.	To determine whether Niagara Mohawk Power Corporation d/b/a National Grid should implement advanced metering infrastructure.
PSC-01-19-00007-P exempt	Northbrook Lyons Falls LLC's 3 hydro facilities with a combined capacity of 8.59 MW located in Mill, Gouldtown, and Kosterville.	To promote and maintain renewable electric energy resources.
PSC-01-19-00013-P exempt	Order of the Commission related to caller ID unblocking.	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-01-19-00014-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
PSC-01-19-00015-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
PSC-01-19-00016-P exempt	To modify provisions for accepting new or additional gas service applications when there is inadequate supply or capacity.	To continue to provide safe and reliable service to existing customers.
PSC-02-19-00009-P exempt	The 2018 Electric Emergency Response Plans for electric utilities.	To consider the adequacy of the 2018 Electric Emergency Response Plans.
PSC-02-19-00014-P exempt	Petition for use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-03-19-00002-P exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings.	To reduce damage to underground utility facilities by requiring certain training and approving training curricula.
PSC-04-19-00004-P exempt	Con Edison's petition for the Gas Innovation Program and associated budget.	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals.
PSC-04-19-00011-P exempt	Update of revenue targets.	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues.
PSC-05-19-00009-P exempt	Transfer of street lighting facilities	To consider whether the transfer of certain street lighting facilities is in the public interest
PSC-05-19-00014-P exempt	Transfer of natural gas pipeline and request for lightened and incidental regulation	To grant lightened and incidental regulation to SABIC Innovative Plastics, LLC and approve the transfer of a gas pipeline
PSC-06-19-00005-P exempt	Consideration of the Joint Utilities' proposed BDP Program.	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects.
PSC-07-19-00009-P exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements.	To insure the provision of safe and adequate energy service at just and reasonable rates.
PSC-07-19-00010-P exempt	The rates and charges for non-roadway LED service offerings for outdoor lighting customers.	To determine whether to amend National Grid's P.S.C. No. 214 - Outdoor Lighting Tariff to provide new LED service offerings.
PSC-07-19-00011-P exempt	Amended Lease Agreement for space in the NYSEG facility.	Review of Amended Lease Agreement between NYSEG and Coughlin & Gerhart, LLP.
PSC-07-19-00012-P exempt	Initial Tariff Schedule, P.S.C. No. 1 - Water and waiver of rate setting authority.	To provide the rates, rules, and regulations under which water service will be provided to the customers of the system.
PSC-07-19-00016-P exempt	Participation in New York State Lifeline Program.	To encourage enhanced services for low-income customers.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-09-19-00007-P exempt	Exemptions from standby rates.	To consider whether the standby rate exemptions proposed by the City of New York are reasonable and in the public interest.
PSC-09-19-00009-P exempt	Amendments to the tariff of Con Edison pertaining to interruptible gas service customers.	To consider the appropriate tariff provisions for Con Edison interruptible gas service customers.
PSC-09-19-00010-P exempt	Non-pipeline alternatives report recommendations.	To consider the terms and conditions applicable to gas service.
PSC-09-19-00013-P exempt	Cyber Security requirements.	Establish a framework to ensure the protection of utility systems and customer data from cyber events.
PSC-10-19-00005-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-10-19-00006-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-11-19-00002-P exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-11-19-00003-P exempt	Transfer of street lighting facilities	To consider whether the transfer of certain street lighting facilities is in the public interest
PSC-11-19-00004-P exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-12-19-00004-P exempt	To test innovative pricing proposals on an opt-out basis.	To provide pricing structures that deliver benefits to customers and promote beneficial electrification technologies.
PSC-12-19-00005-P exempt	The request to issue long-term debt securities.	To assume debt for general corporate purposes, including working capital and other financial requirements
PSC-12-19-00006-P exempt	Transfer of utility property.	To determine whether to approve the transfer of utility property.
PSC-13-19-00010-P exempt	New Commission requirements for gas company operator qualification programs.	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities.
PSC-13-19-00011-P exempt	Modifications to the Gas Cost Factor and Daily Delivery Service Programs.	To ensure safe and reliable service for customers at just and reasonable rates.
PSC-13-19-00012-P exempt	Paperless billing credit.	To provide just and reasonable rates.
PSC-13-19-00013-P exempt	To revise the voluntary residential time-of-use delivery rates for Rate Years 2 and 3 due to an inadvertent omission.	To provide accurate pricing structures that deliver benefits to customers.
PSC-13-19-00014-P exempt	Eligibility for annual per-plug incentives for DCFC stations.	To increase electric vehicle infrastructure penetration to accomplish New York's Zero Emission Vehicle adoption mandate.
PSC-13-19-00015-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-13-19-00016-P exempt	Transfer of street lighting facilities.	To determine whether to transfer street lighting facilities.
PSC-13-19-00017-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-13-19-00018-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-13-19-00019-P exempt	Pole attachment rates.	To provide just and reasonable pole attachment rates.
PSC-13-19-00020-P exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-13-19-00021-P exempt	Modifications to the Gas Supply Charge and Balancing Service Programs.	To ensure safe and reliable service for customers at just and reasonable rates.
PSC-13-19-00022-P exempt	Transfer of street lighting facilities.	To consider whether the transfer of certain street lighting facilities is in the public interest.
PSC-14-19-00004-EP exempt	Tariff amendments to revise demand-based Innovative Price Pilot rates downward to reflect corrected billing determinants.	To preserve the integrity of the Innovative Price Pilot so to ensure that the data collected will not be distorted.
PSC-14-19-00008-P exempt	Residential meter reading	To establish provisions for a special meter read for when service is discontinued to residential customers
PSC-15-19-00008-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-15-19-00009-P exempt	Cancellation of Society's tariff.	To consider whether cancellation of Society's tariff is in the public service.
PSC-15-19-00010-P exempt	Tariff provisions for Interruptible and Off-Peak Firm Service Customers.	To amend certain penalties for non-compliant Interruptible and Off-Peak Firm Customers.
PSC-16-19-00001-P exempt	Waiver of certain rules, i.e., 5-year buildout and 7-day installation requirements pertaining to cable television franchise.	To determine whether to waive any rules and regulations.
PSC-16-19-00002-P exempt	NYSEG and RG&E Implementation Plan and audit recommendations.	To consider NYSEG and RG&E's Implementation Plan.
PSC-16-19-00003-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-16-19-00004-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-16-19-00005-P exempt	Proposed major electric delivery revenue requirement increase of approximately \$485 million (or 4.6% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-16-19-00006-P exempt	Waiver of certain rules, i.e., 5-year buildout and 7-day installation requirements pertaining to cable television franchise.	To determine whether to waive any rules and regulations.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-16-19-00007-P exempt	Recommencement of the levelization surcharge, changes to the System Improvement Charge, and a one-year stay-out.	To address the issues in NYAW's petition dated February 25, 2019.
PSC-16-19-00008-P exempt	Proposed major rate increase in Con Edison's gas delivery revenues of approximately \$210 million (or 9.1% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-17-19-00010-P exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-17-19-00011-P exempt	Purchased power adjustment.	To ensure existing customers are not harmed by an increase in rates attributable to any incremental supply.
PSC-17-19-00012-P exempt	Establishment of a consumer awareness program to encourage renewable energy resources and energy efficiencies in Westchester.	To encourage clean energy development in Westchester.
PSC-17-19-00013-P exempt	Tariff amendments regarding minimum monthly storage inventory levels.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-17-19-00014-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-18-19-00010-P 04/30/20	Energy efficiency programs, budgets, and targets for investor-owned utilities.	To encourage the delivery and procurement of energy efficiency by investor-owned utilities.
PSC-18-19-00011-P exempt	Policies, budgets and targets for support of heat pump deployment by investor-owned utilities.	To encourage the support for heat pump deployment by investor-owned utilities.
PSC-18-19-00012-P 04/30/20	Policies, budgets and targets for support of energy efficiency programs for low- and moderate-income customers.	To encourage the support of energy efficiency programs for low- and moderate-income customers by investor-owned utilities.
PSC-18-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-18-19-00014-P exempt	Revenue neutral revenue adjustment to the demand and energy charges under SC No. 3.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-18-19-00015-P exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-19-19-00011-P exempt	To consider a settlement agreement.	Modification of Network Expansion Condition.
PSC-19-19-00012-P exempt	Minor rate filing.	To ensure that the Village of Silver Spring provides safe and adequate service and that its rates are just and reasonable.
PSC-19-19-00013-P exempt	Proposed merger of three water utilities into one corporation.	To determine if the proposed merger is in the public interest.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-19-19-00014-P exempt	Establishment of the regulatory regime applicable to an approximately 124 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 124 MW electric generating facility.
PSC-19-19-00015-P exempt	Establishment of the regulatory regime applicable to an energy storage facility of up to 316 MW.	Consideration of a lightened regulatory regime for an energy storage facility of up to 316 MW
PSC-19-19-00016-P exempt	Establishment of the regulatory regime applicable to an approximately 242 MW electric generating facility.	Consideration of a lightened regulatory regime for an approximately 242 MW electric generating facility.
PSC-20-19-00008-P exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
PSC-20-19-00009-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-20-19-00010-P exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
PSC-20-19-00011-P exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
PSC-20-19-00012-P exempt	Waiver of 16 NYCRR Section 894.4(b)(2) pertaining to publishing notice of the RFP in national publications	To determine whether to waive any rules and regulations
PSC-20-19-00013-P exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
PSC-20-19-00014-P exempt	Transfer of street lighting facilities	To consider the transfer of street lighting facilities to the City of Oneonta
PSC-20-19-00015-P exempt	Establishment of the regulatory regime applicable to an approximately 105.8 MW electric generating facility	Consideration of a lightened regulatory regime for an approximately 105.8 MW electric generating facility
PSC-21-19-00015-P exempt	The Implementation Plan used to recover the costs of ZECs from Load Serving Entities.	To more accurately calculate Load Serving Entities' future ZEC obligation payments.
PSC-21-19-00016-P exempt	Use of electric metering equipment.	To ensure that consumer bills are based on accurate measurements of electric usage.
PSC-21-19-00017-P exempt	Residential meter reading.	To establish provisions for a special meter read for when service is discontinued to residential customers.
PSC-21-19-00018-P exempt	Methodology used to set discount level for income-based discounts to residential electric and gas utility bills.	To ensure that income-based discounts are adjusted in a manner that moderates annual changes.
PSC-22-19-00011-P exempt	Proposed major increase in KEDNY's gas delivery revenues by \$236.8 million (13.6% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-22-19-00012-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE COMMISSION			
PSC-22-19-00013-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-22-19-00014-P exempt	Proposed major rate increase in KEDLI's gas delivery revenues by \$49.4 million (4.1% increase in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-23-19-00003-P exempt	Ownership interest in poles.	To consider the transfer of ownership interest in certain poles from NYSEG to Verizon.
PSC-23-19-00004-P exempt	Individually negotiated contracts.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-23-19-00005-P exempt	Proposed major rate increase in SWNY's annual base revenues of approximately \$31.5 million (or 19.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-24-19-00003-P exempt	Three-year pilot for inspecting gas services at intervals longer than existing regulations require.	To use risk-based reasoning for gas service lines inspection intervals on a pilot basis.
PSC-24-19-00004-P exempt	Municipal Gross Receipts Taxes.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-24-19-00005-P exempt	Non-Firm Demand Response service classes.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-24-19-00006-P exempt	Municipal Gross Receipts Taxes.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-24-19-00007-P exempt	Municipal Gross Receipts Taxes.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-24-19-00008-P exempt	Non-Firm Demand Response service classes.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-24-19-00009-P exempt	Municipal Gross Receipts Taxes.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-25-19-00016-P exempt	The request of New York Transco LLC to assume debt with a repayment period that exceeds 12 months.	To consider a petition to incur debt.
PSC-25-19-00017-P exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-26-19-00002-P exempt	Petition to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place

STATE, DEPARTMENT OF

DOS-23-19-00001-P 06/04/20	Prospective licensee requirements	To conform current NYS standards to existing applicable federal requirements for licensure
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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
STATE UNIVERSITY OF NEW YORK			
SUN-15-19-00007-P	04/09/20	Proposed amendments to Appointment of Employees and Leave of Absences for Employees in the Professional Service.	Allow a temporary cessation of service credit during birth/adoption/foster care placement of child and update leave accruals.
TAXATION AND FINANCE, DEPARTMENT OF			
TAF-09-19-00005-EP	02/27/20	The Congestion Surcharge.	To implement the Congestion Surcharge and related registration, recordkeeping and reporting requirements.
TAF-21-19-00006-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period July 1, 2019 through September 30, 2019.
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF			
TDA-14-19-00002-P	04/02/20	Enforcement of support obligations and issuance of income withholding orders (IWOs)	To clarify the requirements for income withholding for persons served by the Title IV-D child support program (IV-D) to conform with changes to the federal IV-D IWO/Notice for Support form
TDA-14-19-00007-P	04/02/20	Abandonment of requests for fair hearings	To require the issuance of letters to appellants who fail to appear at scheduled fair hearings involving Medical Assistance, also known as Medicaid, advising them how to request the rescheduling of such fair hearings
TDA-19-19-00007-P	05/07/20	Adult-Care Facilities and Shelters for Adults	To update State regulations pertaining to general provisions, inspections and enforcement, and shelters for adults
TDA-19-19-00008-P	05/07/20	Shelters for Families	To update State regulations pertaining to shelters for families
TDA-19-19-00010-P	05/07/20	Elimination of finger imaging requirement for public assistance applicants and recipients	To update State regulations to align public assistance programs with other State benefit programs regarding identification verification requirements
VICTIM SERVICES, OFFICE OF			
OVS-24-19-00001-EP	06/11/20	Conduct contributing related to burial awards.	Adopt rules necessary as the result of Chapter 494 of the Laws of 2018, when considering the victim's own conduct.
WORKERS' COMPENSATION BOARD			
WCB-22-19-00009-P	05/28/20	Group self-insured trusts that are inactive but not insolvent	Provide assistance with inactive but not insolvent group self-insured trusts to purchase ALPs to wind down liabilities
WCB-25-19-00018-P	06/18/20	Prior authorization for medical treatment and variances from the Medical Treatment Guidelines	Add new authorized medical provider types to rules regarding prior authorizations and variances
WCB-25-19-00019-P	06/18/20	Medical Provider Authorization	Describe the process for providers to become authorized by the Board and maintain authorization

ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

SEALED BIDS

REPAIR/REPLACE SECURITY FENCING Edgecombe Correctional Facility New York, New York County

Sealed bids for Project No. 44727-C, for Construction Work, Repair/Replace Security Fencing, Edgecombe Correctional Facility, 611 Edgecombe Avenue, New York (New York County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Correctional and Community Supervision, until 2:00 p.m. on Wednesday, June 26, 2019, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$77,500 for C.

Further, Wicks Exempt Projects require a completed form BDC 59 (Wicks Exempt List of Contractors) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002220, Supplemental Instructions to Bidders – Wicks Exempt. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$2,000,000 and \$3,000,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller (“Restricted Period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

The substantial completion date for this project is 471 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on June 13, 2019, at Edgecombe Correctional Facility, 611 Edgecombe Avenue, New York, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Robin Griffiths (845-365-0730) a minimum of 72 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises (“MWBEs”) and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises (“MBE”) participation and 15% for Women-Owned Business Enterprises (“WBE”) participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: <http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp>

For questions about purchase of bid documents, please send an e-mail to DC.Plans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj*, Deputy Director
OGS - Design & Construction Group

REMOVE/REPLACE ROOF

Department of Transportation Region 8 White Plains, Westchester County

Sealed bids for Project No. 45892-C, for Construction Work, Remove/Replace Roof, DOT Region 8, Westchester County, 51 Purdy Avenue, White Plains (Westchester County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, June 26th, 2019,

when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$19,200 for C.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$251,000 and \$300,000 for C.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting, on the OGS website, in a newspaper of general circulation, or in the Contract Reporter of written notice, advertisement or solicitation of offers through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Hoffman, Carl Ruppert and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://www.ogs.ny.gov/aboutOGS/regulations/defaultAdvisoryCouncil.html>

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds if required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The State intends to expedite award of this Contract and the Contractor shall be prepared to proceed with the Work accordingly. Bidders are warned that time is of the essence of the Contract and substantial completion of the Work must be November 1, 2019. Due to the tightness of the construction schedule, Bidders should consider the necessity for any increased work force and shift operations.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on June 13, 2019 at DOT Region 8, Westchester County, 51 Purdy Avenue, White Plains, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Theresa Swehla, (845-895-3170) a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority- and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 10% for MWBE participation, 5% for Minority-Owned Business Enterprises ("MBE") participation and 5% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The

total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

For questions about purchase of bid documents, please send an e-mail to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>

By *John D. Lewyckyj, Deputy Director*
OGS - Design & Construction Group

NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Department of Environmental Conservation
625 Broadway
Albany, NY 12233-3506

GOVERNMENTAL ENTITIES AND NOT FOR PROFIT CORPORATIONS LOCATED WITHIN THE GEOGRAPHIC BOUNDARIES OF THE HUDSON RIVER ESTUARY

2019 Hudson River Estuary Grants for River Access Under the New York State Environmental Protection Fund

Applications will be accepted through 3:00 pm August 14, 2019 for grant funding under the Hudson River Estuary Grants Program. Anticipated grant funds from the New York State Environmental Protection Fund (NYS EPF) is approximately \$200,000. This is a competitive grant program and projects must implement priorities of the Hudson River Estuary Action Agenda identified in the application. The Hudson River Estuary Action Agenda can be viewed at <http://www.dec.ny.gov/lands/5104.html>. Projects eligible for state assistance must be located within the geographic boundaries of the Hudson River Estuary. The recipient must provide a match of at least 15% of the grant funding amount.

Applications must be completed online and are available on the New York State Grants Gateway at <https://grantsgateway.ny.gov>. The application ID Number is DEC01-HRER30-2019. All applicants must register in the Grants Gateway to be eligible to apply for any NYS grant opportunity. Not-for-profit applicants must also be "prequalified" in the Grants Gateway system prior to the application deadline to be considered eligible for a grant. Information regarding registration and prequalification can be found at: <https://grantsmanagement.ny.gov/>. Information regarding the Hudson River Estuary grant applications can be found at <http://www.dec.ny.gov/lands/5091.html>. For additional information, you may contact: Department of Environmental Conservation, Hudson River Estuary Program at the above address or e-mail: HREPgrants@dec.ny.gov

Department of Environmental Conservation
625 Broadway
Albany, NY 12233-3506

GOVERNMENTAL ENTITIES AND NOT-FOR-PROFIT CORPORATIONS LOCATED WITHIN THE GEOGRAPHIC BOUNDARIES OF THE HUDSON RIVER ESTUARY

2019 Hudson River Estuary Grants for River Education Under the New York State Environmental Protection Fund

Applications will be accepted through 3:00 pm August 14, 2019 for grant funding under the Hudson River Estuary Grant Program. Anticipated grant funds from the New York State Environmental Protection Fund (NYS EPF) is approximately \$200,000. This is a competitive grant program and projects must implement priorities of the Hudson River Estuary Action Agenda identified in the application. The Hudson River Estuary Action Agenda can be viewed at <http://www.dec.ny.gov/lands/5104.html>. Projects eligible for state assistance must be located within the geographic boundaries of the Hudson River Estuary. The recipient must provide a match of at least 15% of the grant funding amount.

Applications must be completed online and are available on the New York State Grants Gateway at <https://grantsgateway.ny.gov>. The application ID Number is DEC01-HRER29-2019. All applicants must register in the Grants Gateway to be eligible to apply for any NYS grant opportunity. Not-for-profit applicants must also be "prequalified" in the Grants Gateway system prior to the application deadline to be considered eligible for a grant. Information regarding registration and prequalification can be found at: <https://grantsmanagement.ny.gov/>. Information regarding the Hudson River Estuary grant applications can be found at: <http://www.dec.ny.gov/lands/5091.html> or you may contact: Department of Environmental Conservation Hudson River Estuary Program at the above address or e-mail: HREPgrants@dec.ny.gov

New York Homes and Community Renewal
Affordable Housing Corporation
Office of Community Renewal
641 Lexington Ave.
New York, NY 10022

CITIES, TOWNS, VILLAGES, COUNTIES, HOUSING AUTHORITIES, HOUSING DEVELOPMENT FUND COMPANIES, NOT-FOR-PROFIT CORPORATIONS OR CHARITABLE ORGANIZATIONS

AHC Affordable Home Ownership Development Program (AHODP)

The New York State Affordable Housing Corporation (AHC) announces the availability of \$26,000,000 for its Affordable Home Ownership Development Program (AHODP) pursuant to the State budget for Fiscal Year 2019-2020.

PROGRAM DESCRIPTION

The Affordable Home Ownership Development Program (AHODP) is a State funded program administered by the New York State Affordable Housing Corporation's (AHC's) Office of Community Renewal (OCR). AHC's mission is to promote Home Ownership by persons of low- and moderate- income, which, in turn, fosters the development, stabilization and preservation of neighborhoods and communities throughout New York State.

AHC AHODP ELIGIBLE APPLICANTS

Eligible applicants include cities, towns, villages, and counties throughout New York State, housing authorities, housing development fund companies, and not-for-profit corporations or charitable organizations which have as one of their primary purposes the development and improvement of housing.

AHC AHODP ELIGIBLE ACTIVITIES

The AHODP provides funds for three project types:

- New construction of owner-occupied homes for sale, including one-to-four family homes, condominiums, cooperatives and the replacement of dilapidated mobile and manufactured homes with new Energy-Star manufactured homes or stick-built homes on homeowner-owned land.
- Acquisition/rehabilitation of owner-occupied homes for sale, including one-to-four family homes, condominiums and cooperatives.

- Home improvements to existing, owner-occupied one-to-four family homes, condominiums or cooperatives.

In the event the homes are to be located in a mixed-use structure, then any costs associated with the nonresidential uses are ineligible costs.

Proposals may not include more than one type of project. Individual proposals must be submitted for each project type. Eligible activities and owner-occupancy requirements are pursuant to the Program Regulations of the AHODP (please see the AHC Request for Proposals for details).

MAXIMUM FUNDING LIMITS

The maximum AHODP award is \$35,000 per unit except for high cost areas where the maximum award is \$40,000 per unit. Additional information regarding high cost areas can be found at <https://hcr.ny.gov/system/files/documents/2018/11/highcostareatable.pdf>.

Due to limited funds, AHC may ask that projects requesting more than \$1,000,000 in grant funds be developed in phases. In addition, Acquisition/Rehabilitation applications that show maximum leveraging funds per unit, utilizing AHC funds solely as gap funding, with annual housing carrying costs between 25% and 33%, will receive priority.

AHC reserves the right to reject all proposals, to negotiate with applicants, recommend funding in an amount less than requested, conduct site visits, interview the applicant and development team, extend the submission deadline, and request additional information. AHC reserves the right to waive or modify any requirement contained in the Request for Proposals, subject to the applicable statutes and the Program Regulations.

APPLICATION FOR FUNDING

The AHODP Application will be available on June 26th on the NYS Homes and Community Renewal website: <https://hcr.ny.gov/search/funding-opportunities>.

During this funding round, AHC will be accepting applications immediately after this NOFA is released until such time that all of the AHC funds have been fully appropriated. Please make sure that when your application is submitted, it is complete and the project will be ready to proceed immediately upon funding.

Applications are to be submitted as a pdf and sent to the following email address:

AHCRFP@NYSHCR.ORG

The subject line should be: Grantee/Applicant Name – Project Name

Ex: “The Empire Affordable Housing Corporation – 2019 Home Improvement Program”

Applications not submitted via email will be sent back to the Applicant.

MINORITY- AND WOMEN-OWNED BUSINESS ENTERPRISES (M/WBE) and Service-Disabled Veteran-Owned Businesses (SDVOB) COMPLIANCE

In accordance with Section 312 of Article 15-A and Article 17-B of the Executive Law, NYS Homes and Community Renewal requires contractors and awardees to make affirmative efforts to ensure that New York State certified Minority and Women Owned Business Enterprises and Service-Disabled Veteran-Owned Businesses (SDVOB) have opportunities for meaningful participation on projects awarded funding by the Housing Trust Fund Corporation and the Affordable Housing Corporation.

On October 1, 2014 Governor Andrew M. Cuomo announced that his Administration is increasing its goal for MWBE state contracting utilization to 30 percent, the highest such target for any state in the nation. You can read more about the Governor’s announcement at <http://www.governor.ny.gov/press/10114-mwbe-contracting>.

The Service-Disabled Veteran-Owned Business Act, signed into law by Governor Andrew M. Cuomo on May 12, 2014, allows eligible Veteran business owners to get certified as a New York State Service-Disabled Veteran-Owned Business (SDVOB). To ensure continued progress toward the statewide SDVOB utilization, a goal of 6% has been established by New York State Executive Law Article 17-B. For an overview, please visit <https://ogs.ny.gov/veterans>.

Applicants must submit a preliminary Utilization Plan with their application, identifying a list of prospective MWBEs and SDVOBs for their project. The Utilization Plan can be found at <https://hcr.ny.gov/diversity-and-inclusion-economic-opportunity-and-partnership-development-forms>.

Additional information regarding MWBE/SDVOB compliance for the Programs included in this NOFA can be found at: <https://hcr.ny.gov/diversity-inclusion-corner-mwbe-and-sdvob-information>.

NEW YORK STATE GRANTS GATEWAY PREQUALIFICATION

The New York State Grants Gateway is a statewide effort that will improve the way the State administers grants by simplifying and streamlining the grants management process.

Effective August 1, 2014, not-for-profit organizations must be prequalified to do business with New York State. To prequalify, not-for-profit organizations must submit an online Prequalification Application through the Grants Gateway. The Prequalification Application is comprised of five components to gauge your organizational structure and the types of services you provide. The required forms and document uploads are all part of the Grants Gateway Document Vault. Resources to complete the application and associated document vault can be found in the Quick Links Section of the Grants Gateway page at <http://grantsreform.ny.gov/>.

Prequalification is designed as a way for not-for-profits to interact more directly with State agencies before they compete for State contracts, enabling them to make adjustments and answer concerns prior to entering a competitive bid process. Once they have been prequalified, multiple State agencies will have ready access to the prequalification materials, eliminating redundant submissions of such information by the vendor. Not-for-profits will only have to formally prequalify every 3 years, with the responsibility to keep their information current throughout the 3-year period.

PLEASE NOTE: Not-for-Profit applicants that are not prequalified through the New York State Grants Gateway will not be eligible to apply for and receive AHC funds, and any applications submitted to OCR will be deemed ineligible for review and consideration.

CONTACT INFORMATION

For inquiries and technical assistance regarding AHC’s AHODP, please contact: New York Homes and Community Renewal, Affordable Housing Corporation, 641 Lexington Ave., 4th Fl., New York, NY 10022, e-mail: AHCGrantee.Support@nyshcr.org

MISCELLANEOUS NOTICES/HEARINGS

Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311
or visit our web site at:
www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

PUBLIC NOTICE

Division of Criminal Justice Services

Pursuant to the federal program requirements for the Edward Byrne Memorial Justice Assistance Grant (JAG) FY 2019 program solicitation, the NYS Division of Criminal Justice Services (Division) hereby gives notice regarding New York State's application for such funding:

On June 25, 2019, the Division will submit a funding application requesting New York State's federal fiscal year (FFY) 2019 award of \$8,818,775 appropriated under the Edward Byrne Memorial Justice Assistance Grant (JAG) Program. The application generally describes the proposed program activities for the 4-year grant period and the types of programs for which funding will be used.

This application is required to be made available for a 30-day period for public review and comment. The application will be available on the Division's website, and requests for copies may be made via e-mail to: funding@dc.js.ny.gov. Requests may also be made in writing or by calling the Division offices during regular business hours. If a paper copy of the application is requested, a payment of \$0.25 per page will be due to the Division in accordance with Public Officer Law § 87.

For further information, contact: Division of Criminal Justice Services, Office of Program Development & Funding, Jeffrey P. Bender, Deputy Commissioner, 80 S. Swan St., Albany, NY 12210, (518) 457-8462, funding@dc.js.ny.gov

PUBLIC NOTICE

Department of Health

The Following is clarification to the June 19, 2019 noticed amendments. The New York State Department of Health (DOH) is submitting requests to the Federal Centers for Medicare and Medicaid Services (CMS) to amend the 1915(c) Children's Waiver (#NY.4125.R05.03) Home and Community Based Services (HCBS) coverage. This notice clarifies that the effective dates for the amendment previously anticipated as July 1, 2019 is now expected effective

August 1, 2019. Family Peer Support Services will continue to be ended in the waiver as of June 30, 2019, as this service will be available as a State Plan service SPA-19-003, which has already been approved by CMS effective July 1, 2019.

Effective August 1, 2019

- All Children's 1915(c) waiver participants will be required to receive at least one HCBS service per month.
- Language in performance measures will be modified to clarify that Care Managers will meet regularly with waiver participants in a manner and frequency that is consistent with the participant's Health Home acuity level.

Effective October 1, 2019

Language will be incorporated to reference the Medicaid Managed Care delivery system throughout the application and concurrent operation with the 1115 waiver amendment already submitted to CMS and expected to be approved no later than July 31, 2019.

Effective January 1, 2020

- Youth Peer Supports and Crisis Intervention will be removed from the Children's waiver and be made available as a State Plan service.

For further information and to review and comment, please contact: Department of Health, Office of Health Insurance Programs, 99 Washington Ave., One Commerce Plaza, Suite 720, Albany, NY 12210, e-mail: BH.Transition@health.ny.gov

PUBLIC NOTICE

Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for non-institutional services to comply with administrative action. The following changes are proposed:

Non-Institutional Services

Effective on or after July 1, 2019, the State intends to offer certain housing-related activities and services as Medicaid benefits for eligible individuals. Under the authority of Section 1915(i) of the federal Social Security Act, the benefit will be offered to individuals eligible for home and community-based services (HCBS) and targeted based on age, disability, diagnosis, or eligibility group. Services will include Housing Transition Services, Household Establishment, Tenancy Sustaining Services, and Transitional Housing Assistance.

The estimated annual net aggregate decrease in state Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2019/2020 is (\$18.3 million).

The public is invited to review and comment on this proposed State Plan Amendment, a copy of which will be available for public review on the Department's website at http://www.health.ny.gov/regulations/state_plans/status. Individuals without Internet access may view the State Plan Amendments at any local (county) social services district.

For the New York City district, copies will be available at the following places:

New York County
250 Church Street
New York, New York 10018

Queens County, Queens Center
3220 Northern Boulevard
Long Island City, New York 11101

Kings County, Fulton Center
114 Willoughby Street
Brooklyn, New York 11201

Bronx County, Tremont Center
1916 Monterey Avenue
Bronx, New York 10457

Richmond County, Richmond Center
95 Central Avenue, St. George
Staten Island, New York 10301

For further information and to review and comment, please contact:
Department of Health, Division of Finance and Rate Setting, 99
Washington Ave., One Commerce Plaza, Suite 1432, Albany, NY
12210, e-mail: spa_inquiries@health.ny.gov

PUBLIC NOTICE

Town of Irondequoit

The Town of Irondequoit is requesting proposals from qualified administrative services agencies, and/or financial organizations relating to administration, trustee services and/or funding of a deferred compensation plan for employees of The Town of Irondequoit meeting the requirements of Section 457 of the Internal Revenue Code and Section 5 of the State Finance Law, including all rules and regulations issued pursuant thereto.

A copy of the proposal questionnaire may be obtained electronically from: Town of Irondequoit, Human Resources Department, Jason Vinette, 1280 Titus Ave., Rochester, NY 14617, e-mail: hr@irondequoit.org

All proposals must be submitted no later than 12:00 p.m. on July 26, 2019.

PUBLIC NOTICE

New York City Deferred Compensation Plan

The New York City Deferred Compensation Plan (the "Plan") is requesting information from qualified service providers with a specialization in providing proxy voting services to provide a full range of proxy analysis and reporting requirements. The purpose of this Request for Information ("RFI") is to conduct a preliminary evaluation of potential vendors. The RFI will be available beginning on Wednesday, May 29, 2019. Responses are due no later than 4:30 p.m. Eastern Time on Tuesday, July 2, 2019. To obtain a copy of the RFI, please visit the Plan's web site at www1.nyc.gov/site/olr/about/about-rfp.page and download and review the applicable documents.

If you have any questions, please submit them by fax to Georgette Gestely, Director, at (212) 306-7376.

Consistent with the policies expressed by the City, responses from certified minority-owned and/or women-owned businesses or responses that include partnering arrangements with certified minority-owned and/or women-owned firms are encouraged. Additionally, responses from small and New York City-based businesses are also encouraged.

PUBLIC NOTICE

Department of State F-2019-0252

Date of Issuance - June 26, 2019

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York and is available for review at: http://www.dos.ny.gov/opd/programs/pdfs/Consistency/F-2019-0252_ApplicationforPN.pdf

In F-2019-0252, New York Power Authority (NYPA) is proposing the Massena Intake Boat Launch Site Improvements Project at Route 131, Town of Massena, St. Lawrence County. The proposed activity would expand the width of the existing boat launch from 4 to 8 lanes, install 2 new permanent piers (6'x60' and 6'x24'), construct a permanent, year-round dock (6'x400') adjacent to the riprap shoreline, and install 12 seasonal, floating finger docks (4'x24' each) that will attach to the boardwalk to support recreational boating and fishing access. These improvements would result in permanent impacts below ordinary high water (OHW) of 0.10 acres of fill associated with the concrete boat ramps, 0.03 acres of riprap fill for slope protection around the new boat ramp, and installation of 152 steel H-piles in total for the dock and piers. A total of 0.07 acres of permanent dock/piers and a total of 0.03 acres of seasonal docking would extend over OHW. Other improvements within the coastal area consist of expanding the paved launch area, improving traffic flow, installing two new pavilions and new restrooms, and undertaking septic upgrades. The stated purpose of the activity is to improve and expand the existing boat launch site.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or 7/11/2019.

Comments should be addressed to the Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

PUBLIC NOTICE

Department of State Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0301 Matter of Nassau Expeditors Inc., Scott Tirone, 75 Albertson Avenue, Albertson, NY 11507, for a variance concerning safety requirements, including the required ceiling height and the height under a girder/soffit. Involved is an existing one family dwelling located at 78 McKee Street; Town of North Hempstead, NY 11001 County of Nassau, State of New York.

2019-0303 Matter of Nassau Expeditors Inc., Scott Tirone, 75 Albertson Avenue, Albertson, NY 11507, for a variance concerning safety requirements, including the required ceiling height and the height under a girder/soffit. Involved is an existing one family dwelling located at Seven Lincoln Place, Town of North Hempstead, NY 11050 County of Nassau, State of New York.

2019-0348 Matter of Mark Vincent Kruse, Architect, AIA, 308 East Meadow Avenue, East Meadow, NY 11554, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one family dwelling located at 63 Astor Place; Town of Hempstead, NY 11575 County of Nassau, State of New York.

2019-0354 Matter of J. Leonard Architecture, PC, Jeffrey Leonard,

AIA, 100 N. Country Rd., Suite Two, Setauket, NY 11733, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an existing one family dwelling located at 54 Butterfield Drive; Town of Huntington, NY 11740 County of Suffolk, State of New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0347 In the matter of Alan Vogel, P.O. Box 166, Ithaca, NY 14850 concerning safety requirements including a variance for reduction in required height of existing interior handrails and guardrails.

Involved is the certificate of compliance inspection of an existing residential occupancy, two stories in height, located at 108 Terrace Place, City of Ithaca, County of Tompkins, New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0349 In the matter of Beardsley Architects, Jared Heini, 320 W. Main St., Suite Two, Malone, NY 12953 for NY FFA Leadership Training Foundation concerning building code and fire safety requirements including a variance to exceed maximum building area and also to omit a fire sprinkler system in the building.

Involved is the construction of a new assembly (dining hall) occupancy, one stories in height, known as "Oswegatchie Education Center", located at 9340 Long Pond Road, Town of Croghan, County of Lewis, New York.

PUBLIC NOTICE

Department of State
Uniform Code Variance / Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2019-0359 Matter of Bridget Roche, 306 Roe Avenue, E. Patchogue, NY 11772, for a variance concerning safety requirements, including the required opening for emergency escape and rescue. Involved is an existing one family dwelling located at 306 Roe Avenue; Town of Brookhaven, NY 11772 County of Suffolk, State of New York.

2019-0360 Matter of Raymundo Bonilla, 35 Marvin Avenue, Hempstead, NY 11550, for a variance concerning safety requirements, including the required height under a girder/soffit. Involved is an exist-

ing one family dwelling located at 35 Marvin Avenue; Village of Hempstead, NY 11550 County of Nassau, State of New York.

2019-0362 Matter of D. Powers Consulting Inc., Diane Powers Imbimbo, 769 Pelham Road - Apt. 3C, New Rochelle, NY 10805, for a variance concerning safety requirements, including the required ceiling height and height under a girder/soffit. Involved is an existing one family dwelling located at 120 Midgely Drive; Town of Hempstead, NY 11557 County of Nassau, State of New York.

PUBLIC NOTICE

Susquehanna River Basin Commission

Projects Approved for Consumptive Uses of Water

SUMMARY: This notice lists the projects approved by rule by the Susquehanna River Basin Commission during the period set forth in "DATES."

DATES: April 1-30, 2019.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries may be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists the projects, described below, receiving approval for the consumptive use of water pursuant to the Commission's approval by rule process set forth in 18 CFR § 806.22(e) and § 806.22 (f) for the time period specified above:

Approvals By Rule Issued Under 18 CFR 806.22(f)

1. SWN Production Company, LLC.; Pad ID: Webster - 1; ABR-20090401.R2; Franklin Township, Susquehanna County, Pa.; Consumptive Use of Up to 2.9999 mgd; Approval Date: April 11, 2019.

2. SWN Production Company, LLC.; Pad ID: Holbrook #1; ABR-20090402.R2; Liberty Township, Susquehanna County, Pa.; Consumptive Use of Up to 3.9990 mgd; Approval Date: April 11, 2019.

3. SWN Production Company, LLC.; Pad ID: Turner - 1; ABR-20090403.R2; Middletown Township, Susquehanna County, Pa.; Consumptive Use of Up to 3.9990 mgd; Approval Date: April 11, 2019.

4. SWN Production Company, LLC; Pad ID: Fiondi - 1; ABR-20090404.R2; Bridgewater Township, Susquehanna County, Pa.; Consumptive Use of Up to 3.0010 mgd; Approval Date: April 11, 2019.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806 and 808.

Dated: June 10, 2019.

Jason E. Oyler,

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission

Projects Approved for Consumptive Uses of Water

SUMMARY: This notice lists the projects approved by rule by the Susquehanna River Basin Commission during the period set forth in "DATES."

DATES: May 1-31, 2019.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries May be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists the projects, described below, receiving approval for the consumptive use of water pursuant to the Commission's approval by rule process set forth in 18 CFR § 806.22(e) and § 806.22 (f) for the time period specified above:

Approvals By Rule Issued Under 18 CFR 806.22(e):

1. Sunoco Pipeline, L.P.; Mariner East 2 Pipeline – Aughwick Creek; ABR-201905007; Shirley Township, Huntingdon County, Pa.; Consumptive Use of Up to 0.200 mgd; Approval Date: May 21, 2019.

2. Sunoco Pipeline, L.P.; Mariner East 2 Pipeline – Appalachian Trail and Norfolk Southern Railroad Crossing; ABR-201905008; Middlesex and Silver Spring Townships, Cumberland County, Pa.; Consumptive Use of Up to 0.144 mgd; Approval Date: May 22, 2019.

Water Source Approvals Issued Under 18 CFR 806.22(f)(13):

1. Chesapeake Appalachia, L.L.C.; Pad ID: Molly J 2; ABR-201905001; Monroe Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 6, 2019.

2. Beech Resources, LLC.; Pad ID: Premier Well Site; ABR-201905002; Lycoming Township, Lycoming County, Pa.; Consumptive Use of Up to 3.0000 mgd; Approval Date: May 6, 2019.

3. Seneca Resources Company, LLC; Pad ID: PHC 4H; ABR-20090501.R2; Lawrence Township, Clearfield County, Pa.; Consumptive Use of Up to 3.0000 mgd; Approval Date: May 7, 2019.

4. Seneca Resources Company, LLC; Pad ID: PHC 5H; ABR-20090502.R2; Lawrence Township, Clearfield County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: May 7, 2019.

5. Seneca Resources Company, LLC; Pad ID: PHC 9H; ABR-20090503.R2; Lawrence Township, Clearfield County, Pa.; Consumptive Use of Up to 0.9990 mgd; Approval Date: May 7, 2019.

6. Seneca Resources Company, LLC; Pad ID: Wilcox Pad F; ABR-20090505.R2; Covington Township, Tioga County, Pa.; Consumptive Use of Up to 2.0000 mgd; Approval Date: May 13, 2019.

7. Repsol Oil & Gas USA, LLC; Pad ID: CEASE (01 005/008) R; ABR-20090506.R2; Troy Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: May 13, 2019.

8. Repsol Oil & Gas USA, LLC; Pad ID: SHEDDEN (01 026/027) R; ABR-20090507.R2; Troy Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: May 13, 2019.

9. Repsol Oil & Gas USA, LLC; Pad ID: HARRIS (01 004) M; ABR-20090508.R2; Armenia Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: May 13, 2019.

10. Repsol Oil & Gas USA, LLC; Pad ID: BENSE (01 025/070) B; ABR-20090509.R2; Troy Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: May 13, 2019.

11. Repsol Oil & Gas USA, LLC; Pad ID: PHINNEY (01 006) J; ABR-20090510.R2; Troy Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: May 13, 2019.

12. Chief Oil & Gas, LLC; Pad ID: Blanchard Drilling Pad; ABR-201405002.R1; McNett Township, Lycoming County, Pa.; Consumptive Use of Up to 2.5000 mgd; Approval Date: May 13, 2019.

13. Inflection Energy (PA), LLC; TLC Pad; ABR-201405004.R1; Eldred Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: May 13, 2019.

14. Chief Oil & Gas, LLC; Pad ID: Harper Unit #1; ABR-20090515.R2; West Burlington Township, Bradford County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: May 14, 2019.

15. Chief Oil & Gas, LLC; Pad ID: Jennings Unit #1H; ABR-20090516.R2; West Burlington Township, Bradford County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: May 14, 2019.

16. Chief Oil & Gas, LLC; Pad ID: Black Unit #1H; ABR-20090517.R2; Burlington Township, Bradford County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: May 14, 2019.

17. ARD Operating, LLC; Pad ID: Little Fawn Pad A; ABR-201905004; Cascade Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: May 20, 2019.

18. ARD Operating, LLC; Pad ID: David C Duncan Pad B; ABR-201905005; Cascade Township, Lycoming County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: May 20, 2019.

19. Chief Oil & Gas, LLC; Pad ID: Corbett Unit Pad; ABR-201905006; Overton Township, Bradford County, Pa.; Consumptive Use of Up to 2.5000 mgd; Approval Date: May 20, 2019.

20. BKV Operating, LLC; Pad ID: Cowfer - 1; ABR-20090417.R2; Rush Township, Centre County, Pa.; Consumptive Use of Up to 0.9990 mgd; Approval Date: May 20, 2019.

21. Chesapeake Appalachia, L.L.C.; Pad ID: Ward; ABR-20090519.R2; West Burlington Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 20, 2019.

22. Chesapeake Appalachia, L.L.C.; Pad ID: Hannan; ABR-20090520.R2; Troy Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 20, 2019.

23. Chesapeake Appalachia, L.L.C.; Pad ID: Isbell; ABR-20090521.R2; Burlington Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 20, 2019.

24. Repsol Oil & Gas USA, LLC; Pad ID: KNIGHTS (01 044) L; ABR-20090522.R2; Troy Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: May 20, 2019.

25. Repsol Oil & Gas USA, LLC; Pad ID: HARRIS (01 012) A; ABR-20090523.R2; Armenia Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: May 20, 2019.

26. Repsol Oil & Gas USA, LLC; Pad ID: THOMAS (01 038) FT; ABR-20090524.R2; Troy Township, Bradford County, Pa.; Consumptive Use of Up to 6.0000 mgd; Approval Date: May 21, 2019.

27. Chesapeake Appalachia, L.L.C.; Pad ID: Otten; ABR-20090526.R2; Asylum Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 22, 2019.

28. Chesapeake Appalachia, L.L.C.; Pad ID: Mowry; ABR-20090527.R2; Tuscarora Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 22, 2019.

29. Chesapeake Appalachia, L.L.C.; Pad ID: May; ABR-20090528.R2; Granville Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 22, 2019.

30. Chesapeake Appalachia, L.L.C.; Pad ID: John Barrett; ABR-20090529.R2; Asylum Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 22, 2019.

31. Chesapeake Appalachia, L.L.C.; Pad ID: James Barrett; ABR-20090530.R2; Asylum Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 22, 2019.

32. Chesapeake Appalachia, L.L.C.; Pad ID: Chancellor; ABR-20090532.R2; Asylum Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 22, 2019.

33. Chesapeake Appalachia, L.L.C.; Pad ID: Clapper; ABR-20090533.R2; Auburn Township, Susquehanna County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 22, 2019.

34. Chesapeake Appalachia, L.L.C.; Pad ID: Judd; ABR-20090534.R2; Monroe Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 22, 2019.

35. Chesapeake Appalachia, L.L.C.; Pad ID: VanNoy; ABR-20090535.R2; Granville Township, Bradford County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 22, 2019.

36. SWEPI LP; Pad ID: Tice 653; ABR-201403002.R1; Richmond Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: May 28, 2019.

37. SWEPI LP; Pad ID: Shughart 534; ABR-201403003.R1; Richmond Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: May 28, 2019.

38. SWEPI LP; Pad ID: Shughart 490; ABR-201403004.R1; Richmond Township, Tioga County, Pa.; Consumptive Use of Up to 4.0000 mgd; Approval Date: May 28, 2019.

39. Chesapeake Appalachia, L.L.C.; Pad ID: Przybyszewski; ABR-20090555.R2; Auburn Township, Susquehanna County, Pa.; Consumptive Use of Up to 7.5000 mgd; Approval Date: May 29, 2019.

40. Chief Oil & Gas, LLC.; Pad ID: Harris #1H; ABR-20090556.R2; Burlington Township, Bradford County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: May 29, 2019.

41. Cabot Oil & Gas Corporation; Pad ID: HawkJ P1; ABR-201403013.R1; Auburn Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: May 29, 2019.

42. Cabot Oil & Gas Corporation; Pad ID: GrasavageE P1; ABR-201403014.R1; Jessup Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: May 29, 2019.

43. Cabot Oil & Gas Corporation; Pad ID: SlocumS P1; ABR-

201403015.R1; Jackson Township, Susquehanna County, Pa.; Consumptive Use of Up to 5.0000 mgd; Approval Date: May 29, 2019.

44. SWN Production Company, LLC; Pad ID: Powers Pad Site; ABR-20090511.R2; Forest Lake Township, Susquehanna County, Pa.; Consumptive Use of Up to 3.0000 mgd; Approval Date: May 31, 2019.

45. SWN Production Company, LLC; Pad ID: Lepley Pad – TI-04; ABR-201405006.R1; Liberty Township, Tioga County, Pa.; Consumptive Use of Up to 4.9990 mgd; Approval Date: May 31, 2019.

Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR Parts 806 and 808.

Dated: June 10, 2019.

Jason E. Oyler,

General Counsel and Secretary to the Commission.

PUBLIC NOTICE

Susquehanna River Basin Commission

Grandfathering (GF) Registration Notice

SUMMARY: This notice lists Grandfathering Registration for projects by the Susquehanna River Basin Commission during the period set forth in DATES.

DATES: May 1-31, 2019.

ADDRESSES: Susquehanna River Basin Commission, 4423 North Front Street, Harrisburg, PA 17110-1788.

FOR FURTHER INFORMATION CONTACT: Jason E. Oyler, General Counsel and Secretary to the Commission, telephone: (717) 238-0423, ext. 1312; fax: (717) 238-2436; e-mail: joyler@srbc.net. Regular mail inquiries May be sent to the above address.

SUPPLEMENTARY INFORMATION: This notice lists GF Registration for projects, described below, pursuant to 18 CFR 806, Subpart E for the time period specified above:

Grandfathering Registration Under 18 CFR part 806, Subpart E

1. Village of Cooperstown – Water Department, GF Certificate No. GF-201905030, Village of Cooperstown, Otsego County, NY; Otsego Lake; Issue Date: May 7, 2019.

2. Corey Creek Golf Club, GF Certificate No. GF-201905031, Richmond Township, Tioga County, Pa.; Irrigation Pond; Issue Date: May 7, 2019.

3. Huntsinger Farms, Inc., GF Certificate No. GF-201905032, Hugins and Hubley Townships, Schuylkill County, Pa.; Deep Creek 1, Deep Creek 2, Pine Creek 1, Pine Creek 2, and Monroes Pond; Issue Date: May 7, 2019.

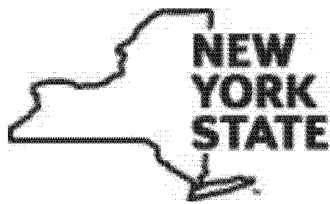
Authority: Pub. L. 91-575, 84 Stat. 1509 et seq., 18 CFR parts 806 and 808.

Dated: June 10, 2019.

Jason E. Oyler,

General Counsel and Secretary to the Commission.

FINANCIAL REPORTS



Department of
Taxation and Finance

Depositories for the Funds of the State of New York

Month End: May 31, 2019

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Andrew D. Morris
Executive Deputy Commissioner

**ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF
TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER**

Unemployment Insurance Funding Account	Key Bank	2,612,634.06
Occupational Training Act Funding Account	Key Bank	166,557.49
Unemployment Insurance Exchange Account	Key Bank	0.00
Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(422,451,844.49)
General Checking	Key Bank	(382,340,140.69)
Direct Deposit Account	Wells Fargo	25,332.80
TOTAL		(441,819,591.99)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	5,000.00
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,431.97
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	14,847.80
NY ISO Account	Key Bank	8,626,142.85
OGS Binghamton Office Bldg	Key Bank	132.00
SNY Office of General Services	JPMorgan Chase Bank, N.A.	3,389.94
State of New York OGS Escrow II	Key Bank	2,817,894.73
State of New York OGS Petty Cash	Key Bank	260,952.39
State of New York OGS Escrow	Key Bank	110,105.82
State of NY Office Of General Services	Key Bank	10,148.51
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	0.00
Div Headquarters - Petty Cash	Key Bank	1,436.00
Key Advantage Account	Key Bank	81,242.52
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	2,614,317.65
NYSP CTIU Confidential Fund	Key Bank	3,000.00
SIU Confidential Fund Account	Key Bank	5,420.34
Special Fund	Key Bank	48,287.23
State Police Receipts Account	Bank of America, N.A.	475,995.69
Troop A Batavia - Petty Cash	Bank of America, N.A.	970.00
Troop A Batavia-Confidential	Bank of America, N.A.	3,400.00
Troop B Confidential	Key Bank	3,409.10
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	4,300.00
Troop C Petty Cash	NBT Bank	1,000.00
Troop D Oneida - Confidential	Alliance Bank	8,488.83
Troop D Oneida Petty Cash	Alliance Bank	821.56
Troop E Canandaigua Confidential	Canandaigua National Bank	12,500.00
Troop E Petty Cash	Canandaigua National Bank	1,000.00
Troop F Confidential	JPMorgan Chase Bank, N.A.	4,500.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	842.89
Troop G Petty Cash	Bank of America, N.A.	1,000.00
Troop K Petty Cash	Bank of Millbrook	704.08
Troop K Poughkeepsie-Confidential	Bank of Millbrook	2,543.33
Troop L Confidential Fund	Bank of America, N.A.	5,348.15
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	104,071.56
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	45,934.53
Revenue Account	JPMorgan Chase Bank, N.A.	531,029.73
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
GOER Panel Administration Escrow Account	Key Bank	3,830.07
NYS OSC Concentration	Key Bank	55,184.49
State of New York LMC Petty Cash Account	Key Bank	2,000.00
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,940.00

01300 - ADIRONDACK PARK AGENCY

General Fund	Community Bank	502.00
Petty Cash	Community Bank	3,417.55

01400 - CRIME VICTIMS COMPENSATION BOARD

Crime Victims	JPMorgan Chase Bank, N.A.	No report received
Emergency Award Account	M&T Bank	No report received
Emergency Claims	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
REST/SUBROG Escrow Account	Key Bank	No report received

01490 - DIVISION OF CRIMINAL JUSTICE SERVICES

Advance Account	Bank of America, N.A.	2,723.75
FBI Fee Account	Bank of America, N.A.	6,549.96
Fingerprint Fee Account	Bank of America, N.A.	3,470.75

01530 - STATE COMMISSION OF CORRECTION

Advance Account	Bank of America, N.A.	2,000.00
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01540 - STATE BOARD OF ELECTIONS

Revenue Account	Key Bank	No report received
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01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE

NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
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02000 - OFFICE OF THE STATE COMPTROLLER

Admissions	Bank of America, N.A.	2,300.68
Alcohol Beverage	Bank of America, N.A.	91,235.32
Alcoholic Bev Control License	Wells Fargo Bank	132,436.86
Alcoholic Beverage Control License	M&T Bank	312,582.76
Assessments Bulk	JPMorgan Chase Bank, N.A.	138,562.99
Assessments Receivable	JPMorgan Chase Bank, N.A.	10,204,020.33
Assessments Receivable (EFT)	Wells Fargo Bank	512,217.82
Boxing And Wrestling Tax	Bank of America, N.A.	2,498.53
Check 21 Corporation Tax	JPMorgan Chase Bank, N.A.	389,207.65
Check 21 Estate Tax	JPMorgan Chase Bank, N.A.	0.00
Check 21 Highway Use	JPMorgan Chase Bank, N.A.	876,349.16
Check 21 PIT	JPMorgan Chase Bank, N.A.	1,566,998.11
Check 21 Real Estate Transfer	JPMorgan Chase Bank, N.A.	5,291,261.04
Check Sales Tax	JPMorgan Chase Bank, N.A.	1,595,579.94
Cigarette Stamp Sales Tax	JPMorgan Chase Bank, N.A.	2,193,564.00
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	1,500.00
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	11,361,595.12
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	372,933.25
Cigarette Tax Tobacco Products	Bank of America, N.A.	128,820.65
Congestion Surcharge	Wells Fargo Bank	247,129.46
Corporation Tax	Wells Fargo Bank	97.92
Corporation Tax - Art 9	Bank of America, N.A.	0.00
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	154,824.90
Educational Charitable Account	Wells Fargo Bank	0.00
Employer Compensation Expense	Wells Fargo Bank	31,838.31
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	206,087.63
Estate Tax	Bank of America, N.A.	5,390,380.27
Estimated Tax	JPMorgan Chase Bank, N.A.	17,677,339.47
Gift Tax	Bank of America, N.A.	0.00
Hazardous Waste	Key Bank	22,342.09
Health Charitable Account	Wells Fargo Bank	0.00
Highway Use - Permits & Reg.	Bank of America, N.A.	7,042.72
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	1,170,568.28
Hudson River-Black River	Bank of America, N.A.	0.00
Hudson River-Black River	Community Bank	0.00
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	14,756.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	247,151.57
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	10,986.50
IFTA-Fuel Use	Bank of America, N.A.	35,164.01
Income Tax - LLC	JPMorgan Chase Bank, N.A.	124,323.33
Justice Court	Key Bank	0.00
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	955.50
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	265,992.00
Medical Marijuana Tax Collections	Bank of America, N.A.	68,769.75
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	4,513,509.07
Ogdensburg Bridge & Port	Community Bank	42,971.92
Personal Income Tax	Wells Fargo Bank	110,415.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	309,710.70
Petroleum Products Tax	Bank of America, N.A.	3,070,444.56
PIT Bulk	JPMorgan Chase Bank, N.A.	8,501.00
Port Of Oswego	Key Bank	330,338.75
Promptax - MCTMT	Wells Fargo Bank	7,004,946.19
Promptax - Petroleum Business Tax	Wells Fargo Bank	572.21

Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	209.92
Promptax - Withholding	Wells Fargo Bank	34,899,653.17
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	26,773.61
Real Estate Transfer Tax	Key Bank	1,372.96
Revenue Holding	First Niagara Bank	7,077,583.00
Sales Tax	JPMorgan Chase Bank, N.A.	0.00
Sales Tax	Wells Fargo Bank	263.53
SUNY Concentration	First Niagara Bank	902,000.00
TNC Assessment (EFT)	Wells Fargo Bank	1,465.71
Troy Debt Service Reserve Fund	Bank of America, N.A.	0.00
Uncashed Winning Tickets	Bank of America, N.A.	83,170.28
Unclaimed Funds	JPMorgan Chase Bank, N.A.	6,044,876.72
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	32,940.77
Withholding	Wells Fargo Bank	626.08
Withholding Tax	JPMorgan Chase Bank, N.A.	16,680,657.41
Cash Advance Accounts		
Advance For Travel Account	Key Bank	400.00
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,700.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	26.15
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	470,847.62
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	16.84
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	654.46
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	18.20
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received
Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	Key Bank	11,809,034.89
National Global Settlement	JPMorgan Chase Bank, N.A.	5,372,433.44
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	29,021.59
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,299.76
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	3,596.00
Petty Cash Account Dist Off	Bank of America, N.A.	21,533.52
Petty Cash New York City	JPMorgan Chase Bank, N.A.	701.57
Public Information Office	Key Bank	0.25
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	23,698.86
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	2,000.00
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	125.00
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	1,286,240.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	8,431,910.40

Finger Print Account	JPMorgan Chase Bank, N.A.	75.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	1,986,944.47
Lawyers Fund For Client Protection - Bail	Key Bank	699.86
Petty Cash	Key Bank	4,233.54
05023 - ATTORNEY GRIEVANCE COMMITTEE, THIRD JUDICIAL DEPARTMENT		
Attorney Grievance Committee	M&T Bank	750.00
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	344.36
Clerk Of The Court Of Appeals	Key Bank	4,290.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	4,322,100.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	37,616.75
1st Jud Dept Petty Cash Appellate	JPMorgan Chase Bank, N.A.	439.04
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	105,710.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	26,118.95
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	13,502.75
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	4,477.98
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court Bail	Wells Fargo Bank	100,868.98
Glen Cove City Court Revenue	Wells Fargo Bank	57,915.00
Long Beach City Court		
Long Beach City Court Revenue	Wells Fargo Bank	67,798.15
Long Beach Court Bail	Wells Fargo Bank	155,861.78
Nassau County Court		
Nassau County Assessment	Wells Fargo Bank	27,565.34
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Wells Fargo Bank	376,107.89
Nassau District Court-Civil		
Nassau County Dist Ct- Civil Revenue	Wells Fargo Bank	68,958.85
Nassau Surrogate		
Nassau County Surrogate Court-Revenue	Wells Fargo Bank	118,286.50
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
10th Judicial District Suffolk County Admin		
Suffolk County Court -Court Fund	People's United Bank	22,243.64
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	People's United Bank	39,274.25
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	421,083.66
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	307,375.08
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	76,875.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	225,762.10
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	580.00
Kings Civil Court- Civil		
Kings Civil Court- Civil Revenue	JPMorgan Chase Bank, N.A.	220,769.20
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	68,049.30
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	47,954.10
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	37,195.30
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	32,781.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	64,521.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	52,427.26
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	0.00

New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	102,147.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	59,351.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	122,491.00
Richmond County Criminal Court		
Richard Criminal Court-City Funds	JPMorgan Chase Bank, N.A.	24,246.00
Richmond Criminal Court-State Funds	JPMorgan Chase Bank, N.A.	36,197.00
05220 - NYC-FAMILY COURT		
Family Citywide Administration		
NYC Family Court	JPMorgan Chase Bank, N.A.	310.00
05231 - SUPREME COURT - BRONX COUNTY		
Bronx County Supreme - NYS OCA	JPMorgan Chase Bank, N.A.	10,299.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County-Revenue	JPMorgan Chase Bank, N.A.	9,076.50
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	16,585.84
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	965,167.00
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	1,686,068.99
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,156,926.45
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	1,036,391.62
05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	5,445,169.51
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	291.53
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	86,973.50
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	7,338.00
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	70,347.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	21,978.25
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	5,748.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court - (Civil)		
Albany City Civil - Revenue	Wells Fargo Bank	11,332.70
Albany City Court - (Crim-Bail)		
Albany City Criminal - Bail	Wells Fargo Bank	31,487.00
Albany City Court - (Traffic)		
Albany City Traffic-Revenue	Wells Fargo Bank	117,058.00
Albany Traffic Court - Bail	Bank of America, N.A.	1,300.20
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	55,611.32
Albany City Court - Crim		
Albany City Court-Crim	Wells Fargo Bank	0.00
Albany City Court - Traffic-Bail		
Albany City Traffic - Bail	Wells Fargo Bank	0.00
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	11,321.50
Albany Police Court		
Albany Police Court Bail Account	Key Bank	0.00
Cohoes City Court		
Cohoes City Court Bail	Key Bank	32,418.50
Cohoes City Court Fees/Fines Account	Key Bank	7,835.70
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	16,599.50
Greene Surrogate		
Greene Surrogate-Revenue	Wells Fargo Bank	2,725.75
Hudson City Court		
Hudson City Bail	Wells Fargo Bank	33,859.54
Hudson City Court Bail Acct	Trustco Bank	0.00

Hudson City Court Revenue Acct - Revenue	Trustco Bank	0.00
Hudson City Revenue	Wells Fargo Bank	20,008.55
Kingston City Court		
Kingston City Court Bail	Key Bank	2,490.00
Kingston City Court Bail	Wells Fargo Bank	2,250.00
Kingston City Court Revenue	Wells Fargo Bank	42,479.53
Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	6,212.35
Rensselaer City Court Bail Acct	Key Bank	7,485.00
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	15,932.75
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	60.00
Sullivan Surrogate		
Sullivan Surrogate-Revenue	Wells Fargo Bank	402.00
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	80,383.41
Troy Police Court Bail Account	Bank of America, N.A.	31,792.74
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	10,152.25
Watervliet City Court		
Watervliet Bail	Pioneer Savings Bank	56,415.00
Watervliet Revenue	Pioneer Savings Bank	47,620.50
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	87,136.35
Amsterdam City Court - Revenue	Key Bank	24,773.95
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	121.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	439.50
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	101.75
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	384.50
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	17,093.60
Glens Falls City Court Bail Acct	Glens Falls National	36,431.61
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	45,652.06
Gloversville City Court Revenue	NBT Bank	18,079.15
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	0.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	25,673.57
Johnstown City Court Fines/Fees - Revenue	Key Bank	14,735.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	2,451.02
Mechanicville City Ct Revenue Acct	TD Bank	11,941.00
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	30.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	18,905.20
Ogdensburg City Court Revenue	Community Bank	5,153.04
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	83,530.00
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	29,064.38
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	3,019.00
Saratoga Springs City Court		
Saratoga Springs Bail Account	The Adirondack Trust Company	49,995.17
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	33,940.80
Schenectady City Court		
Schenectady City Court- Bail	Bank of America, N.A.	60,796.92
Schenectady City Court Revenue	Bank of America, N.A.	44,003.90
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	5,424.75
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	1,565.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	2,179.00
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	450.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		

Fulton City Court		
Fulton City Court Bail Acct	Key Bank	9,233.20
Fulton City Court Revenue	Key Bank	5,435.74
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	20.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	5,280.25
Lewis County		
Lewis County Clerk	Community Bank	11,952.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	420.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	23,100.00
Little Falls City Court Revenue	M&T Bank	4,145.00
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	12,450.59
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	12,714.50
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	4,980.25
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	15,437.53
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	19,283.20
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	3,170.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	52,071.25
Rome City Court - Revenue	Bank of America, N.A.	50,823.15
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	250.00
Sherrill City Court Fees - Revenue	Alliance Bank	1,928.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	338,953.00
Syracuse City Court - Fees - Revenue	Alliance Bank	55,034.10
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	82,542.50
Utica City Court Revenue Account	Key Bank	47,806.00
Watertown City Court		
Watertown City Court Bail	Key Bank	45,470.72
Watertown City Court Fees & Fines - Revenue	Key Bank	21,730.21
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	54,160.00
Binghamton City Court Revenue	M&T Bank	32,209.75
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	10,858.25
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	402.25
Chenango County Surrogates		
SNY UCS Chenango County Surrogates Court	Wells Fargo Bank	173.75
Cortland City Court		
Court City Court Bail	NBT Bank	10,150.28
Court City Court- Revenue	NBT Bank	12,414.00
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	152.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	937.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	20,536.10
Elmira City Court Bail	Chemung Canal Trust	47,194.08
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	20,410.00
Ithaca City Court Revenue	Tompkins County Trust	17,202.70
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	1,926.75
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	13,250.00
Norwich City Court Revenue Acct	NBT Bank	4,662.00
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	11,890.50
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	11,986.50
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	11,500.45
Oneonta City Court Bail Account	Community Bank	14,200.00

Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	1,498.75
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	663.00
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	3,231.75
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	7,458.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	Key Bank	50,671.05
Auburn City Court Fees & Fines - Revenue	Key Bank	10,477.81
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	42,873.12
Canandaigua City Court Revenue	Canandaigua National Bank	17,450.00
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	496.00
Corning City Court		
Corning City Court - Bail	Wells Fargo Bank	22,921.67
Corning City Court - Revenue	Community Bank	0.00
Corning City Court - Revenue	Wells Fargo Bank	8,353.20
Corning City Court Bail	M&T Bank	5.00
Geneva City Court		
Geneva City Court Bail Account	Wells Fargo Bank	42,701.80
Geneva City Court Revenue Account	Wells Fargo Bank	11,961.00
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	22,491.68
Hornell City Court Revenue	Steuben Trust Co.	3,650.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	477.50
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	13,712.25
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	2,180.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	457,215.26
Rochester City Revenue	M&T Bank	62,249.40
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,370.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	5,153.00
Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	1,590.75
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	1,352.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
ST of NY Office of The State Comptroller Dunkirk Bail Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Dunkirk Revenue Account	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Bail	Wells Fargo Bank	No report received
ST of NY Office of The State Comptroller Tonawanda City Court Revenue	Wells Fargo Bank	No report received
Allegany County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	No report received
Batavia City Court		
Batavia City Bail Account	M&T Bank	No report received
Batavia City Court Revenue	M&T Bank	No report received
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	No report received
Buffalo City Revenue Account	M&T Bank	No report received
Cattaraugus County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	No report received
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	No report received
Dunkirk City Court		
Dunkirk City - Revenue	Key Bank	No report received
Dunkirk City Court - Bail	Key Bank	No report received
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	No report received
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	No report received
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	No report received
Jamestown City Court		
State of New York Office of Court Administration Jamestown City Court Bail	Key Bank	No report received
State of New York Office of Court Administration Jamestown City Court Revenue	Key Bank	No report received

Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	No report received
Lackawanna City Court Revenue Account	Key Bank	No report received
Lockport City Court		
Lockport City - Bail	Key Bank	No report received
Lockport City HESC EFT Account - Revenue	Key Bank	No report received
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	No report received
Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	No report received
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	No report received
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	No report received
N. Tonawanda City Court Revenue	M&T Bank	No report received
Olean City Court		
Olean City Court Bail Account	Community Bank	No report received
Olean City Court Revenue Account	Community Bank	No report received
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	No report received
Salamanca City Court		
Salamanca City Court Bail	Community Bank	No report received
Salamanca City Court City Judge - Revenue	Community Bank	No report received
Tonawanda City Court		
Tonawanda City Court Bail	M&T Bank	No report received
Tonawanda City Court Revenue	M&T Bank	No report received
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	No report received
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	22,559.50
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	38,471.15
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	42,914.50
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	123,275.26
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	50,638.05
Mt Vernon City Court		
Mt Vernon City Court State Bail	Wells Fargo Bank	189,000.91
Mt Vernon City Court State Revenue	Wells Fargo Bank	95,781.20
New Rochelle City Court		
New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	386,802.52
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	45,147.93
Newburgh City Court		
Newburgh Bail Account	Wells Fargo Bank	57,281.25
Newburgh City Court Revenue	Wells Fargo Bank	29,099.10
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	10,548.00
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	32,272.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	99,818.15
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	50,070.99
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	14,806.10
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	128,239.35
Poughkeepsie City Court -Revenue	Wells Fargo Bank	146,709.32
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	13,679.50
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	8,238.50
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	14,215.54
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	39,568.00
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	22,062.75
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	154,101.73
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	128,920.15
Yonkers City Court		
Yonkers City Bail Account - Bail	Wells Fargo Bank	400,468.80
Yonkers City Revenue Account - Revenue	Wells Fargo Bank	107,040.39
06000 - AGRICULTURE & MARKETS		

Administration Account	Key Bank	22,057.23
Agency Advance Account	Key Bank	10,000.00
Agriculture Producers Sec Fund	Key Bank	22,312.00
Animal Population Control Account	Key Bank	40,556.88
Apple Marketing Order Fund	Key Bank	0.00
Consumer Food Industry Account	Key Bank	26,492.33
Dairy Industry Services Account	Key Bank	41,109.92
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products Grading	JPMorgan Chase Bank, N.A.	0.00
Milk Producers Security Fund	Key Bank	25,369.56
NYS Farmers Market Program	Key Bank	919,633.24
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	119.22
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	11,291.14
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	319.01
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	27,670.26
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
State Fair Premium Award Account	Solvay Bank	51,493.09
Weights & Measures Account	Key Bank	1,871.34
NYS Dept Agriculture & Markets		
Apple Marketing Order Fund	Key Bank	0.00
Dairy Promotion Order Fund	Key Bank	0.00
Farm Products	Key Bank	22,418.41
State Fair		
NYS Fair Operating Account	Solvay Bank	795,095.25
NYS Fair Petty Cash/Travel	Solvay Bank	1,415.10
NYS Fair Special Account	Solvay Bank	228.82
State Fair Premium Award Account	Solvay Bank	0.00
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Examination Application Fees Account	Bank of America, N.A.	5,353.00
Examination Application Fees Account	Key Bank	6,015.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
NYS Department of Civil Service	US Bank	41,999,826.15
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	1,315.14
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	34,094.98
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	491,544.99
Conservation Petty Cash Account	M&T Bank	19,755.00
DEC/Exchange Account	M&T Bank	17,791.69
ENCON License Issuing Office	M&T Bank	577.00
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,172.49
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,816.23
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,554,858.33
Hunting Trapping & Fishing Account	M&T Bank	8,800.26
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	235,248.48
Lockbox Account	Wells Fargo Bank	202,140.71
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,323.09
NY Conservationist	Bank of America, N.A.	31,605.25
Program Fee	JPMorgan Chase Bank, N.A.	17,054.10
Revenue Account	Bank of America, N.A.	1,223,351.16
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,259,380.76
State of New York	Key Bank	335,991.58
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,116.67
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	255,222.48
Region 1		
Marine Permit Account	Bank of Smithtown	78,879.40
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	11.65
Land & Forest Region 5W	TD Bank	12,153.74
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	157.33
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	8,632.12
Tree Nursery	Bank of America, N.A.	25,147.20

Region 6		
Fish & Wildlife Watertown	Key Bank	121.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	45.00
Lands And Forests District 10	M&T Bank	220.20
SNY Dept Of Environmental Conserv	Community Bank	14.04
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	859.23
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	12,531.42
General Cash Fund	Five Star Bank	14,470.98
Inmate Occupational Therapy Fund	Five Star Bank	113,132.43
Inmate Savings Account	Five Star Bank	253,757.89
Spendable Fund	Five Star Bank	131,878.22
10010 - AUBURN CORRECTIONAL FACILITY		
ACF Four Committee	Auburn Community Federal Credit Union	27,986.69
Advance Account	Key Bank	4,899.11
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Inmate Occupational Therapy Fund	Key Bank	26,363.98
Inmate Spendable Account	Bank of America, N.A.	48,424.87
Inmate Spendable Account	Key Bank	170,444.30
Inmate Spendable Savings CD	Key Bank	20,018.28
Misc Revenue	Key Bank	9,083.89
Money Market	Bank of America, N.A.	35,040.69
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	12,446.87
Employee Benefit Fund	Key Bank	18,162.40
General Fund	Key Bank	1,568.32
Inmate Funds	Key Bank	111,737.23
Inmate Funds Money Market Account	Key Bank	832,864.84
Inmate Occupational Therapy Acct	Key Bank	81,224.19
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,212.70
Inmate Occupational Therapy Fund	Key Bank	16,149.68
Inmate Spendable Funds	Key Bank	46,160.70
Inmate Spendable Savings Account	Key Bank	90,546.45
Miscellaneous Receipts	Key Bank	14,703.28
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Certificate of Deposit	Glens Falls National	150,000.00
Facility Advance	Key Bank	5,789.81
General Fund	Key Bank	0.00
Inmate Fund	Key Bank	143,091.14
Inmate Fund Savings Account	Glens Falls National	152,500.00
Miscellaneous Account	Key Bank	9,287.42
Occupational Therapy	Key Bank	27,389.66
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	1,754.03
Employee Benefits	M&T Bank	14,925.03
Inmate Spending Account	M&T Bank	585,610.64
Inmates Account	M&T Bank	462,643.96
Inmates Benefit	M&T Bank	19,422.91
Misc Receipts	M&T Bank	49,577.97
Occupational Therapy Account	M&T Bank	27,613.87
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,350.69
Employee Benefit	Key Bank	1,352.03
Inmate Occupational Therapy Fund	Key Bank	43,238.50
Inmate Savings Account	Key Bank	88,016.17
Inmates Fund Account	Key Bank	107,775.83
Misc. Receipts	Key Bank	39,114.41
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	JPMorgan Chase Bank, N.A.	8,513.50
Inmate Funds	JPMorgan Chase Bank, N.A.	101,947.93
Inmate Interest Funds	JPMorgan Chase Bank, N.A.	453,661.02
Misc Receipts	JPMorgan Chase Bank, N.A.	29,841.39
Occupational Therapy	JPMorgan Chase Bank, N.A.	110,758.45
Quality Work Life	JPMorgan Chase Bank, N.A.	42,333.92
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,770.07
General Fund	Key Bank	20,091.24
Inmates Money Market	Key Bank	287,304.43

Inmates Now Checking	Key Bank	526,100.22
Occupational Therapy Fund	Key Bank	147,267.34
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	1,619.22
Employee Benefit Fund	Bank of America, N.A.	8,836.17
Inmate Funds	Bank of America, N.A.	100,400.13
Inmate Funds Savings	Bank of America, N.A.	153,814.28
Misc Receipts	Bank of America, N.A.	4,041.01
Occupational Therapy	Bank of America, N.A.	32,022.76
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	491.56
Employee Benefit Fund	Sterling Bank	15,862.98
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	403,569.47
Inmate Occupational Therapy Account	Sterling Bank	39,179.48
Misc. Receipts	Sterling Bank	6,216.48
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	1,841.89
ECF Clubhouse	Chemung Canal Trust	19,347.97
Employee Benefit Fund	Chemung Canal Trust	13,284.04
Inmate CD Account	M&T Bank	213,569.79
Inmates Fund	Chemung Canal Trust	388,332.50
Miscellaneous Receipts	Chemung Canal Trust	679.77
Occupational Therapy Fund	Chemung Canal Trust	43,342.28
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds CD	JPMorgan Chase Bank, N.A.	No report received
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy	JPMorgan Chase Bank, N.A.	No report received
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	1,707.75
Employee Benefits Fund	National Bank of Coxsackie	7,639.44
Inmates Fund	National Bank of Coxsackie	76,615.06
Inmates Fund Savings Acct	National Bank of Coxsackie	23,650.84
Misc. Revenue	National Bank of Coxsackie	10,178.21
Money Market Acct	National Bank of Coxsackie	160,467.73
Occupational Therapy Acct	National Bank of Coxsackie	16,661.75
10140 - WOODBOURNE CORRECTIONAL FACILITY		
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	95,000.00
WCF Agency Advance	Jeff Bank	1,368.44
WCF General Fund	Jeff Bank	12,032.05
WCF Inmate Fund	Jeff Bank	195,914.61
WCF Occupational Therapy Fund	Jeff Bank	27,153.77
10160 - DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION		
Agency Advance Account	Key Bank	No report received
Employee Benefit Fund	Key Bank	No report received
Inmate Escrow Account	Key Bank	No report received
Misc. Receipts Account	Key Bank	No report received
Special Account	Key Bank	No report received
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	4,871.78
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	8,639.79
Inmate Funds Account	JPMorgan Chase Bank, N.A.	134,381.62
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	655.99
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	3,300.26
Savings Account	JPMorgan Chase Bank, N.A.	No report received
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	8,681.75
Agency Advance	Community Bank	643.75
Diversity Management	Community Bank	493.07
Employee Benefit Fund	Community Bank	2,374.06
General Fund	Community Bank	62.12
Inmate Funds	Community Bank	2,958.22
Inmate Occupational Therapy Fund	Community Bank	7,688.95
Inmate Savings Money Market	Community Bank	0.00
Make A Difference Day	Community Bank	0.00
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,844.55
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	16,423.14
Inmate Fund	JPMorgan Chase Bank, N.A.	497,528.77

Inmates Funds Savings	JPMorgan Chase Bank, N.A.	80,015.05
Misc. Receipts	JPMorgan Chase Bank, N.A.	29,965.10
Occupational Therapy	JPMorgan Chase Bank, N.A.	93,203.69
10250 - TACONIC CORRECTIONAL FACILITY		
Inmate Funds	JPMorgan Chase Bank, N.A.	150,040.17
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,068.48
Money Market	JPMorgan Chase Bank, N.A.	30,832.75
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	19,465.47
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,740.28
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,316.57
Employee Benefit Fund Account	Key Bank	5,556.02
Inmate Funds Account	Key Bank	53,452.88
Inmate Key Advantage Account	Key Bank	50,267.45
Inmate Occupational Therapy Account	Key Bank	8,534.78
Miscellaneous Receipts Account	Key Bank	2,139.82
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	300.00
General Fund	Jeff Bank	2,465.29
Inmate Funds	Jeff Bank	221,062.64
Inmate Occupational Therapy	Jeff Bank	44,908.35
Inmate Savings	Jeff Bank	51,291.20
Inmate Savings CD	Hometown Bank	77,086.85
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	1,427.29
Employee Recreation Fund	M&T Bank	831.48
Inmate Deposit Account	M&T Bank	68,917.94
Inmate Occupational Therapy	M&T Bank	26.26
Misc Fees	M&T Bank	2.52
Work Release Advance Account	M&T Bank	5,399.70
10320 - EDGEcombe CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	No report received
Employee Benefit Account	JPMorgan Chase Bank, N.A.	No report received
Inmate Cash Account	JPMorgan Chase Bank, N.A.	No report received
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	No report received
Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	No report received
Work Release Account	JPMorgan Chase Bank, N.A.	No report received
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	2,991.70
Inmate Fund	Community Bank	76,080.54
Inmate Savings	Community Bank	45,213.66
Misc. Receipts	Community Bank	307.67
Occupational Therapy	Community Bank	19,181.90
10360 - LINCOLN CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,238.53
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	5,148.65
General Fund	JPMorgan Chase Bank, N.A.	885.00
Inmate Funds	JPMorgan Chase Bank, N.A.	309,801.74
Inmate Savings	JPMorgan Chase Bank, N.A.	59,994.26
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	2,491.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	3,846.76
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	No report received
EBF Checking	Five Star Bank	No report received
EBF Savings	Five Star Bank	No report received
Inmate Savings	Five Star Bank	No report received
Inmate Spendable	Five Star Bank	No report received
Misc Receipts	Five Star Bank	No report received
Occupational Therapy	Five Star Bank	No report received
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,614.40
Employee Benefit Fund Checking	Bank of America, N.A.	29,779.11
Inmate Funds Checking	Key Bank	331,163.81
Inmate Funds Savings	Key Bank	313,912.93
Miscellaneous Revenue	Key Bank	1,038.25
Occupational Therapy	Key Bank	26,705.78
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	3,734.05
Employee Benefit Account	Alden State Bank	30,436.50
Inmate Savings	Alden State Bank	196,142.12
Inmates Funds	Alden State Bank	266,065.46
Misc. Receipts	Alden State Bank	5,196.00
Occupational Therapy	Alden State Bank	71,351.71
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		

Office of Nutritional Services		
Miscellaneous Receipts	Key Bank	25,708.72
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,973.95
Employee Benefit Fund	Community Bank	14,025.26
Inmate Funds	Community Bank	122,546.94
Inmate Funds	Evans National Bank	82,919.95
Inmate Funds - CD	Evans National Bank	135,381.24
Inmate Funds Savings	Community Bank	123,659.02
Miscellaneous Revenue	Community Bank	13,666.99
Occupational Therapy	Community Bank	19,407.53
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,751.63
Employee Commission Account	Five Star Bank	13,649.29
Inmate Funds Account	Five Star Bank	196,695.19
Inmate Funds Account - Savings	Five Star Bank	108,027.40
Miscellaneous Receipts Account	Five Star Bank	1,263.79
Occupational Therapy Account	Five Star Bank	17,095.19
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,454.08
Employee Activities	Community Bank	50,040.18
Inmate Fund Checking	Community Bank	102,599.37
Inmate Savings	Community Bank	130,519.34
Miscellaneous Revenue	Community Bank	4,334.72
Occupational Therapy	Community Bank	19,728.02
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	Key Bank	703.42
Employee Benefit Fund	Bank of America, N.A.	30,334.86
Inmate Funds	Key Bank	191,952.40
Inmate Savings	Key Bank	198,360.07
Misc. Revenue	Key Bank	1,879.09
Occupational Therapy	Key Bank	51,991.50
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,329.45
Employee Benefit Fund Account	Bank of America, N.A.	4,485.24
Inmate Fund Account	Key Bank	272,275.77
Misc receipts Acct	Key Bank	1,320.34
NYS DOCS Marcy CORR Facility	Key Bank	No report received
Occupational Therapy fund Acct	Key Bank	42,557.98
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
Misc Receipts	JPMorgan Chase Bank, N.A.	No report received
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,800.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,610.26
Moriah Shock Incarceration Occ Therapy	Glens Falls National	2,892.64
Moriah Shock Misc Receipts	Glens Falls National	0.00
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	36,316.15
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,427.83
Employee Benefit Account	Key Bank	11,102.60
Inmate Funds	Key Bank	89,262.29
Inmate Occupational Therapy	Key Bank	17,651.29
Inmate Savings	Key Bank	217,262.69
Misc. Receipts	Key Bank	3,157.74
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	2,826.18
Employees Vending Benefit	NBT Bank	11,695.70
Inmates Funds	NBT Bank	113,094.02
Misc Revenues General Fund	NBT Bank	834.98
Occupational Therapy	NBT Bank	8,137.92
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	1,739.85
Cert Of Deposit	First National Bank of Groton	86,836.33
Employee Benefit Fund	First National Bank of Groton	7,031.74
Inmate Occupational Therapy Account	First National Bank of Groton	34,838.14
Inmate Spendable	First National Bank of Groton	0.00
Inmate Spendable	First National Bank of Groton	212,173.30
Misc Receipts	First National Bank of Groton	497.23
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,995.14
Employee Benefit Fund	Key Bank	10,078.82

Inmate Spendable Funds	Key Bank	160,184.12
Key Public Money Market Checking	Key Bank	308,618.28
Miscellaneous Receipts	Key Bank	851.99
Occupational Therapy	Key Bank	26,372.92
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	500.00
Inmate Accounts	Key Bank	89,112.52
Inmate Savings Account	Key Bank	105,454.11
Miscellaneous Receipts Account	Key Bank	8,301.50
Occupational Therapy	Key Bank	18,928.09
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	1,860.00
Employee Benefit Fund	Community Bank	40,964.95
Inmate Occupation Therapy Acct	Community Bank	35,120.80
Inmate Savings	Community Bank	221,647.94
Inmate Spendable Account	Community Bank	125,540.14
Miscellaneous Receipts Account	Community Bank	2,276.19
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	3,700.00
Employee Benefit Fund	Community Bank	12,631.90
Inmate Funds	Community Bank	53,590.21
Inmate Funds - Sav	Community Bank	94,648.59
Miscellaneous Revenue	Community Bank	2,398.15
Occupational Therapy	Community Bank	385.88
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	0.00
Agency Advance	M&T Bank	1,296.76
Employee Benefit Fund	Bank of America, N.A.	0.00
Employee Benefit Fund	M&T Bank	7,096.95
Inmate Fund	Bank of America, N.A.	0.00
Inmate Fund	M&T Bank	156,298.50
Inmate Funds Savings	Bank of America, N.A.	0.00
Inmate Funds Savings	M&T Bank	12,296.42
Misc Receipts	M&T Bank	458.98
Misc. Receipts	Bank of America, N.A.	0.00
Occupational Therapy	Bank of America, N.A.	0.00
Occupational Therapy	M&T Bank	6,815.84
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	705.02
Employee Benefit Fund	Chemung Canal Trust	16,604.27
Inmate Funds	Chemung Canal Trust	122,615.14
Inmate Funds Account	Chemung Canal Trust	30,455.37
Misc. Receipts Account	Chemung Canal Trust	576.51
Occupational Therapy Account	Chemung Canal Trust	27,273.97
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	1,871.30
Employee Benefit Fund	Bank of America, N.A.	9,982.55
Inmate Funds	Bank of America, N.A.	78,511.18
Inmate Savings	Bank of America, N.A.	157,855.26
Miscellaneous Receipts	Bank of America, N.A.	5,258.95
Occupational Therapy	Bank of America, N.A.	35,428.95
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,429.06
General Account	Key Bank	0.00
Inmate Account	Key Bank	236,089.79
Inmate Funds Account Certificate Of Deposit	Glens Falls National	78,596.33
Inmate Savings Account	Key Bank	14,343.33
Occupational Therapy Account	Key Bank	24,289.88
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	6,254.83
Employee Benefit Fund	Five Star Bank	11,281.21
Inmate Occupational Therapy	Five Star Bank	46,968.53
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,511.10
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	241,908.86
Misc. Receipts Account	Five Star Bank	10,030.46
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	1,218.74
Inmate Accounts	National Bank of Coxsackie	182,940.49
Inmate Savings	National Bank of Coxsackie	448,164.91
Misc. Receipts	National Bank of Coxsackie	937.54
Occupational Therapy	National Bank of Coxsackie	62,163.58

10680 - SHAWANGUNK CORRECTIONAL FACILITY

Consolidated Advance Account	Key Bank	892.00
Inmate Funds	Key Bank	150,178.34
Inmates Funds Account	Key Bank	49,299.69
Misc. Receipts Account	Key Bank	2,187.68
Occupational Therapy Acct	Key Bank	28,796.73

10690 - SULLIVAN CORRECTIONAL FACILITY

Consolidated Advance	Key Bank	2,473.63
Inmate Checking	Key Bank	78,156.75
Inmate Savings	Key Bank	100,318.77
Miscellaneous	Key Bank	15,567.31
Occupational Therapy	Key Bank	25,299.18

10800 - LIVINGSTON CORRECTIONAL FACILITY

Consolidated Advance	Five Star Bank	2,088.54
Employee Benefit Fund	Five Star Bank	8,509.10
Inmate Funds CD	Five Star Bank	100,741.26
Inmate Funds Checking	Five Star Bank	46,268.99
Inmate Savings	Five Star Bank	20,246.32
Miscellaneous Receipts	Five Star Bank	739.53
Occupational Therapy	Five Star Bank	12,453.78

10810 - GOUVERNEUR CORRECTIONAL FACILITY

Agency Advance	Community Bank	1,430.00
Inmate Occupational Therapy	Community Bank	26,255.59
Inmate Savings	Community Bank	234,938.96
Inmate Spendable Fund	Community Bank	91,409.56
Misc Receipts	Community Bank	11,115.82

10820 - WILLARD DRUG TREATMENT CENTER

Consolidated Advance	Community Bank	961.11
Employee Benefit Fund	Community Bank	15,749.94
Inmate Funds	Community Bank	73,132.36
Inmate Occupational Therapy	Community Bank	8,272.64
Misc Receipts	Community Bank	47.15

10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1

Advance Account	Key Bank	1,791.00
Facility Committees	Key Bank	13,198.19
Inmate Fund	Key Bank	404,995.68
Inmate Fund Savings	Key Bank	0.00
Inmate Occupational Therapy Fund	Key Bank	6,044.12
Miscellaneous Account	Key Bank	901.17

10850 - HALE CREEK ASACTC

Consolidated Advance	Key Bank	675.00
Employee Benefit Fund	Bank of America, N.A.	8,412.46
Inmate Funds	Key Bank	102,233.90
Inmate Interest Bearing Account	Key Bank	15,001.85
Misc Receipts	Key Bank	0.00
Occupational Therapy	Key Bank	24,818.32

10890 - CORRECTIONS AND COMMUNITY SUPERVISION

Asset Forfeiture Special Rev Acct	Bank of America, N.A.	277,342.76
Parole Supervision Fee	Wells Fargo Bank	30,586.97

10916 - CENTRAL OFFICE - INDUSTRIES

Div of Ind Petty Cash Acct	Key Bank	4,000.00
Div of Ind Revenue Acct	Key Bank	179,066.07

11000 - EDUCATION DEPARTMENT

Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received

11100 - NYS HIGHER EDUCATION SERVICES CORPORATION

Operating	Key Bank	4,008,373.99
Retail Lockbox	US Bank	186,905.45
TAP	Key Bank	27,317.49
Wholesale Lockbox	US Bank	1,865,169.30

11260 - BATAVIA SCHOOL FOR THE BLIND

Misc. Receipts	M&T Bank	1,355.82
Petty Cash	M&T Bank	4,000.00
Student Spending Account	Bank of America, N.A.	12,174.16

11270 - ROME SCHOOL FOR THE DEAF

Miscellaneous Receipts	NBT Bank	4,640.30
Petty Cash	NBT Bank	2,000.00
Student Activity Fund	NBT Bank	17,469.04

11280 - ARCHIVES PARTNERSHIP TRUST

Endowment	Janney Montgomery Scott LLC	4,648,574.62
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	86,934.52

12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION

Conf Narcotic Investigation	M&T Bank	5,292.56
Consolidated Advance Acct	M&T Bank	12,143.10
CSA Rebate Account	Bank of America, N.A.	59,601.85
DOH EPIC Lockbox Acct	Wells Fargo Bank	14,894.43
Early Intervention - Municipal Deposits for Provider Pymts	Key Bank	0.00
Early Intervention - Provider Payments Escrow	Key Bank	60,466.76
Early Intervention - State Funds	Key Bank	18,506.80
EPIC Co Pay Account	Bank of America, N.A.	0.00
Epic Drug Manufacturer Rebate Account	Bank of America, N.A.	3,433,134.00
EPIC EFT Acct	Bank of America, N.A.	0.00
EPIC Master Funding Acct	Bank of America, N.A.	2,783,695.77
EPIC Provider Receipt Account	Bank of America, N.A.	0.00
EPIC Refund Acct	Bank of America, N.A.	0.00
eWIC	Wells Fargo Bank	1,659.52
General Account	M&T Bank	285,689.32
ICR Audit Fees Account	Bank of America, N.A.	15,166.68
Indian Health Disbursement Account	Bank of America, N.A.	0.00
Indian Health-Master Acct	Bank of America, N.A.	21,930.16
Medicaid	Key Bank	33,732,289.66
Medicaid Audit Recoveries Acct	Key Bank	0.00
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	206,692.29
Nurses Aide Fees (Prometric)	Bank of America, N.A.	75,676.33
Nursing Home Fees Account	Bank of America, N.A.	20,642.08
NYS DOH CLEP Revenue	Key Bank	1,932.93
NYS WIC Adjustment Account	Key Bank	0.00
OBRA Drug Rebate Program Acct	Bank of America, N.A.	391,391.46
SPARCS	Key Bank	32,081.72
WIC Program	Key Bank	1,721,919.96
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	5,437,231.17
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	511,905.23
Petty Cash Account	JPMorgan Chase Bank, N.A.	10,767.70
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	6,210.37
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	1,508.57
Exchange Account	NBT Bank	19,025.46
Maintenance Fund	NBT Bank	523,101.11
NYS Veterans Home-Oxford (Resident Account, Custodial Account)	NBT Bank	250,419.63
Resident Custodial Account	NBT Bank	108.33
Resident Custodial Account	NBT Bank	81.95
Resident Custodial Account	NBT Bank	1,839.42
Resident Custodial Account	NBT Bank	2,270.46
Resident Custodial Account	NBT Bank	583.18
Resident Custodial Account	NBT Bank	9,323.06
Resident Custodial Account	NBT Bank	225.33
Resident Custodial Account	NBT Bank	1,796.31
Resident Custodial Account	NBT Bank	1,406.40
Resident Custodial Account	NBT Bank	10,742.66
Resident Custodial Account	NBT Bank	602.85
Resident Custodial Account	NBT Bank	2,254.41
Resident Custodial Account	NBT Bank	1,490.99
Resident Custodial Account	NBT Bank	75.38
Resident Custodial Account	NBT Bank	2,336.43
Resident Custodial Account	NBT Bank	2,984.46
Resident Custodial Account	NBT Bank	4,802.95
Resident Custodial Account	NBT Bank	5,563.63
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	No report received
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	731,427.06
St Albans Vet Home Maintenance Acct	NBT Bank	399,122.90
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	5,358.79
Exchange Account	Bank of America, N.A.	49,167.29
Maintenance Account	NBT Bank	228,266.80
Resident Funds	Bank of America, N.A.	48,285.40
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	12,942.25
Maintenance Acct	NBT Bank	556,628.23
Residence Account	Bank of America, N.A.	710,514.99
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	254.59
Albany Petty Cash Account	Key Bank	429.08
NYC Confidential Account	JPMorgan Chase Bank, N.A.	166.00

14000 - DEPARTMENT OF LABOR

Agency Advance Account
Exchange Account
Fee And Permit Account
Min Wage & Claim Funding Acct
Minimum Wage & Wage Claim Acct
Misc Receipts
U.I. Fund Clearing Account
UI Fund ACH Transactions

Key Bank 27,984.95
Bank of America, N.A. 132,928.49
Key Bank 764,185.85
Key Bank 262,089.41
Key Bank 1,027,977.58
Bank of America, N.A. 89,744.05
JPMorgan Chase Bank, N.A. 185,614,342.57
Wells Fargo Bank 10,000,000.00

14010 - WORKERS COMPENSATION BOARD

DTF/WCB MAC 14

JPMorgan Chase Bank, N.A. No report received

16000 - PUBLIC SERVICE COMMISSION

Cable Account
Petty Cash Account
Special Fee Account

Key Bank 20,297.09
Key Bank 3,113.58
Key Bank 10,145.04

17000 - NYS DEPARTMENT OF TRANSPORTATION

Contractors Bid And Guarantee
Driver Improvement Program (DIP)
Main Office Advance For Travel
PARTNERS DOT -HOOCs
Revenue Unit
Republic Airport, Long Island
Republic Airport Revenue Acct

Key Bank No report received
Key Bank No report received
Key Bank No report received
Key Bank No report received
Key Bank No report received
JPMorgan Chase Bank, N.A. No report received

19000 - DEPARTMENT OF STATE

Atheltic
Licensing Revenue Account
Main
Petty Cash Account
Summons

M&T Bank No report received
JPMorgan Chase Bank, N.A. No report received
M&T Bank No report received
Key Bank No report received
M&T Bank No report received

19001 - TUG HILL COMMISSION

Agency Advance Account

Key Bank No report received

19002 - LAKE GEORGE PARK COMMISSION

Petty Cash Account
Revenue Transfer Account

Glens Falls National No report received
Glens Falls National No report received

19005 - COMMISSION ON PUBLIC INTEGRITY

JCOPE Petty Cash Account
JCOPE Revenue Account

Bank of America, N.A. 313.00
Bank of America, N.A. 50,680.07

20000 - DEPARTMENT OF TAXATION & FINANCE

Exchange
Fee Account
IFTA Funding
Misc Tax Account - Exchange
Petty Cash
Tax Preparer Registration Fee (EFT)
Waste Tire Fee (EFT)
Waste Tire Tax

Bank of America, N.A. 124,793.50
Key Bank 369,100.91
JPMorgan Chase Bank, N.A. 545.28
Bank of America, N.A. 101,522.75
Bank of America, N.A. 14,069.55
Wells Fargo Bank 41,300.00
Wells Fargo Bank 14,451.27
JPMorgan Chase Bank, N.A. 24,760.98

20050 - NEW YORK STATE GAMING COMMISSION

Charitable Gaming Account
Custody Account
Fingerprint Concentration Account
License Revenue Account
Lottery Concentration Account
Lottery Prize Payment Account
Lottery Subscriptions Account
Petty Cash Account
Racing Refund Account
Video Gaming Revenue Account

Key Bank 117,363.84
US Bank 11,495.24
Key Bank 29,231.00
Bank of America, N.A. 4,091.86
Key Bank 217,726.15
Key Bank 0.00
Key Bank 849,899.90
Key Bank 856.26
Key Bank 1,245,806.25
Key Bank 25,951,591.66

21012 - WELFARE INSPECTOR GENERAL

Confidential Fund
Confidential Fund
Petty Cash

Bank of America, N.A. 15,000.00
JPMorgan Chase Bank, N.A. No report received
JPMorgan Chase Bank, N.A. No report received

21110 - OFFICE OF REGULATORY REFORM

Petty Cash

Key Bank No report received

21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT

Checking- General Fund Acct.
Checking- Petty Cash Fund
Hudson River General Acct
Money Market
Petty Cash Fund

Community Bank 32,332.79
Community Bank 5,000.00
Bank of America, N.A. 382,086.82
Bank of America, N.A. 2.72
Bank of America, N.A. 6,500.00

21700 - OFFICE OF THE STATE INSPECTOR GENERAL

Office Of The State Inspector General Pass Thru Account
OSIG Petty Cash Account
Albany
Office of the Inspector General Confidential

Key Bank 56,204.23
Key Bank 1,371.83
Bank of America, N.A. 30,000.00

21820 - STATE COMMISSION ON JUDICIAL CONDUCT

Petty Cash Account	JPMorgan Chase Bank, N.A.	485.59
Petty Cash Account	Key Bank	12.23
Petty Cash Fund	JPMorgan Chase Bank, N.A.	668.73

21940 - NYS FINANCIAL CONTROL BOARD

Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received
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23000 - DEPARTMENT OF MOTOR VEHICLES

Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	No report received
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	No report received
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	No report received
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	No report received
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	No report received
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	93,687.25
Holding Acct-Chautauqua County	Key Bank	181,869.69
Holding Acct-Chautauqua County	M&T Bank	383,101.98
Concentration (CTY)(OSC)		
Concentration (CTY)(OSC)	Key Bank	No report received
Concentration (DO)(OSC)		
Concentration (DO)(OSC)	Key Bank	No report received
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	No report received
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	No report received
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	No report received
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Downstate		
Revenue Account - Downstate	Wells Fargo Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
IRP (Albany Central Office)		
International Registration	M&T Bank	No report received
International Registration	Wells Fargo Bank	No report received
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	No report received
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	No report received
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	No report received
Long Island/Staten Island JP		

Long Island/Staten Island (Mass/Med)	JPMorgan Chase Bank, N.A.	No report received
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	No report received
Oneida County		
DMV Oneida County Fee Account	Bank of Utica	191,950.83
Oneida County Fee Account	NBT Bank	173,409.89
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	No report received
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	No report received
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Key Bank	No report received
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	No report received
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	No report received
TLC/DOCCS		
TLC/DOCCS	JPMorgan Chase Bank, N.A.	No report received
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	No report received
TVB Acct		
TVB Acct	Wells Fargo Bank	No report received
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	No report received
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	No report received
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	No report received
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	950.00
Brentwood Residents' Account	JPMorgan Chase Bank, N.A.	427.70
Brooklyn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	500.00
Brookwood Cash Advance	Key Bank	1,722.29
Brookwood Resid Residential Cash	Key Bank	8,640.34
Check Exchange	Bank of America, N.A.	4,081.73
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	25,000.00
Columbia Girls Secure Center-Advance Acct	Key Bank	620.96
Columbia Girls Secure Center-Youth Savings	Key Bank	2,190.31
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	2,373.93
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	3,300.00
Goshen Cash Advance	Bank of America, N.A.	2,600.00
Goshen Residents Account	Bank of America, N.A.	4,047.24
Harriet Tubman Advance Account	Key Bank	956.34
Harriet Tubman Residents' Account	Key Bank	500.00
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	2,550.00
Highland Res Ctr Residents Acct	Bank of America, N.A.	1,210.70
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,284.00
Industry Res Account	JPMorgan Chase Bank, N.A.	3,916.22
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	401.00
MacCormick Cash Advance	Tompkins County Trust	1,901.00
MacCormick Residents' Account	Tompkins County Trust	6,371.62
Medicaid Reimbursement Exchange	Bank of America, N.A.	277,980.19
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	13,568.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	18,400.00
Queens CMSO Cash Advance	Bank of America, N.A.	0.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	600.00
Red Hook Res Ctr Resident Cash	Key Bank	58.03
Red Hook Resid Ctr Cash Advance	Key Bank	298.00
SCR Credit Card Revenue Account	Bank of America, N.A.	36,025.00
State Central Register	Bank of America, N.A.	97,153.60
Taberg Cash Advance	NBT Bank	737.46

Taberg Residents Account	NBT Bank	682.50
Youth Leadership Academy	NBT Bank	1,775.51
Youth Leadership Cash Advance	NBT Bank	750.00
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	2,785.70
Title IV D Of Social Security	Key Bank	4,409,539.92
Travel Advance	Key Bank	10,143.67
28010 - SUNY ALBANY		
Fee Account	Key Bank	(235,767.10)
Loan Services Center Account	Key Bank	157,917.78
Petty Cash/Travel Advance	Key Bank	0.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	527,081.13
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
28030 - SUNY BUFFALO		
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	0.00
General Revenue Account	Key Bank	353,187.37
Imprest Account	Key Bank	6,960.69
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	810,237.78
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	1,863,100.20
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	410,959.27
LISVH Fees Depository	Sterling Bank	363,084.85
LISVH Residence Fund	Sterling Bank	375,543.83
Payroll Advance	JPMorgan Chase Bank, N.A.	5,248.15
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	0.00
SUNY Eastern Long Island Hospital Depository	JPMorgan Chase Bank, N.A.	1.00
SUNY Southampton Depository	JPMorgan Chase Bank, N.A.	832,182.95
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	4,887,228.87
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,475.60
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	494,554.40
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	552,486.46
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	60,866.98
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	256,878.57
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	1,317,511.52
Parking	Key Bank	287,240.60
28150 - SUNY BROCKPORT		
Brockport-REOC Account	Key Bank	9,256.31
Concentration Acct	M&T Bank	538,176.40
Controlled Disb	M&T Bank	0.00
28160 - SUNY BUFFALO STATE COLLEGE		
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	3,773.14
Students Acct Office	M&T Bank	358,806.74
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	348,357.48
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	103,047.16
28190 - SUNY GENESEO		
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	160,171.28
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	278,050.15
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
State Revenue	Key Bank	360,557.30
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	0.00
Revenue Account	NBT Bank	1,357,590.63
28230 - SUNY OSWEGO		

Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	372,631.89
Imprest Account	Key Bank	0.00
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	2,153,264.65
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
State Fee Reconciliation Account	Key Bank	89,089.05
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	272,552.38
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	0.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	195,572.15
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	251,857.51
Distribution Center Account	Key Bank	39,809.34
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	910,444.57
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	169,494.95
Dental Hygiene	NBT Bank	4,144.00
Income Fund	Key Bank	198,340.93
International Program Account	Key Bank	162,234.64
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	395,241.28
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	330,928.63
Petty Cash Fund	Delaware National Bank	0.00
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Income Fund	Citibank	671,725.69
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	446,078.74
Revenue Account	NBT Bank	8,646.27
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Agency Advance	Key Bank	0.00
Controlled Disb	Key Bank	0.00
ESF/GSA	Key Bank	0.00
Forestry	Community Bank	258.72
Regular Account	Key Bank	205,257.08
Student Government	Key Bank	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	35,000.00
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	806,748.32
Revenue EFT Account	JPMorgan Chase Bank, N.A.	39,599.13
28580 - SUNY COLLEGE OF OPTOMETRY		
General Revenue	JPMorgan Chase Bank, N.A.	150,431.92
Medical Transportation	JPMorgan Chase Bank, N.A.	146.38
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	2,500,000.00
Revenue	Key Bank	52,527.20
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	6,806.67
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,519.17
Fire Tax Account (Main)	Key Bank	311,914.55
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	64,968.44
General Fund	Key Bank	510,386.87
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	11,066,242.18
Miscellaneous Account	JPMorgan Chase Bank, N.A.	45,180.10
Petty Cash	Key Bank	6,000.00
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	103,733.30
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Contractors Bid (SA)	Bank of America, N.A.	82,841.68
Revenue (SA)	Glens Falls National	33,033.71
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	57,164.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	298,230.47
Revenue (LI)	People's United Bank	25,861.75
49030 - GENESEE STATE PARK COMMISSION		

Contractors Bid (GE)	Bank of Castile	42,622.63
Revenue (GE)	Bank of Castile	74,106.92
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	6,630.37
Revenue (NIA)	Evans National Bank	29,710.31
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	9,675.32
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	58,150.00
Main Office Account (ALB)	Key Bank	1,400.00
OPRHP Concentration Account	Key Bank	483,328.64
Petty Cash (ALB)	Key Bank	38,336.50
Revenue (NI, GE, AL, CE, TA)	M&T Bank	61,311.98
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	86,698.36
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	54,824.47
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	44,095.06
Revenue (various)	Wells Fargo Bank	103,236.41
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	1,721.89
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	Key Bank	445,611.82
Statewide Housing Security Deposits	Key Bank	169,677.17
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	19,328.78
Revenue (FL)	Savannah Bank	31,240.17
Revenue (FL-Multi)	Community Bank	3,502.39
Revenue (FL-Multi-Facilities)	Tompkins County Trust	308,641.72
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	6,959.00
Regional Account (AL)	Five Star Bank	80,078.91
Revenue (AL)	Five Star Bank	74,688.19
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	18,319.27
Revenue (CE, SA, TI)	NBT Bank	36,254.17
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	3,230.38
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI)	Citizens Bank	24,792.53
Revenue (TI-Multi)	Community Bank	9,306.35
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	9,186.01
Iterim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	1,297.43
Reimbursement Account	Bank of America, N.A.	1,272,483.80
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	9,543.44
Facility Holding Account	JPMorgan Chase Bank, N.A.	13,942.21
Patient Cash Funds	JPMorgan Chase Bank, N.A.	448,649.90
Patients Cash Account	JPMorgan Chase Bank, N.A.	181,303.01
Security Deposit	JPMorgan Chase Bank, N.A.	2,754.42
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	28,813.35
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	206,655.38
Medicaid Outpatient Travel	Banco Popular	101.88
Patient Cash Acct (MM)	Banco Popular	202,360.44
Patient Checking Account	Citibank	43,058.86
Patient Savings Account	Banco Popular	334,057.00
Security Deposit	Banco Popular	2,488.87
Urban Oasis/EBT	Banco Popular	47,238.32
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	Key Bank	63,225.65
Facility Holding	Key Bank	18,174.60
Patient Cash Checking	Key Bank	121,734.44
Patient Cash Checking	M&T Bank	22,614.78
50060 - HUDSON RIVER PSYCHIATRIC CENTER		
Patients Cash	JPMorgan Chase Bank, N.A.	0.00
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Sterling Bank	16,616.86
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	3,725.67
Patient Cash Checking	Hudson Valley National Bank	753,173.66
Patients Money Market	Hudson Valley National Bank	72,817.53
Social Service Tokens	Hudson Valley National Bank	38,786.92
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	35,485.50

Facility Holding	Key Bank	93,597.12
Patients Cash Account	Key Bank	129,210.36
Patients Fund Savings	Key Bank	235,539.51
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	19,162.05
Facility Holding Account	Community Bank	35,638.75
Patients Cash Account	Community Bank	20,312.53
Patients Cash Savings	Community Bank	218,132.83
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	66,530.24
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	18,408.30
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	576,279.13
Patient Cash Account	HSBC	88,876.18
Rent Holding Account	HSBC	1,536.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	38,585.95
Exchange	JPMorgan Chase Bank, N.A.	119,091.32
Holding Account	JPMorgan Chase Bank, N.A.	126,760.99
Investment CD	Sterling Bank	100,458.30
Investment CD	Sterling Bank	100,443.02
Investment CD	Sterling Bank	100,458.30
Investment CD	Sterling Bank	100,443.02
Investment CD	Sterling Bank	100,443.02
Investment CD B	Sterling Bank	100,000.00
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	223,724.41
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	939,679.00
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	4,016.21
General	JPMorgan Chase Bank, N.A.	13,438.93
Petty Cash	JPMorgan Chase Bank, N.A.	1,564.03
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	10,419.91
Clients Count	Key Bank	118,485.78
Holding Account	Key Bank	16,776.51
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	47,783.37
Facility Holding Acct	JPMorgan Chase Bank, N.A.	136,222.51
Patient Cash	JPMorgan Chase Bank, N.A.	622,707.36
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	12,962.02
Facility Holding	Key Bank	(285.49)
Patient Cash Checking	Key Bank	18,203.00
Patient Cash Savings	Key Bank	40,028.41
Security Deposit	Key Bank	8,757.62
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	28,836.35
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,408.89
Patients Cash MM	JPMorgan Chase Bank, N.A.	87,344.83
Patients Checking Acct	JPMorgan Chase Bank, N.A.	585,691.53
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,300.00
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	12,292.46
Holding Account	Hudson Valley National Bank	35,048.40
Patient Cash Account	Hudson Valley National Bank	104,891.55
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	7,682.64
General Fund	Key Bank	4,942.43
Patient Checking	Key Bank	49,149.79
Patient Savings	Key Bank	146,151.67
Security Deposit	Key Bank	2,658.61
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	16,025.00
Facility Holding Account	Key Bank	1,678.49
Patients Account	Key Bank	158,465.25
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	4,960.00
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	15,713.72

Facility Holding Checking	JPMorgan Chase Bank, N.A.	8,410.67
Family Care Checking	JPMorgan Chase Bank, N.A.	0.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	484,276.90
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	13,646.45
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	Key Bank	837.91
Exchange Account	Key Bank	0.00
Patient Cash	Key Bank	455.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	49,134.23
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	5,563.76
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	15,214.54
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	1,248.89
Queens Adv Acct	HSBC	11,116.17
Queens Childrens Account	HSBC	7,134.69
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	11,488.60
General Fund Account	Chemung Canal Trust	5,213.10
IMMA	Chemung Canal Trust	45,561.48
Patients Fund Account	Chemung Canal Trust	154,222.88
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	8,353.12
Facility Holding Account	Key Bank	67,164.75
Parking Garage Account	Key Bank	195,000.35
Patients Cash Checking Account	Key Bank	120,973.17
Patients Cash Savings Account	Key Bank	396,485.81
51000 - NYS OFFICE FOR PEOPLE WITH DEVELOPMENTAL DISABILITIES		
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	8,216,787.53
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Accounts	Key Bank	34,036.30
Clients Account	Key Bank	124,636.29
Consumer Cash - Savings	Adirondack Bank	790,240.43
Consumer Cash - Savings	Key Bank	3,410,295.38
Exchange Account	Key Bank	397.32
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	63,700.37
General Fund	M&T Bank	37,510.42
Patients Cash Checking	M&T Bank	2,040,547.92
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	627,989.59
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	No report received
General Fund Account	JPMorgan Chase Bank, N.A.	No report received
Money Market Account	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Staten Island DDSO EBT Checking Acct	JPMorgan Chase Bank, N.A.	No report received
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	22,423.57
CD-Patient Property Funds	Key Bank	3,379,793.24
Exchange Account	M&T Bank	62,460.57
General Account	Community Bank	118,308.41
Patient Property Funds	Adirondack Bank	203,731.22

Patient Property Funds	M&T Bank	277,065.14
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	No report received
EBT Checking Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Patient Cash	JPMorgan Chase Bank, N.A.	No report received
51380 - BROOKLYN DDSO		
CD	Banco Popular	0.00
Consumers Fund	JPMorgan Chase Bank, N.A.	292,181.15
Consumers Money Management	JPMorgan Chase Bank, N.A.	683,785.54
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	216,935.66
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	21,912.46
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	8,031.95
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
EBT	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	3,000.00
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	40,484.64
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	40,186.80
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	0.00
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	90,560.75
Patients Cash Account	JPMorgan Chase Bank, N.A.	18,277.75
Patients Money Market Account	JPMorgan Chase Bank, N.A.	778.42
Petty Cash	JPMorgan Chase Bank, N.A.	0.00
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	563.00
Money Market Account	JPMorgan Chase Bank, N.A.	305,534.00
Patient Fund Account	JPMorgan Chase Bank, N.A.	148,496.40
Petty Cash Fund	JPMorgan Chase Bank, N.A.	7,529.53
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	No report received
F.L. Newark Resident Checking	Community Bank	No report received
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	No report received
FL Vending Machine Account	Community Bank	No report received
General Account	JPMorgan Chase Bank, N.A.	No report received
Geneseo Client Cash	Bank of America, N.A.	No report received
Kelsey Trust Fund	Bank of America, N.A.	No report received
Mary Moore Trust Fund	Bank of America, N.A.	No report received
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	No report received
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	No report received
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	No report received
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	Key Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	No report received
Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	878.08
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	11,544.94
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	48,016.00
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	15,250.20
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	4,345.50
70080 - CUNY YORK COLLEGE		
York College Imprest Funds	Citibank	11,228.64
York College Travel Advance Fund	Citibank	817.00
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	4,906.85

70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	5,000.00
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	20,121.12

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 05/31/2019**

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 5/31/2019
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND		
0226 Upstate Community Colleges, FIT Series 2000A	Key Bank	159,482.19
0231 Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232 Upstate Community Colleges, Series 2005B	Key Bank	1,090,334.99
0233 Upstate Community Colleges, Series 2005C	Key Bank	3,265,117.43
DORMITORY AUTHORITY OF THE STATE OF NEW YORK		
0039 Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	6,037.33
0070 Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104 Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	12,095.87
0105 Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	258,081.67
0149 State Advances Repayment Account	Bank of America, N.A.	0.00
1202 DA DFRF MAC #1202 Binghamton	Key Bank	815.74
1203 DA DFRF MAC #1203 Oneonta	Key Bank	387.90
1205 DA DFRF MAC #1205 Delhi	Key Bank	560.80
1206 DA DFRF MAC #1206 Buffalo Univ	Key Bank	795.12
1207 DA DFRF MAC #1207 Buffalo College	Key Bank	916.01
1208 DA DFRF MAC #1208 Alfred	Key Bank	581.47
1209 DA DFRF Mac #1209 Fredonia	Key Bank	724.62
1211 DA DFRF Mac #1211 Upstate Medical	Key Bank	323.36
1212 DA DFRF Mac #1212 Oswego	Key Bank	40.44
1214 DA DFRF Mac #1214 Cortland	Key Bank	225.20
1215 DA DFRF MAC #1215 Stony Brook	Key Bank	(944,518.74)
1216 DA DFRF MAC #1216 Old Westbury	Key Bank	440.08
1217 DA DFRF MAC #1217 Farmingdale	Key Bank	622.51
1218 DA DFRF MAC #1218 Downstate Med	Key Bank	823.96
1219 DA DFRF MAC #1219 Maritime	Key Bank	(10,396.62)
1221 DA DFRF MAC #1221 Brockport	Key Bank	(2,734,244.57)
1222 DA DFRF MAC #1222 Geneseo	Key Bank	6.57
1223 DA DFRF MAC #1223 Purchase	Key Bank	670.47
1224 DA DFRF MAC #1224 New Paltz	Key Bank	968.42
1225 DA DFRF MAC #1225 Canton	Key Bank	177.52
1226 DA DFRF MAC #1226 Plattsburgh	Key Bank	845.60
1227 DA DFRF MAC #1227 Potsdam	Key Bank	(154,832.21)
1228 DA DFRF MAC #1228 Morrisville	Key Bank	165.21
1229 DA DFRF MAC #1229 SUNYIT	Key Bank	162.11
1230 DA DFRF MAC #1230 Cobleskill	Key Bank	269.09
1239 DA DFRF MAC #1239 Albany	Key Bank	569.94
1240 Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202 DA OMR MAC #2202 Binghamton	Key Bank	1,167.33
2203 DA OMR MAC #2203 Oneonta	Key Bank	1,166.75
2205 DA OMR MAC #2205 Delhi	Key Bank	1,011.00
2206 DA OMR MAC #2206 Buffalo Univ	Key Bank	1,048.68
2207 DA OMR MAC #2207 Buffalo College	Key Bank	1,122.54
2208 DA OMR MAC #2208 Alfred	Key Bank	1,151.40
2209 DA OMR MAC #2209 Fredonia	Key Bank	1,121.89
2211 DA OMR MAC #2211 Upstate Medical	Key Bank	1,022.55
2212 DA OMR MAC #2212 Oswego	Key Bank	1,351.83
2214 DA OMR MAC #2214 Cortland	Key Bank	1,582.48
2215 DA OMR MAC #2215 Stony Brook	Key Bank	1,003.05
2216 DA OMR MAC #2216 Old Westbury	Key Bank	1,227.99
2217 DA OMR MAC #2217 Farmingdale	Key Bank	1,013.10
2218 DA OMR MAC #2218 Downstate Med	Key Bank	1,019.38
2219 DA OMR MAC #2219 Maritime	Key Bank	1,212.07
2221 DA OMR MAC #2221 Brockport	Key Bank	1,049.47
2222 DA OMR MAC #2222 Geneseo	Key Bank	1,137.43
2223 DA OMR MAC #2223 Purchase	Key Bank	1,084.57
2224 DA OMR MAC #2224 New Paltz	Key Bank	1,077.69
2225 DA OMR MAC #2225 Canton	Key Bank	1,039.38
2226 DA OMR MAC #2226 Plattsburgh	Key Bank	1,002.61
2227 DA OMR MAC #2227 Potsdam	Key Bank	1,207.01
2228 DA OMR MAC #2228 Morrisville	Key Bank	1,036.65
2229 DA OMR MAC #2229 SUNYIT	Key Bank	7,248.85
2230 DA OMR MAC #2230 Cobleskill	Key Bank	1,020.19
2239 DA OMR MAC #2239 Albany	Key Bank	1,455.06
2240 DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	1,007.60
HOMELESS HOUSING ASSISTANCE CORPORATION		
0320 Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	5,965,036.04

NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION

0315	The Egg	Key Bank	35,465.29
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NEW YORK CONVENTION CENTER

0300	Operating Fund	JPMorgan Chase Bank, N.A.	7,232,163.94
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NEW YORK JOB DEVELOPMENT AUTHORITY

0036	Special Purpose Fund	Bank of America, N.A.	500,951.04
0371	Series H Commercial Paper	Bank of America, N.A.	247,184.85
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	281,503.86
0421	Escrow Account for Package Fulfillment Center Inc.	Key Bank	0.00
0423	Escrow Account for USA Industries Inc.	Key Bank	37,274.67
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,782.38
0426	Agriculture Loan Program	Key Bank	0.00

NYS AFFORDABLE HOUSING CORPORATION

0491	Disbursement Account	JPMorgan Chase Bank, N.A.	267,110.16
0520	Development Account	Key Bank	4,070,064.12
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	188,738.30
0523	Recapture Account	Key Bank	18,391.44
0880	Payroll Account	JPMorgan Chase Bank, N.A.	(152.86)

NYS DEPARTMENT OF TAXATION AND FINANCE

0510	Excelsior Linked Deposit Fund	Key Bank	6,000.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	85,281.78
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,307.00
0626	Criminal Investigation Division	Key Bank	242,565.73
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	10,565,282.30
0827	Stock Transfer Incentive Fund	Key Bank	1,120,736.58
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00

NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY

0028	Green Jobs - Green New York Fund	Bank of America, N.A.	0.00
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	(22,701,413.31)

NYS HOUSING FINANCE AGENCY

0032	Operating Fund	Key Bank	0.00
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	189,145.78
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	5,611,750.28
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	0.00
0266	Community Related Programs Operating Account	Key Bank	0.00
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	62,646.12
0270	Claims Repayment Account	Key Bank	0.00
0271	Pre-Bond Revenue Account	Key Bank	0.00
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	1,239,488.16
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	0.00
0283	Small Owner's Assistance Program Account	Key Bank	57,779.43
0285	Public Purpose Account	Key Bank	1,101,256.44
0286	Disbursement Account	Key Bank	1,844,743.16
0287	Infrastructure Development Fund	Key Bank	104,751.71
0288	Mobile Home Cooperative Fund	Key Bank	16,896.94
0290	Low Rent Lease Account	Key Bank	0.00
0292	Homeless Housing Initiatives	Key Bank	779,409.87
0294	Housing Plan Fund	Key Bank	1,186,424.24
0305	HPD Disbursement Fund	Key Bank	800,106.75
0879	Payroll Account	JPMorgan Chase Bank, N.A.	124,848.80

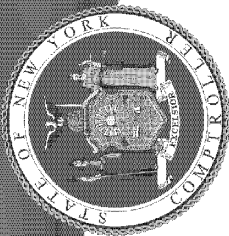
NYS HOUSING TRUST FUND CORPORATION

0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	410,111.60
0460	Escrow Account	M&T Bank	925,835.50
0461	Housing Modernization Account	M&T Bank	132,936.53
0462	General Custodial Account	M&T Bank	57,696,315.08
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	10,573,460.68
0466	Homes for Working Families Account	M&T Bank	3,540,695.51
0467	Section 8 Administrative Account	M&T Bank	9,499,145.28
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	13,914,214.89
0470	HCV Main Account	Bank of America, N.A.	15,606,003.51
0471	Empire State Relief Fund	M&T Bank	584,548.44
0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,702,884.25

0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	20,150.05
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	0.00
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	520,032.22
0891	Small Cities Community Development Block Grant Program	M&T Bank	1,727,951.03
0892	Disaster Recovery Initiative Account	M&T Bank	124,953.62
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	87,572.73
0899	HTFC Storm Recovery Lockbox	US Bank	12,700.13
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	414,816.03
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	550,489.05
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	1,281,171.41
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	(150,426.94)
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	2,069,887.50
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	3,740,966.00
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	34,621,719.83
0054	State Insurance Fund	Bank of America, N.A.	4,299,529.40
0055	State Insurance Fund	Bank of America, N.A.	5,546,490.56
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	26,941.64
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	538,831.26
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	29,769.82
0870	Deductions Account	Key Bank	104,693.20
0871	Bio-Tech Incubator at Farmingdale	Key Bank	0.00
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	461,516.14
0011	Uninsured Employers Fund	Bank of America, N.A.	0.00
0012	WCB Asset Transfer Account	Bank of America, N.A.	0.00
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	0.00
008K	Special Fund for Disability Benefits	Key Bank	42,429.43

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis Deputy Commissioner and State Treasurer



Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

MAY 2019

NYS Comptroller
THOMAS P. DINAPOLI

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

May 31, 2019

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EXHIBIT A

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR	
	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	2 MOS. ENDED MAY 31, 2018	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax	\$ 1,242.3	\$ 5,850.1	\$ -	\$ -	\$ 1,242.4	\$ 5,850.1	\$ -	\$ -	\$ 2,484.7	\$ 11,700.2	\$ 6,039.2	45.5%
Consumption/Use Taxes	586.1	1,183.4	145.7	343.1	551.0	1,092.2	45.7	99.8	1,340.5	2,715.5	2,583.9	5.1%
Business Taxes	(181.5)	361.7	41.6	246.0	-	-	55.7	115.3	(84.2)	723.0	587.5	23.1%
Other Taxes	58.5	139.3	-	-	86.0	168.9	-	-	144.5	308.2	313.2	(5.0)
Miscellaneous Receipts	279.3	1,020.3	1,462.6	3,034.5	36.5	79.1	92.9	397.1	1,871.3	4,531.0	4,036.4	12.3%
Federal Receipts	-	-	4,816.3	11,020.0	-	-	142.9	181.2	4,959.2	11,201.2	8,531.4	31.3%
Total Receipts	1,996.7	8,554.8	6,466.2	14,643.6	1,915.9	7,187.3	337.2	793.4	10,716.0	31,179.1	24,091.6	29.4%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	3,979.7	4,896.7	591.1	928.8	-	-	3.2	12.4	4,574.0	5,837.9	5,807.7	30.2
Environment and Recreation	0.1	0.2	0.1	0.3	-	-	33.5	58.6	33.7	59.1	30.1	55.1%
General Government	15.7	17.4	22.2	49.6	-	-	121.8	153.0	159.7	220.0	180.4	22.0%
Public Health:												
Medicaid	1,588.6	4,885.2	4,563.1	7,678.5	-	-	-	-	6,151.7	12,563.7	10,176.2	23.5%
Other Public Health	120.3	270.5	520.5	1,063.9	-	-	41.6	72.4	682.4	1,406.8	1,593.5	(186.7)
Public Safety	13.4	24.0	164.9	301.2	-	-	(0.1)	9.9	175.2	335.1	246.0	89.1
Public Welfare	84.9	172.7	120.0	272.3	-	-	10.9	10.9	215.8	455.9	856.0	(400.1)
Support and Regulate Business	7.7	13.7	7.9	9.3	-	-	149.2	175.9	164.8	198.9	142.7	39.4%
Transportation	23.9	23.9	406.5	480.8	-	-	44.7	277.4	477.1	782.1	782.3	(0.2)
Total Local Assistance Grants	5,834.3	10,304.3	6,396.3	10,784.7	-	-	404.8	770.5	12,637.4	21,859.5	19,822.9	10.3%
Departmental Operations:												
Personal Service	1,071.8	1,760.6	645.8	1,086.8	-	-	-	-	1,717.6	2,857.4	2,573.2	284.2
Non-Personal Service	249.2	408.2	324.8	639.2	2.3	3.0	-	-	576.3	1,050.4	1,039.7	10.7
General State Charges	2,349.3	3,077.5	111.3	196.7	-	-	-	-	2,460.6	3,274.2	3,338.1	(63.9)
Debt Service, Including Payments on	-	-	-	-	-	-	-	-	-	-	-	-
Financing Agreements	-	-	-	-	121.1	193.5	-	-	121.1	193.5	190.2	3.3
Capital Projects	-	-	-	-	-	-	528.1	962.2	528.1	962.2	951.6	1.1%
Total Disbursements	9,504.6	15,550.6	7,480.2	12,717.4	123.4	196.5	932.9	1,732.7	18,041.1	30,197.2	27,915.7	8.2%
Excess (Deficiency) of Receipts over Disbursements	(7,507.9)	(6,995.8)	(1,014.0)	1,926.2	1,792.5	6,990.8	(595.7)	(939.3)	(7,325.1)	981.9	(3,824.1)	125.7%
OTHER FINANCING SOURCES (USES):												
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	1,625.1	6,804.1	437.2	733.3	206.6	425.9	412.4	921.6	2,681.3	8,884.9	6,713.0	32.4%
Transfers to Other Funds	(864.3)	(1,792.4)	(156.7)	(233.0)	(1,630.1)	(6,797.7)	(47.7)	(93.6)	(2,698.8)	(8,916.7)	(6,641.5)	34.3%
Total Other Financing Sources (Uses)	760.8	5,011.7	280.5	500.3	(1,423.5)	(6,371.8)	364.7	828.0	(17.5)	(31.8)	71.5	(144.5%)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(6,747.1)	(1,984.1)	(733.5)	2,426.5	369.0	619.0	(231.0)	(111.3)	(7,342.6)	950.1	(3,752.6)	125.3%
Beginning Fund Balances (Deficits)	11,988.7	7,065.7	7,002.4	3,842.4	314.8	64.8	(1,015.2)	(1,137.9)	18,267.7	9,975.0	14,013.5	(2,774.0)
Ending Fund Balances (Deficits)	\$ 5,221.6	\$ 5,221.6	\$ 6,268.9	\$ 6,268.9	\$ 683.8	\$ 683.8	\$ (1,249.2)	\$ (1,249.2)	\$ 10,925.1	\$ 10,925.1	\$ 8,996.4	21.4%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS			
	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	MONTH OF MAY 2018	2 MOS. ENDED MAY 31, 2018
RECEIPTS:										
Personal Income Tax	\$ 1,242.3	\$ 5,850.1	\$ -	\$ -	\$ 1,242.4	\$ 5,850.1	\$ 2,484.7	\$ 11,700.2	\$ 2,183.0	\$ 8,039.2
Consumption/Use Taxes	598.1	1,163.4	145.7	343.1	551.0	1,089.2	1,294.8	2,615.7	1,257.2	2,490.2
Business Taxes	(181.5)	361.7	41.6	246.0	-	-	(139.9)	607.7	125.9	481.8
Other Taxes	58.5	139.3	-	-	86.0	168.9	144.5	308.2	174.6	313.2
Miscellaneous Receipts	279.3	1,020.3	1,411.2	2,968.4	36.5	79.1	1,727.0	4,067.8	1,650.7	3,438.4
Federal Receipts	-	-	-	-	-	-	-	-	-	-
Total Receipts	1,986.7	8,554.8	1,598.5	3,557.5	1,915.9	7,187.3	5,511.1	15,299.6	5,229.8	14,760.2
DISBURSEMENTS:										
Local Assistance Grants:										
Education	3,979.7	4,896.7	0.5	0.7	-	-	3,980.2	4,897.4	3,618.9	4,798.9
Environment and Recreation	0.1	0.2	-	0.1	-	-	0.1	0.3	0.3	0.7
General Government	15.7	17.4	18.7	44.1	-	-	34.4	61.5	40.6	52.5
Public Health:										
Medicaid	1,588.6	4,885.2	881.9	880.8	-	-	2,470.5	5,766.0	2,271.1	4,067.0
Other Public Health	120.3	270.5	32.7	92.8	-	-	153.0	363.3	471.7	729.8
Public Safety	13.4	24.0	14.4	34.5	-	-	27.8	58.5	36.2	61.3
Public Welfare	84.9	172.7	0.8	1.7	-	-	85.7	174.4	132.7	214.0
Support and Regulate Business	7.7	13.7	6.7	7.8	-	-	14.4	21.5	7.0	9.8
Transportation	23.9	23.9	402.7	471.0	-	-	426.6	494.9	445.6	681.9
Total Local Assistance Grants	5,834.3	10,304.3	1,358.4	1,533.5	-	-	7,192.7	11,837.8	7,024.1	10,615.9
Departmental Operations:										
Personal Service	1,071.8	1,760.6	580.0	981.7	-	-	1,651.8	2,742.3	1,400.5	2,451.3
Non-Personal Service	249.2	409.2	248.5	492.8	2.3	3.0	500.0	904.0	532.1	879.3
General State Charges	2,349.3	3,077.5	87.2	151.2	-	-	2,436.5	3,228.7	445.3	3,271.4
Debt Service, Including Payments on Financing Agreements	-	-	-	-	121.1	193.5	121.1	193.5	126.1	190.2
Total Disbursements	9,504.6	15,650.6	2,274.1	3,159.2	123.4	196.5	11,902.1	18,906.3	9,528.1	17,408.1
Excess (Deficiency) of Receipts over Disbursements	(7,507.9)	(6,995.8)	(675.6)	398.3	1,792.5	6,990.8	(6,391.0)	383.3	(4,298.3)	(2,647.9)
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	1,625.1	6,804.1	585.3	893.6	206.6	425.9	2,417.0	8,123.6	2,120.8	6,268.1
Transfers to Other Funds	(854.3)	(1,792.4)	(6.3)	(44.6)	(1,630.1)	(6,797.7)	(2,500.7)	(8,634.7)	(2,439.3)	(6,456.4)
Total Other Financing Sources (Uses)	760.8	5,011.7	579.0	849.0	(1,423.5)	(6,371.8)	(83.7)	(511.1)	(318.5)	(188.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(6,747.1)	(1,984.1)	(96.6)	1,247.3	369.0	619.0	(6,474.7)	(117.8)	(4,616.8)	(2,836.2)
Beginning Fund Balances (Deficits)	11,968.7	7,205.7	6,434.7	5,090.8	314.8	64.8	18,718.2	12,361.3	15,387.2	13,606.6
Ending Fund Balances (Deficits)	\$ 5,221.6	\$ 5,221.6	\$ 6,338.1	\$ 6,338.1	\$ 683.8	\$ 683.8	\$ 12,243.5	\$ 12,243.5	\$ 10,770.4	\$ 10,770.4

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$242.2	million
Urban Development Corporation (Youth Facilities)	18.7	
Housing Finance Agency (HFA)	186.5	
Housing Assistance Fund	12.9	
Dormitory Authority (Mental Hygiene)	486.6	
Dormitory Authority and State University Income Fund	240.6	
Federal Capital Projects	549.8	
State bond and note proceeds	32.9	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$655.1	million
General Debt Service Fund	145.4	
Banking Services Account	4.3	
Building Administration Account	8.0	
Business Service Center Account	8.1	
Centralized Tech Services Account	11.5	
Court Facilities Incentive Aid Fund	85.3	
Dedicated Infrastructure Investment Fund	250.0	
Housing Debt Service Fund	0.5	
MTA Financial Assistance Fund	48.9	
MTA Operating Assistance Fund	20.0	
NYC County Courts Operating Account	3.2	
SUNY - Income Fund	436.7	

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$1.4m), and the State University Income Fund (\$134.2m).

\$72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of May 31, 2019 - pursuant to a certification of the Budget Director - the reserve amount is (\$75.1m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$188.4m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, SUNY Capital Projects Fund (\$4.1m), and All Other Capital Projects (\$12.4m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

NYC Assessment Account	\$22.9	million
SUNY Income Fund	5.1	

EXHIBIT A NOTES
May 2019

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$5,733.5	million
Local Government Assistance Tax Fund	365.7	
Sales Tax Revenue Bond Tax Fund	389.0	
Clean Water/Clean Air Fund	156.3	
Mental Health Services Fund	128.1	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$25.1m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$3.5m) and the General Debt Service Fund - Lease Purchase (\$90.2m).

3. Part UU of Section 11 of Chapter 59 of the Laws of 2018 amended section 805(b) of the tax law, whereby the receipts from the metropolitan commuter transportation mobility tax will be paid into the metropolitan transportation authority finance fund pursuant to statute but without appropriation. The result is that neither the mobility tax receipts nor the related grant disbursements to the MTA are recorded in the State funds. The MTA mobility tax activity is reported in Schedule 4.
4. Part FF of Chapter 58 of the Laws of 2019 amended paragraphs (b-1) and (c-3) of subdivision two of section 503 of the vehicle and traffic law, article 29-a of the tax law, article 17-c of the vehicle and traffic law and section 1166-a of the tax law, whereby the receipts from the various taxes and fees will be paid into the metropolitan transportation authority special assistance fund pursuant to statute but without appropriation. The activity is now reported in Schedule 4.
5. Tax Law Article 29-C was enacted on April 1, 2018, with collection of the for-hire congestion surcharge scheduled to begin on January 1, 2019. Amounts collected will be paid into the NYC transportation fund pursuant to statute but without appropriation. The result is that neither the surcharge nor the related disbursements to NYC are recorded in State Funds. The for-hire congestion surcharge activity is reported in Schedule 4.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT B

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	MONTH OF	2 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	MAY 2019	MAY 31, 2019	MAY 2019	MAY 31, 2019	MAY 2019	MAY 31, 2019	MAY 2019	MAY 31, 2019	MAY 2018	MAY 31, 2018		
RECEIPTS:												
Miscellaneous Receipts	\$ 6.1	\$ 10.9	\$ 32.5	\$ 65.7	\$ 38.6	\$ 76.6	\$ 79.5	\$ 106.0	\$	\$	\$ (29.4)	-27.7%
Federal Receipts	1.0	2.1	-	-	1.0	2.1	1.2	2.2			(0.1)	-4.5%
Unemployment Taxes	139.2	326.7	-	-	139.2	326.7	148.1	339.2			(12.5)	-3.7%
Total Receipts	146.3	339.7	32.5	65.7	178.8	405.4	228.8	447.4			(42.0)	-9.4%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	0.6	0.9	14.0	23.7	14.6	24.6	12.0	20.5			4.1	20.0%
Non-Personal Service	5.1	8.7	56.3	80.7	61.4	89.4	31.8	82.2			7.2	8.8%
General State Charges	-	0.1	4.9	9.0	4.9	9.1	9.9	10.1			(1.0)	-9.9%
Unemployment Benefits	140.3	329.7	-	-	140.3	329.7	149.4	341.5			(11.8)	-3.5%
Total Disbursements	146.0	339.4	75.2	113.4	221.2	452.8	203.1	454.3			(1.5)	-0.3%
Excess (Deficiency) of Receipts Over Disbursements	0.3	0.3	(42.7)	(47.7)	(42.4)	(47.4)	25.7	(6.9)			(40.5)	-587.0%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	-	17.5	31.8	17.5	31.8	21.9	24.5			7.3	29.8%
Transfers to Other Funds	-	-	-	-	-	-	-	-			-	0.0%
Total Other Financing Sources (Uses)	-	-	17.5	31.8	17.5	31.8	21.9	24.5			7.3	29.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	0.3	0.3	(25.2)	(15.9)	(24.9)	(15.6)	47.6	17.6			(33.2)	-188.6%
Beginning Fund Balances (Deficits)	26.6	26.6	(293.4)	(302.7)	(286.8)	(276.1)	(274.6)	(244.6)			(31.5)	-12.9%
Ending Fund Balances (Deficits)	\$ 26.9	\$ 26.9	\$ (318.6)	\$ (318.6)	\$ (291.7)	\$ (291.7)	\$ (227.0)	\$ (227.0)			\$ (64.7)	-28.5%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION			PRIVATE PURPOSE			TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019		MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019		MONTH OF MAY 2019	2 MOS. ENDED MAY 31, 2019	MONTH OF MAY 2018	2 MOS. ENDED MAY 31, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	%
RECEIPTS:												
Miscellaneous Receipts	7.8	13.1	0.3	0.1	0.3		7.9	13.4	8.0	13.4	-	0.0%
Total Receipts	7.8	13.1	0.3	0.1	0.3		7.9	13.4	8.0	13.4	-	0.0%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	7.7	13.0	-	-	-		7.7	13.0	7.9	13.1	(0.1)	-0.8%
Non-Personal Service	1.1	2.2	-	-	-		1.1	2.2	1.5	2.3	(0.1)	-4.3%
General State Charges	3.4	6.7	-	-	-		3.4	6.7	7.8	7.8	(1.1)	-14.1%
Total Disbursements	12.2	21.9	-	-	-		12.2	21.9	17.2	23.2	(1.3)	-5.6%
Excess (Deficiency) of Receipts Over Disbursements	(4.4)	(8.8)	0.3	0.1	0.3		(4.3)	(8.5)	(9.2)	(9.8)	1.3	13.3%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	-	-	-	-		-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-		-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-		-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.4)	(8.8)	0.3	0.1	0.3		(4.3)	(8.5)	(9.2)	(9.8)	1.3	13.3%
Beginning Fund Balances (Deficits)	(7.4)	(3.0)	13.2	13.4	13.2		6.0	10.2	9.3	9.9	0.3	3.0%
Ending Fund Balances (Deficits)	(11.8)	(11.8)	13.5	13.5	13.5		1.7	1.7	0.1	0.1	1.6	1,600.0%

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWO MONTHS ENDED MAY 31, 2019
(amounts in millions)**

EXHIBIT D

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 11,462.0	\$ -	\$ 11,700.2	\$ 238.2	\$ -
Consumption/Use	2,658.0	-	2,715.5	57.5	-
Business	664.0	-	723.0	59.0	-
Other	355.0	-	308.2	(46.8)	-
Miscellaneous Receipts	4,427.0	-	4,531.0	104.0	-
Federal Receipts	11,847.0	-	11,201.2	(645.8)	-
Total Receipts	31,413.0	-	31,179.1	(233.9)	-
DISBURSEMENTS:					
Local Assistance Grants	21,966.0	-	21,859.5	(106.5)	-
Departmental Operations	3,712.0	-	3,907.8	195.8	-
General State Charges	3,251.0	-	3,274.2	23.2	-
Debt Service	175.0	-	193.5	18.5	-
Capital Projects	1,037.0	-	962.2	(74.8)	-
Total Disbursements	30,141.0	-	30,197.2	56.2	-
Excess (Deficiency) of Receipts over Disbursements	1,272.0	-	981.9	(290.1)	-
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	8,642.0	-	8,884.9	242.9	-
Transfers to Other Funds	(8,676.0)	-	(8,916.7)	240.7	-
Total Other Financing Sources (Uses)	(34.0)	-	(31.8)	2.2	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,238.0	-	950.1	(287.9)	-
Fund Balances (Deficits) at April 1	9,975.0	-	9,975.0	-	-
Fund Balances (Deficits) at May 31, 2019	\$ 11,213.0	\$ -	\$ 10,925.1	\$ (287.9)	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWO MONTHS ENDED MAY 31, 2019
(amounts in millions)**

EXHIBIT D

	STATE OPERATING FUNDS (**)			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:				
Taxes:				
Personal Income	\$ 11,462.0	\$ -	\$ 11,700.2	\$ 238.2
Consumption/Use	2,560.0	-	2,615.7	55.7
Business	556.0	-	607.7	51.7
Other	355.0	-	308.2	(46.8)
Miscellaneous Receipts	4,020.0	-	4,067.8	47.8
Federal Receipts	-	-	-	-
Total Receipts	18,953.0	-	19,299.6	346.6
DISBURSEMENTS:				
Local Assistance Grants	11,670.0	-	11,837.8	167.8
Departmental Operations	3,407.0	-	3,646.3	239.3
General State Charges	3,197.0	-	3,228.7	31.7
Debt Service	175.0	-	193.5	18.5
Total Disbursements	18,449.0	-	18,906.3	457.3
Excess (Deficiency) of Receipts over Disbursements	504.0	-	393.3	(110.7)
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds	7,858.0	-	8,123.6 (***)	265.6
Transfers to Other Funds	(8,339.0)	-	(8,634.7) (***)	295.7
Total Other Financing Sources (Uses)	(481.0)	-	(511.1)	(30.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	23.0	-	(117.8)	(140.8)
Fund Balances (Deficits) at April 1	12,362.0	-	12,361.3	(0.7)
Fund Balances (Deficits) at May 31, 2019	\$ 12,385.0	\$ -	\$ 12,243.5	\$ (141.5)

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(***) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWO MONTHS ENDED MAY 31, 2019
(amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 5,731.0	\$ -	\$ 5,850.1	\$ 119.1	\$ -
Consumption/Use	1,177.0	-	1,183.4	6.4	-
Business	337.0	-	361.7	24.7	-
Other	183.0	-	139.3	(43.7)	-
Miscellaneous Receipts	1,000.0	-	1,020.3	20.3	-
Federal Receipts	-	-	-	-	-
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	5,614.0	-	5,733.5	119.5	-
Sales Tax in excess of LGAC / STRBF Debt Service	756.0	-	754.7	(1.3)	-
Real Estate Taxes in excess of CW/CA Debt Service	168.0	-	156.3	(11.7)	-
All Other	129.0	-	159.6	30.6	-
Total Receipts and Other Financing Sources	15,095.0	-	15,358.9	263.9	-
DISBURSEMENTS:					
Local Assistance Grants	10,095.0	-	10,304.3	209.3	-
Departmental Operations	1,957.0	-	2,168.8	211.8	-
General State Charges	3,047.0	-	3,077.5	30.5	-
Transfers To:					
Debt Service	135.0	-	145.4	10.4	-
Capital Projects	770.0	-	905.1	135.1	-
State Share Medicaid	-	-	135.6	135.6	-
SUNY Operations	437.0	-	436.7	(0.3)	-
Other Purposes	286.0	-	169.6	(116.4)	-
Total Disbursements and Other Financing Uses	16,727.0	-	17,343.0	616.0	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,632.0)	-	(1,984.1)	(352.1)	-
Fund Balances (Deficits) at April 1	7,206.0	-	7,205.7	(0.3)	-
Fund Balances (Deficits) at May 31, 2019	\$ 5,574.0	\$ -	\$ 5,221.6	\$ (352.4)	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWO MONTHS ENDED MAY 31, 2019
(amounts in millions)

SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	301.0	-	343.1	-	343.1	42.1
Business	219.0	-	246.0	-	246.0	27.0
Miscellaneous Receipts	2,994.0	-	3,034.5	-	3,034.5	40.5
Federal Receipts	11,691.0	-	11,020.0	-	11,020.0	(671.0)
Transfers from Other Funds (**)	829.0	-	893.6	(160.3)	733.3	(95.7)
Total Receipts and Other Financing Sources	16,034.0	-	15,537.2	(160.3)	15,376.9	(657.1)
DISBURSEMENTS:						
Local Assistance Grants	11,203.0	-	10,784.7	-	10,784.7	(418.3)
Departmental Operations	1,752.0	-	1,736.0	-	1,736.0	(16.0)
General State Charges	204.0	-	196.7	-	196.7	(7.3)
Capital Projects	-	-	-	-	-	-
Transfers to Other Funds (**)	267.0	-	393.3	(160.3)	233.0	(34.0)
Total Disbursements and Other Financing Uses	13,426.0	-	13,110.7	(160.3)	12,950.4	(475.6)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,608.0	-	2,426.5	-	2,426.5	(181.5)
Fund Balances (Deficits) at April 1	3,842.0	-	3,842.4	-	3,842.4	0.4
Fund Balances (Deficits) at May 31, 2019	\$ 6,450.0	\$ -	\$ 6,268.9	\$ -	\$ 6,268.9	\$ (181.1)

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWO MONTHS ENDED MAY 31, 2019
(amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS				FEDERAL SPECIAL REVENUE FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan	Actual	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:								
Taxes:								
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	301.0	-	343.1	42.1	-	-	-	-
Business	219.0	-	246.0	27.0	-	-	-	-
Miscellaneous Receipts	2,964.0	-	2,968.4	4.4	-	66.1	36.1	-
Federal Receipts	-	-	-	-	-	11,020.0	(671.0)	-
Transfers from Other Funds	829.0	-	883.6	64.6	-	-	-	-
Total Receipts and Other Financing Sources	4,313.0	-	4,461.1	138.1	-	11,086.1	(634.9)	-
DISBURSEMENTS:								
Local Assistance Grants	1,575.0	-	1,533.5	(41.5)	-	9,251.2	(376.8)	-
Departmental Operations	1,447.0	-	1,474.5	27.5	-	261.5	(43.5)	-
General State Charges	150.0	-	151.2	1.2	-	45.5	(8.5)	-
Capital Projects	-	-	-	-	-	-	-	-
Transfers to Other Funds	21.0	-	44.6	23.6	-	348.7	102.7	-
Total Disbursements and Other Financing Uses	3,193.0	-	3,203.8	10.8	-	9,906.9	(326.1)	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,120.0	-	1,247.3	127.3	-	1,179.2	(308.8)	-
Fund Balances (Deficits) at April 1	5,091.0	-	5,090.8	(0.2)	-	(1,248.0)	0.6	-
Fund Balances (Deficits) at May 31, 2019	\$ 6,211.0	\$ -	\$ 6,338.1	\$ 127.1	\$ -	\$ (69.2)	\$ (306.2)	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWO MONTHS ENDED MAY 31, 2019
(amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 5,731.0	\$ -	\$ 5,850.1	\$ 119.1	\$ -
Consumption/Use	1,082.0	-	1,089.2	7.2	-
Other	172.0	-	168.9	(3.1)	-
Miscellaneous Receipts	56.0	-	79.1	23.1	-
Federal Receipts	-	-	-	-	-
Transfers from Other Funds	362.0	-	425.9	63.9	-
Total Receipts and Other Financing Sources	7,403.0	-	7,613.2	210.2	-
DISBURSEMENTS:					
Departmental Operations	3.0	-	3.0	-	-
Debt Service	175.0	-	193.5	18.5	-
Transfers to Other Funds	6,690.0	-	6,797.7	107.7	-
Total Disbursements and Other Financing Uses	6,868.0	-	6,994.2	126.2	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	535.0	-	619.0	84.0	-
Fund Balances (Deficits) at April 1	65.0	-	64.8	(0.2)	-
Fund Balances (Deficits) at May 31, 2019	\$ 600.0	\$ -	\$ 663.8	\$ 83.8	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWO MONTHS ENDED MAY 31, 2019
(amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	\$ 98.0	\$ -	\$ 99.8	\$ -	\$ 99.8	\$ 1.8	\$ -
Business	108.0	-	115.3	-	115.3	7.3	-
Other	-	-	-	-	-	-	-
Miscellaneous Receipts	377.0	-	397.1	-	397.1	20.1	-
Federal Receipts	156.0	-	181.2	-	181.2	25.2	-
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	784.0	-	921.6	-	921.6	137.6	-
Total Receipts and Other Financing Sources	1,523.0	-	1,715.0	-	1,715.0	192.0	-
DISBURSEMENTS:							
Local Assistance Grants	668.0	-	770.5	-	770.5	102.5	-
Capital Projects	1,037.0	-	962.2	-	962.2	(74.8)	-
Transfers to Other Funds	91.0	-	93.6	-	93.6	2.6	-
Total Disbursements and Other Financing Uses	1,796.0	-	1,826.3	-	1,826.3	30.3	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(273.0)	-	(111.3)	-	(111.3)	161.7	-
Fund Balances (Deficits) at April 1	(1,138.0)	-	(1,137.9)	-	(1,137.9)	0.1	-
Fund Balances (Deficits) at May 31, 2019	\$ (1,411.0)	\$ -	\$ (1,249.2)	\$ -	\$ (1,249.2)	\$ 161.8	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR TWO MONTHS ENDED MAY 31, 2019
(amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 98.0	\$ -	\$ 98.8	1.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business	108.0	-	115.3	7.3	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-	-	-
Miscellaneous Receipts	377.0	-	397.0	20.0	-	-	-	0.1	0.1	-
Federal Receipts	-	-	-	-	-	156.0	-	181.2	25.2	-
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	784.0	-	921.6	137.6	-	-	-	-	-	-
Total Receipts and Other Financing Sources	1,367.0	-	1,533.7	166.7	-	156.0	-	181.3	25.3	-
DISBURSEMENTS:										
Local Assistance Grants	593.0	-	692.9	99.9	-	75.0	-	77.6	2.6	-
Capital Projects	903.0	-	813.4	(89.6)	-	134.0	-	148.8	14.8	-
Transfers to Other Funds	91.0	-	93.6	2.6	-	-	-	-	-	-
Total Disbursements and Other Financing Uses	1,587.0	-	1,599.9	12.9	-	209.0	-	226.4	17.4	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(220.0)	-	(66.2)	153.8	-	(53.0)	-	(45.1)	7.9	-
Fund Balances (Deficits) at April 1	(633.0)	-	(633.2)	(0.2)	-	(505.0)	-	(504.7)	0.3	-
Fund Balances (Deficits) at May 31, 2019	\$ (653.0)	\$ -	\$ (699.4)	\$ 153.6	\$ -	\$ (558.0)	\$ -	\$ (549.8)	\$ 8.2	\$ -

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR		
	MONTH OF	2 MOS. ENDED		MONTH OF	2 MOS. ENDED		MONTH OF	2 MOS. ENDED		MONTH OF	2 MOS. ENDED		MONTH OF	2 MOS. ENDED		MONTH OF	2 MOS. ENDED	
	MAY 2019	MAY 31, 2019		MAY 2019	MAY 31, 2019		MAY 2019	MAY 31, 2019		MAY 2019	MAY 31, 2019		MAY 2019	MAY 31, 2019		MAY 2018	MAY 31, 2018	
PERSONAL INCOME TAX																		
Withholding	\$ 3,220.1	\$ 6,457.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,135.1	\$ 6,065.2	\$ 392.0	6.5%		
Estimated Payments	112.6	6,955.8	-	-	-	-	-	-	-	-	-	-	99.9	4,455.9	2,469.9	56.1%		
Returns	75.1	2,362.0	-	-	-	-	-	-	-	-	-	-	59.6	1,699.1	662.9	39.0%		
State/City Offsets	(31.1)	(328.0)	-	-	-	-	-	-	-	-	-	-	(38.6)	(316.7)	9.3	2.9%		
Other Assessments(LLC)	105.2	275.5	-	-	-	-	-	-	-	-	-	-	92.1	224.6	50.9	22.7%		
Employer Compensation Expense Tax	-	0.1	-	-	-	0.1	-	-	-	-	-	-	-	-	0.2	100.0%		
Gross Receipts	3,481.9	15,722.6	-	-	-	0.1	-	-	-	-	-	-	3,347.9	12,125.1	3,596.6	29.7%		
Transfers to School Tax Relief Fund	(1,242.3)	(5,850.0)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Transfers to Revenue Bond Tax Fund	(697.3)	(4,022.5)	-	-	1,242.3	5,850.0	-	-	-	-	-	-	(1,164.9)	(4,086.9)	(64.4)	-1.6%		
Less: Refunds Issued	1,242.3	5,850.1	-	-	-	5,850.1	-	-	-	-	-	-	2,484.7	11,700.2	3,661.0	45.5%		
Total	551.4	1,090.7	76.4	200.5	551.0	1,089.2	-	3.8	-	0.3	3.8	-	1,178.8	2,380.4	1,126.5	6.3%		
CONSUMPTION/USE TAXES																		
Sales and Use	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Auto Rental	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Cigarette/Tobacco Products	25.8	51.8	59.4	122.6	-	-	-	-	-	85.2	174.4	-	98.8	186.8	(12.4)	2.4	171.4%	6.3%
Medical Marijuana	-	-	0.4	0.9	-	-	-	-	-	0.4	0.9	-	0.3	0.5	0.4	0.4	80.0%	-6.6%
Motor Fuel	-	-	9.4	19.0	-	-	-	-	-	44.2	90.2	-	43.9	82.8	7.4	8.9%	8.9%	8.9%
Alcoholic Beverage	20.9	40.9	-	-	-	-	-	-	-	20.9	40.9	-	24.0	31.5	9.4	29.8%	29.8%	29.8%
Highway Use	-	-	0.1	0.1	-	-	-	-	-	10.7	24.9	-	12.4	28.0	13.5	(3.1)	-11.1%	-11.1%
Metropolitan Commuter Trans. Tax/cab Trip	-	-	-	-	-	-	-	-	-	-	-	-	0.7	-	(13.5)	-	-100.0%	-100.0%
Total	598.1	1,483.4	145.7	343.1	551.0	1,089.2	45.7	99.8	-	1,340.5	2,715.5	-	1,306.5	2,583.9	131.6	5.1%	5.1%	5.1%
BUSINESS TAXES																		
Corporation Franchise	(75.0)	199.1	6.0	108.6	-	-	-	-	-	(69.0)	307.7	-	(81.4)	373.7	(66.0)	15.7	17.7%	17.7%
Corporation and Utilities	1.8	18.1	(0.5)	22.9	-	-	-	-	-	1.2	44.2	-	2.1	28.5	15.7	55.1%	55.1%	55.1%
Insurance	(3.6)	123.8	8.6	23.0	-	-	-	-	-	5.0	146.8	-	(5.3)	40.9	105.9	258.9%	258.9%	258.9%
Bank	(104.7)	20.7	(16.4)	3.5	-	-	-	-	-	(121.1)	24.2	-	(6.8)	(39.4)	63.6	161.4%	161.4%	161.4%
Petroleum Business	-	-	43.9	88.0	-	-	-	-	-	69.7	200.1	-	93.8	183.8	16.3	8.9%	8.9%	8.9%
Total	(181.5)	361.7	41.6	246.0	-	-	-	-	-	(64.2)	723.0	-	2.4	587.5	135.5	23.1%	23.1%	23.1%
OTHER TAXES																		
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	57.3	137.0	-	-	-	-	-	-	-	57.3	137.0	-	81.3	131.5	5.5	4.2%	4.2%	4.2%
Pan-Mutuel	1.1	2.0	-	-	-	-	-	-	-	1.1	2.0	-	1.3	2.2	(0.2)	-9.1%	-9.1%	-9.1%
Real Estate Transfer	-	-	-	-	-	168.9	-	-	-	86.0	168.9	-	91.8	178.8	(9.9)	-5.5%	-5.5%	-5.5%
Racing and Exhibitions	0.1	0.3	-	-	-	-	-	-	-	0.1	0.3	-	0.2	0.7	(0.4)	-57.1%	-57.1%	-57.1%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	0.0%	0.0%
Total	58.5	139.3	-	-	86.0	168.9	-	-	-	144.5	308.2	-	174.6	313.2	(5.0)	-1.6%	-1.6%	-1.6%
Total Tax Receipts	\$ 1,717.4	\$ 7,534.5	\$ 187.3	\$ 589.1	\$ 1,879.4	\$ 7,108.2	\$ 101.4	\$ 215.1	\$ -	\$ 3,895.5	\$ 15,448.9	\$ -	\$ 3,665.5	\$ 11,523.8	\$ 3,923.1	34.0%	34.0%	34.0%

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019 \$	2018 \$	2018 \$	\$ Increased (Decreased)	% Increase/ Decrease
Beginning Fund Balance	9,975.0	18,267.7															
RECEIPTS:																	
Taxes:																	
Personal Income Tax:																	
Withholdings	3,237.1	3,220.1															
Estimated Payments	6,843.2	112.6															6.5%
Returns	2,298.9	75.1															56.1%
State/City Offsets	(298.9)	(31.1)															39.0%
Other (Assessments/LLC)	170.3	105.2															2.9%
Employer Compensation Expense Tax	0.1	0.1															22.7%
Gross Receipts	12,240.7	3,482.0	-	-	-	-	-	-	-	-	-	-					100.0%
Transfers to School Tax Relief Fund	-	-															0.0%
Transfers to Revenue Bond Tax Fund	(3,025.2)	(897.3)															0.0%
Total Personal Income Tax	9,215.5	2,684.7	-	-	-	-	-	-	-	-	-	-					0.0%
Consumption/Use Taxes:																	
Sales and Use	1,201.6	1,178.8															
Auto Rental	3.5	0.3															6.3%
Cigarette/Tobacco Products	89.2	85.2															171.4%
Medical Marijuana	0.6	0.4															-6.6%
Motor Fuel	46.0	44.2															80.0%
Alcoholic Beverage	20.0	20.9															8.9%
Highway Use	14.2	10.7															28.8%
Metropolitan Commuter Trans. Tax/Lease Trip	-	-															-11.1%
Total Consumption/Use Taxes	1,375.0	1,340.5	-	-	-	-	-	-	-	-	-	-					-10.5%
Business Taxes:																	
Corporation Franchise	378.7	(69.0)															
Corporation and Utilities	43.0	1.2															-17.7%
Insurance	141.8	5.0															55.1%
Bank	145.3	(121.1)															258.9%
Petroleum Business	100.4	99.7															161.4%
Total Business Taxes	807.2	(84.2)	-	-	-	-	-	-	-	-	-	-					8.9%
Other Taxes:																	
Real Property Gains	-	-															0.0%
Real Property Gift	79.7	57.3															4.2%
Paid-Mutual	0.9	1.1															-9.1%
Real Estate Transfer	82.9	96.0															-5.5%
Racing and Exhibitions	0.2	0.1															0.7%
Metropolitan Commuter Trans. Mobility	-	-															-57.1%
Total Other Taxes	163.7	144.5	-	-	-	-	-	-	-	-	-	-					0.0%
Total Taxes	11,561.4	3,885.5	-	-	-	-	-	-	-	-	-	-					-16.6%
Miscellaneous Receipts:																	
Abandoned Property	2.0	1.2															
Unclaimed Property	0.2	0.3															26.0%
Bottle Bill	-	-															-58.3%
Assessments:																	
Business	81.0	82.0															-2.7%
Medical Care	625.7	523.9															15.4%
Public Utilities	5.1	-															183.3%
Other	-	0.2															(0.7)%
Fees, Licenses and Permits:																	
Alcohol Beverage Control Licensing	5.7	6.0															-4.1%
Audit Fees	60.5	0.8															165.7%
Business/Professional:	29.8	18.0															63.8%
Criminal	0.5	0.5															0.0%
Motor Vehicle	126.2	130.8															3.6%
Recreational/Consumer	55.4	47.2															-10.0%
Fines, Penalties and Forfeitures	628.7	224.6															4.6%
Gaming:																	
Casino	31.4	18.8															368.8%
Lottery	219.4	262.0															6.5%
Video Lottery	76.6	88.5															15.4%
Interest Earnings	44.0	38.8															2.2%
Public Authorities:																	
Road and Toll	200.8	2.8															60.8%
Cost Recovery Assessments	2.0	1.0															-40.1%
Insurance Fees	4.2	4.8															-77.3%
Non Bond Related	26.4	3.2															(2.3)%
Receipts from Municipalities	-	-															(11.4)%
Total Receipts	11,561.4	3,885.5	-	-	-	-	-	-	-	-	-	-					-16.6%
Total	9,975.0	18,267.7	-	-	-	-	-	-	-	-	-	-					-34.0%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)**

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increased (Decreased)	% Increase/ Decrease
Receipts																
Rentals	40.2	28.1	-	-	-	-	-	-	-	-	-	-	68.3	73.2	(3.9)	-5.3%
Revenues of State Departments:																
Administrative Recoveries	1.9	2.8	-	-	-	-	-	-	-	-	-	-	4.7	24.9	(20.2)	-81.1%
Commissions	0.6	0.6	-	-	-	-	-	-	-	-	-	-	1.2	0.9	0.3	33.3%
Grants	10.8	1.5	-	-	-	-	-	-	-	-	-	-	12.4	1.8	10.6	588.9%
Gifts, Grants and Donations	5.6	5.2	-	-	-	-	-	-	-	-	-	-	10.8	10.2	0.6	5.9%
Indirect Cost Recoveries	239.4	194.9	-	-	-	-	-	-	-	-	-	-	434.3	532.4	(88.1)	-18.4%
Patient/Client Care Reimbursement	12.3	11.5	-	-	-	-	-	-	-	-	-	-	23.8	24.7	(0.9)	-3.6%
Rebates	7.8	1.7	-	-	-	-	-	-	-	-	-	-	9.5	162.7	(153.2)	-94.2%
Restitution and Settlements	7.6	3.1	-	-	-	-	-	-	-	-	-	-	10.6	12.6	(2.0)	-15.9%
Student Loans	52.9	56.0	-	-	-	-	-	-	-	-	-	-	108.9	96.5	12.4	12.8%
All Other	5.2	0.8	-	-	-	-	-	-	-	-	-	-	6.0	4.1	1.9	46.3%
Tuition	5.0	5.0	-	-	-	-	-	-	-	-	-	-	10.0	10.0	-	0.0%
Total Miscellaneous Receipts	2,659.7	1,871.3	-	-	-	-	-	-	-	-	-	-	4,531.0	4,036.4	494.6	12.3%
Federal Receipts	6,242.0	4,559.2	-	-	-	-	-	-	-	-	-	-	11,201.2	8,531.4	2,669.8	31.3%
Total Receipts	20,463.1	10,716.0	-	-	-	-	-	-	-	-	-	-	31,719.1	24,091.6	7,087.5	29.4%
Disbursements																
Local Assistance Grants:																
Education	1,263.9	4,574.0	-	-	-	-	-	-	-	-	-	-	5,837.9	5,807.7	30.2	0.5%
Environment and Recreation	25.4	33.7	-	-	-	-	-	-	-	-	-	-	59.1	38.1	21.0	55.1%
General Government	60.3	156.7	-	-	-	-	-	-	-	-	-	-	220.0	160.4	59.6	37.2%
Public Health:																
Medicaid	6,412.0	6,151.7	-	-	-	-	-	-	-	-	-	-	12,563.7	10,176.2	2,387.5	23.5%
Other Public Health	724.4	692.4	-	-	-	-	-	-	-	-	-	-	1,406.8	1,593.5	(186.7)	-11.7%
Public Safety	156.9	179.2	-	-	-	-	-	-	-	-	-	-	335.1	246.0	89.1	36.2%
Public Welfare	240.1	215.8	-	-	-	-	-	-	-	-	-	-	455.9	856.0	(400.1)	-46.7%
Support and Regulate Business	34.1	164.8	-	-	-	-	-	-	-	-	-	-	198.9	142.7	56.2	39.4%
Transportation	305.0	477.1	-	-	-	-	-	-	-	-	-	-	782.1	782.3	(0.2)	0.0%
Total Local Assistance Grants	9,221.1	12,537.4	-	-	-	-	-	-	-	-	-	-	21,855.3	19,522.9	2,036.6	10.3%
Departmental Operations:																
Personal Service	1,139.6	1,717.6	-	-	-	-	-	-	-	-	-	-	2,857.4	2,573.2	284.2	11.0%
Nonpersonal Service	1,567.6	1,567.6	-	-	-	-	-	-	-	-	-	-	3,135.2	3,135.2	-	0.0%
Grant State Charge	813.0	2,460.6	-	-	-	-	-	-	-	-	-	-	3,273.6	3,338.1	(65.5)	-1.9%
Debt Service, Including Payments on	72.4	121.1	-	-	-	-	-	-	-	-	-	-	193.5	190.2	3.3	1.7%
Financing Agreements	434.1	529.1	-	-	-	-	-	-	-	-	-	-	963.2	951.6	10.6	1.1%
Capital Projects																
Total Disbursements	12,156.1	18,041.1	-	-	-	-	-	-	-	-	-	-	30,197.2	27,915.7	2,281.5	8.2%
Excess (Deficiency) of Receipts over Disbursements	8,307.0	(7,325.1)	-	-	-	-	-	-	-	-	-	-	981.9	(3,824.1)	4,806.0	125.7%
Other Financing Sources (Uses):																
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	6,203.6	2,881.3	-	-	-	-	-	-	-	-	-	-	8,984.9	6,713.0	2,171.9	32.4%
Transfers to Other Funds	(6,217.9)	(2,698.6)	-	-	-	-	-	-	-	-	-	-	(8,916.7)	(6,641.5)	2,275.2	34.3%
Total Other Financing Sources (Uses)	(14.3)	(17.5)	-	-	-	-	-	-	-	-	-	-	(31.8)	71.5	(103.3)	-144.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	8,292.7	(7,342.6)	-	-	-	-	-	-	-	-	-	-	950.1	(3,752.6)	4,702.7	125.3%
Ending Fund Balance	\$ 18,267.7	\$ 10,925.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,925.1	\$ 8,996.4	\$ 1,928.7	21.4%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2019-2020
(amounts in millions)**

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019 \$	2018 \$	2 Months Ended May 31 \$ Increase (Decrease)	% Increase (Decrease)
Beginning Fund Balance	\$ 12,361.3	\$ 18,718.2											\$ 12,361.3	\$ 13,606.6	\$ (1,245.3)	-9.2%
RECEIPTS:																
Personal Income Tax:																
Withholdings	3,237.1	3,220.1											6,457.2	6,065.2	392.0	6.5%
Refunds	1,125.6	1,125.6											2,251.2	2,455.6	(204.4)	-8.3%
Return Payments	2,286.8	75.1											2,362.0	1,699.1	662.9	39.0%
State/City Offsets	(298.9)	(31.1)											(328.0)	(318.7)	9.3	2.9%
Other (Assessments/LC)	170.3	105.2											275.5	224.6	50.9	22.7%
Employer Compensation Expense Tax	0.1	0.1											0.2	0.2	0.0	100.0%
Gross Receipts	12,240.7	3,482.0											15,722.7	12,126.1	3,596.6	29.7%
Transfers to School Tax Relief Fund	-	-											-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-											-	-	-	0.0%
Refunds Issued	(3,025.2)	(897.3)											(4,022.5)	(4,066.9)	(64.4)	-1.6%
Total Personal Income Tax	9,215.5	2,484.7											11,700.2	8,039.2	3,661.0	45.5%
Consumption/Use Taxes:																
Sales and Use	1,201.6	1,178.8											2,380.4	2,239.4	141.0	6.3%
Auto Rental	-	-											0.8	0.8	0.0	100.0%
General Business Products	86.2	85.2											171.4	159.4	12.0	7.5%
Motor Fuel	9.6	9.4											19.0	17.1	1.9	11.1%
Alcoholic Beverage	20.0	20.9											40.9	31.5	9.4	29.8%
Highway Use	-	0.1											0.1	0.6	(0.5)	-83.3%
Metropolitan Commuter Trans. Taxicab Trip	-	-											13.5	13.5	0.0	100.0%
Total Consumption/Use Taxes	1,326.8	1,294.8											2,615.7	2,460.2	155.5	6.3%
Other Taxes:																
Business Franchise	376.7	(69.0)											307.7	373.7	(66.0)	-17.7%
Corporation and Utilities	39.7	1.3											41.0	25.4	15.6	61.4%
Insurance	141.8	5.0											146.8	40.9	105.9	259.9%
Bank	145.3	(121.1)											24.2	(39.4)	63.6	161.4%
Petroleum Business	44.1	43.9											88.0	81.2	6.8	8.4%
Total Other Business Taxes	747.6	(135.9)											607.7	461.9	145.8	31.6%
Real Property Taxes:																
Real Property Gains	-	-											-	-	-	0.0%
Estate and Gift	78.7	57.3											137.0	131.5	5.5	4.2%
Peri-Mutuel	0.9	1.1											2.0	2.2	(0.2)	-9.1%
Real Estate Transfer	82.9	86.0											168.9	178.8	(9.9)	-5.5%
Racing and Exhibitions	0.2	0.1											0.3	0.7	(0.4)	-57.1%
Total Real Property Taxes	163.7	144.5											308.2	313.2	(5.0)	-1.6%
Total Receipts	11,447.7	3,784.1											15,231.8	11,324.4	3,907.4	34.5%
Miscellaneous Receipts:																
Abandoned Property:																
Unclaimed Property	2.0	1.2											3.2	2.5	0.7	28.0%
Boiler Bill	0.2	0.3											0.5	1.2	(0.7)	-56.3%
Assessments:																
Business	68.4	33.6											100.0	101.6	(1.6)	-1.6%
Medical Care	625.7	523.9											1,149.6	985.9	163.7	16.4%
Public Utilities	5.1	-											5.1	1.8	3.3	183.3%
Police	-	0.2											0.2	0.9	(0.7)	-77.8%
Food, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.0											11.7	12.2	(0.5)	-4.1%
Audit Fees	-	0.8											0.8	0.3	0.5	166.7%
Business/Professional	58.7	55.0											114.7	89.6	25.1	28.0%
Civil	28.6	18.0											46.6	45.5	1.1	2.4%
Criminal	0.5	0.5											1.0	0.4	0.6	150.0%
Motor Vehicle	59.2	81.5											130.7	144.5	(13.8)	-9.6%
Recreational/Consumer	56.2	47.1											102.3	97.7	4.6	4.7%
Fines, Penalties and Forfeitures	625.7	221.8											847.5	175.5	672.0	382.9%
Gaming:																
Casino	31.4	18.8											50.2	43.7	6.5	14.9%
Lottery	218.4	262.0											480.4	435.1	45.3	10.4%
Parimutuel	176.5	185.6											362.1	181.6	180.5	100.0%
Interest Earnings	41.4	36.0											78.4	48.7	29.7	59.9%
Receipts from Public Authorities:																
Bond Proceeds	-	-											-	-	-	0.0%

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*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

*) Eliminations between State and Federal Special Revenue Funds are not included.

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

Beginning Fund Balance	2 Months Ended May 31												% Increase/ (Decrease)	-23.7%		
	2019						2020									
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
	\$ 7,205.7	\$ 11,968.7												\$ 9,445.0	\$ (2,238.3)	
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1	3,220.1												6,065.2	392.0	6.5%
Estimated Payments	6,843.2	112.6												4,455.9	2,489.9	56.1%
Returns	2,266.9	75.1												1,669.1	662.9	39.0%
State/City Offsets	(296.9)	(31.1)												(318.7)	9.3	2.9%
Other Assessments(LLC)	170.3	105.2												224.6	50.9	22.7%
Employer Compensation Expense Tax															0.1	100.0%
Gross Receipts	12,240.7	3,481.9												12,726.1	3,596.5	28.7%
Transfers to School Tax Relief Fund																0.0%
Transfers to Revenue Bond Tax Fund	(4,607.7)	(1,242.3)												(4,019.6)	1,820.4	45.5%
Refunds Issued	(3,025.2)	(997.3)												(4,086.9)	(64.4)	-1.6%
Total Personal Income Tax	4,607.8	1,242.3												4,019.6	1,830.5	45.5%
Consumption/Use Taxes																
Sales and Use	539.3	551.4												1,038.9	51.8	5.0%
Auto Rental																0.0%
Cigarette/tobacco Products	26.0	25.8												53.9	(2.1)	-3.9%
Motor Fuel																0.0%
Alcoholic Beverage	20.0	20.9												31.5	9.4	29.6%
Highway Use																0.0%
Metropolitan Commuter Trans. Taxicab Trip																0.0%
Total Consumption/Use Taxes	585.3	598.1												1,124.3	59.1	5.3%
Business Taxes																
Corporate Franchise	274.1	(75.0)												227.3	(28.2)	-12.4%
Corporate and Utilities	16.3	1.8												1.0	17.1	170.0%
Insurance	127.4	(3.6)												34.2	89.6	282.0%
Bank	125.4	(104.7)												(36.6)	57.3	156.6%
Petroleum Business																0.0%
Total Business Taxes	543.2	(81.5)												225.9	135.8	60.1%
Other Taxes																
Real Property Gains																0.0%
Estate and Gift	79.7	57.3												131.5	5.5	4.2%
Par-Mutual	0.9	1.1												2.2	(0.2)	-4.1%
Real Estate Transfer																0.0%
Racing and Exhibitions														0.3	0.7	-57.1%
Metropolitan Commuter Trans. Mobility																0.0%
Total Other Taxes	80.8	58.5												134.4	4.9	3.6%
Total Taxes	5,817.1	1,717.4												5,504.2	2,030.3	36.9%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	0.9	0.1												0.6	0.4	66.7%
Other	0.2	0.3												1.2	(0.7)	-58.3%
Assessments:																
Business																0.0%
Medical Care																0.0%
Public Utilities																0.0%
Other														0.1	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.0												12.2	(0.5)	-4.1%
Audit Fees																0.0%
Business/Professional	11.1	18.1												23.0	6.2	27.0%
Civil	25.0	13.4												36.3	2.1	5.8%
Criminal	0.2	0.1												0.3	0.3	0.0%
Motor Vehicle	33.2	34.9												54.6	13.5	24.7%
Recreational/Consumer	1.2	1.5												2.6	0.1	3.8%
Fines, Penalties and Forfeitures	618.1	154.6												772.7	663.1	605.0%
Interest Earnings	21.8	16.8												27.4	11.2	40.9%
Receipts from Public Authorities:																
Cost Recovery Assessments																0.0%
Insurance																-100.0%
Non Bond Related																0.0%
Receipts from Municipalities	0.1	-												33.4	(16.7)	-50.0%
Rentals	0.3	0.1												0.3	0.1	33.3%
Revenues of State Departments:																
Administrative Recoveries	0.5	1.0												1.3	0.2	15.4%
Commissions	0.1	0.2												0.3	0.3	100.0%
Grants and Donations																0.0%
Indirect Cost Recoveries	5.6	5.2												10.2	0.6	-106.9%
Patient/Client Care Reimbursement	(7.3)	2.7												(11.2)	6.6	-58.9%
Rebates	(0.6)	1.7												(1.4)	2.5	178.6%

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2 Months Ended May 31												% Increase/ Decrease
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-
Student Loans	6.1	18.3	-	-	-	-	-	-	-	-	-	-	-
All Other	0.1	-	-	-	-	-	-	-	-	-	-	-	-
Sales	741.0	278.3	-	-	-	-	-	-	-	-	-	-	-
Total Miscellaneous Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal Receipts	6,558.1	1,996.7	-	-	-	-	-	-	-	-	-	-	-
Total Receipts	-	-	-	-	-	-	-	-	-	-	-	-	-
DISBURSEMENTS:													
Local Assistance Grants	917.0	3,979.7	-	-	-	-	-	-	-	-	-	-	-
Education	0.1	0.1	-	-	-	-	-	-	-	-	-	-	-
Environment and Recreation	1.7	15.7	-	-	-	-	-	-	-	-	-	-	-
General Government	3,296.6	1,588.6	-	-	-	-	-	-	-	-	-	-	-
Public Health:	150.2	120.3	-	-	-	-	-	-	-	-	-	-	-
Other Public Health	15.0	120.3	-	-	-	-	-	-	-	-	-	-	-
Public Safety	87.8	84.9	-	-	-	-	-	-	-	-	-	-	-
Public Welfare	6.0	7.7	-	-	-	-	-	-	-	-	-	-	-
Support and Regulate Business	-	23.9	-	-	-	-	-	-	-	-	-	-	-
Transportation	4,470.0	5,834.3	-	-	-	-	-	-	-	-	-	-	-
Total Local Assistance Grants	688.8	1,071.8	-	-	-	-	-	-	-	-	-	-	-
Departmental Operations:	159.0	249.2	-	-	-	-	-	-	-	-	-	-	-
Personal Service	728.2	2,245.3	-	-	-	-	-	-	-	-	-	-	-
Non-Personal Service	6,046.0	9,504.6	-	-	-	-	-	-	-	-	-	-	-
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	512.1	(7,507.9)	-	-	-	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	4,607.3	1,126.2	-	-	-	-	-	-	-	-	-	-	-
OTHER FINANCING SOURCES (USES):													
Transfers from Revenue Bond Tax Fund	452.0	302.7	-	-	-	-	-	-	-	-	-	-	-
Transfers from LGAC / STRBTF	70.3	86.0	-	-	-	-	-	-	-	-	-	-	-
Transfers from CMICA Fund	4.0	1.0	-	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	248.8	(406.3)	-	-	-	-	-	-	-	-	-	-	-
Transfers to State Capital Projects	(250.0)	(10.7)	-	-	-	-	-	-	-	-	-	-	-
Transfers to All Other Capital Projects	(134.7)	(10.7)	-	-	-	-	-	-	-	-	-	-	-
Transfers to General Debt Service	(294.6)	(447.3)	-	-	-	-	-	-	-	-	-	-	-
Transfers to All Other State Funds	4,250.9	760.8	-	-	-	-	-	-	-	-	-	-	-
Total Other Financing Sources (Uses)	4,753.0	(6,747.1)	-	-	-	-	-	-	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$ 11,988.7	\$ 5,221.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Fund Balance	-	-	-	-	-	-	-	-	-	-	-	-	-

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

Beginning Fund Balance	2019		2 Months Ended May 31												Intra-Fund Transfer Eliminations (1)	2019	2018		% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				\$	\$	(Decrease)	
RECEIPTS:	\$ 3,842.4	\$ 7,002.4														\$ 3,842.4	\$ 4,302.1	\$ (459.7)	-10.7%
Taxes:																			
Personal Income Tax	-	-																-	0.0%
Consumption/Use Taxes:																			
Sales and Use	124.1	78.4															171.2	29.3	17.1%
Auto Rental	-	-															0.8	(0.8)	-100.0%
Cigarette/Tobacco Products	63.2	58.4															132.9	(10.3)	-7.8%
Alcohol/Taxipharma	14.4	8.4															17.1	1.9	11.1%
Motor Fuel	9.6	9.4															-	-	0.0%
Alcoholic Beverage	-	-															0.6	(0.5)	-83.3%
Highway Use	-	0.1															13.5	(13.5)	-100.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-															6.3	6.3	100.0%
Other Receipts:																			
Corporate Franchise	102.6	6.0															146.4	(37.8)	-25.8%
Corporation and Utilities	23.4	(0.5)															24.4	(1.5)	-6.1%
Insurance	14.4	8.6															6.7	16.3	243.3%
Banking	19.5	(16.9)															6.2	27.6	445.0%
Petroleum Business	44.1	43.9															81.2	6.8	8.4%
Total Business Taxes	265.4	41.6														248.0	255.9	(6.9)	-3.9%
Total Taxes	401.8	187.3														596.1	592.5	(3.4)	-0.6%
Miscellaneous Receipts:																			
Abandoned Property:																			
Assessments:	1.1	1.1															1.9	0.3	15.8%
Business:	70.9	79.7															142.9	0.8	0.6%
Medical Care	625.7	516.7															892.8	152.8	15.4%
Public Utilities	-	-															1.8	3.3	183.3%
Other	-	0.1															0.8	(0.7)	-87.5%
Fees, Licenses and Permits:																			
Audit Fees	-	9.9															0.3	9.5	189.7%
Business/Professional	48.6	38.9															76.8	9.9	11.6%
Civil	3.6	4.6															9.2	(1.0)	-10.9%
Criminal	0.3	0.4															1.1	(0.4)	-36.4%
Motor Vehicle	26.0	26.6															88.9	(37.3)	-41.5%
Recreational/Consumer	54.0	45.6															95.1	4.5	4.7%
Financial Services and Foreclosures	6.3	58.9															67.8	6.7	12.3%
Gaming:																			
Casino	31.4	18.8															43.7	6.5	14.9%
Lottery	218.4	262.0															435.1	45.3	10.4%
Video Lottery	78.6	98.5															161.5	3.6	2.2%
Intoxicant Sales	20.8	21.0															22.4	19.5	87.1%
Receipts from Public Authorities:																			
Band Proceeds	-	-															-	-	0.0%
Cost Recovery Assessments	-	-															-	-	0.0%
Insurance Fees	2.0	1.0															7.2	(4.2)	-58.3%
Gifts and Donations	0.6	4.7															10.3	(5.0)	-48.5%
Rentals	9.4	2.7															7.0	5.1	72.9%
Revenues of State Departments:	39.0	28.2															71.3	(4.1)	-5.8%
Administrative Recoveries:																	23.6	(20.4)	-86.4%
Commissions:	1.4	1.8															0.9	0.9	0.0%
Commissions - Asset Conversion	0.5	0.4															-	-	0.0%
Gifts, Grants and Donations	0.8	1.0															2.3	(0.5)	-21.7%
Indirect Cost Recoveries	0.9	-															451.5	(91.0)	-20.2%
Patient/Client Care Reimbursement	204.3	159.2															-	0.9	100.0%
Reimbursement of Other Funds	1.2	1.2															26.6	(24.2)	-89.9%
Regulation and Settlements	7.8	1.2															48.2	(40.4)	-82.1%
Student Loans	1.2	1.2															12.6	(2.0)	-15.9%
All Other	41.2	36.9															86.1	(8.0)	-9.3%
Sales	0.9	0.8															4.0	(2.3)	-57.5%
Tuition	0.9	4.8															107.7	4.0	3.6%
Total Miscellaneous Receipts	1,371.9	1,462.6														3,034.5	2,881.7	52.8	1.8%
Federal Receipts	6,203.7	4,816.3														11,020.0	8,419.2	2,601.8	30.9%
Total Receipts	8,177.4	6,468.2														14,640.6	11,992.4	2,651.2	22.1%

EXHIBIT G

(4) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2 Months Ended May 31												% Increase/ Decrease
	2019	2019	2018	2018	2017	2017	2016	2016	2015	2015	2014	2014	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 5,098.8	\$ 6,434.7											
RECEIPTS:													
Taxes:													
Personal Income Tax	-	-	-	-	-	-	-	-	-	-	-	-	-
Consumption/Use Taxes:													
Sales and Use	124.1	76.4	-	-	-	-	-	-	-	-	-	-	29.3
Auto Rental	-	-	-	-	-	-	-	-	-	-	-	-	(0.8)
Cigarette/Tobacco Products	63.2	59.4	-	-	-	-	-	-	-	-	-	-	(10.3)
Medical Marijuana	0.5	0.4	-	-	-	-	-	-	-	-	-	-	0.4
Motor Fuel	9.6	9.4	-	-	-	-	-	-	-	-	-	-	17.1
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Sales Tax	-	0.1	-	-	-	-	-	-	-	-	-	-	(0.5)
Miscellaneous	-	-	-	-	-	-	-	-	-	-	-	-	(13.5)
Motor Vehicle Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Consumption/Use Taxes	197.4	145.7	-	-	-	-	-	-	-	-	-	-	6.5
Business Taxes													
Corporation Franchise	102.6	6.0	-	-	-	-	-	-	-	-	-	-	(37.8)
Corporation and Utilities	23.4	(0.5)	-	-	-	-	-	-	-	-	-	-	(1.5)
Insurance	14.4	8.6	-	-	-	-	-	-	-	-	-	-	16.3
Bank	19.9	(16.4)	-	-	-	-	-	-	-	-	-	-	6.3
Petroleum Business	44.1	43.9	-	-	-	-	-	-	-	-	-	-	81.2
Total Business Taxes	204.4	41.6	-	-	-	-	-	-	-	-	-	-	255.9
Total Taxes	401.8	187.3	-	-	-	-	-	-	-	-	-	-	(3.4)
Miscellaneous Receipts:													
Abandoned Property:													
Assessments:	1.1	1.1	-	-	-	-	-	-	-	-	-	-	0.3
Business:	66.4	33.6	-	-	-	-	-	-	-	-	-	-	101.6
Medical Care	625.7	519.7	-	-	-	-	-	-	-	-	-	-	992.6
Public Utilities	5.1	-	-	-	-	-	-	-	-	-	-	-	1.8
Other	-	0.1	-	-	-	-	-	-	-	-	-	-	0.6
Fees:	-	-	-	-	-	-	-	-	-	-	-	-	0.3
Assessments and Permits:	-	0.8	-	-	-	-	-	-	-	-	-	-	85.5
Business/Professional	48.6	36.9	-	-	-	-	-	-	-	-	-	-	76.6
Civil	3.6	4.6	-	-	-	-	-	-	-	-	-	-	8.2
Criminal	0.3	0.4	-	-	-	-	-	-	-	-	-	-	1.1
Motor Vehicle	26.0	26.6	-	-	-	-	-	-	-	-	-	-	89.9
Recreational/Consumer	54.0	45.6	-	-	-	-	-	-	-	-	-	-	98.6
Fines, Penalties and Forfeitures	7.6	67.2	-	-	-	-	-	-	-	-	-	-	74.8
Gaming:	31.4	18.8	-	-	-	-	-	-	-	-	-	-	50.2
Lottery	218.4	262.0	-	-	-	-	-	-	-	-	-	-	489.4
Video Lottery	76.6	88.5	-	-	-	-	-	-	-	-	-	-	165.1
Interest Earnings	19.4	18.2	-	-	-	-	-	-	-	-	-	-	21.2
Receipts from Public Authorities:	-	-	-	-	-	-	-	-	-	-	-	-	-
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-
Cost Recovery Assessments	2.0	1.0	-	-	-	-	-	-	-	-	-	-	17.2
Insurance Fees	0.6	0.6	-	-	-	-	-	-	-	-	-	-	15.3
Non Bond Related	9.4	2.7	-	-	-	-	-	-	-	-	-	-	12.1
Receipts from Municipalities	39.0	28.2	-	-	-	-	-	-	-	-	-	-	71.3
Revenues of State Departments:													
Administrative Recoveries	1.4	1.8	-	-	-	-	-	-	-	-	-	-	23.6
Commissions	0.5	0.4	-	-	-	-	-	-	-	-	-	-	0.9
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-
Gifts, Grants and Donations	0.8	1.0	-	-	-	-	-	-	-	-	-	-	2.3
Indirect Cost Recoveries	0.9	-	-	-	-	-	-	-	-	-	-	-	(0.5)
Patent/Client Care Reimbursement	204.3	156.2	-	-	-	-	-	-	-	-	-	-	451.5
Realities	9.2	1.0	-	-	-	-	-	-	-	-	-	-	8.2
Repayments and Settlements	7.6	3.1	-	-	-	-	-	-	-	-	-	-	46.2
Student Loans	40.9	36.9	-	-	-	-	-	-	-	-	-	-	12.6
All Other	0.9	0.8	-	-	-	-	-	-	-	-	-	-	86.0
Sales	52.0	48.1	-	-	-	-	-	-	-	-	-	-	87.3
Tuition	1,557.2	1,411.2	-	-	-	-	-	-	-	-	-	-	2,968.4
Total Miscellaneous Receipts	1,958.0	1,588.5	-	-	-	-	-	-	-	-	-	-	2,970.0
Federal Receipts	-	-	-	-	-	-	-	-	-	-	-	-	(2.6)
Total Receipts	1,958.0	1,588.5	-	-	-	-	-	-	-	-	-	-	3,509.9
2019	\$ 5,098.8	\$ 6,434.7											\$ 4,008.5
2018													\$ 1,082.3
% Increase/Decrease													27.0%

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2 Months Ended May 31												% Increase/ Decrease
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	
DISBURSEMENTS:													
Local Assistance Grants													
Education	0.2	0.5	-	-	-	-	-	-	-	-	-	-	0.5
Environment and Recreation	0.1	-	-	-	-	-	-	-	-	-	-	-	(0.2)
General Government	25.4	18.7	-	-	-	-	-	-	-	-	-	-	0.3
Public Health:													6.1
Medicaid:	(1.1)	881.9	-	-	-	-	-	-	-	-	-	-	58.8
Other Public Health	60.1	32.4	-	-	-	-	-	-	-	-	-	-	(0.2)
Public Safety:	20.1	14.4	-	-	-	-	-	-	-	-	-	-	58.8
Public Welfare	0.9	0.8	-	-	-	-	-	-	-	-	-	-	2.7
Support and Regulate Business	1.1	6.7	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	68.3	402.7	-	-	-	-	-	-	-	-	-	-	6.6
Total Local Assistance Grants	175.1	1,358.1	-	-	-	-	-	-	-	-	-	-	(95.5)
Departmental Operations:													1,561.6
Personal Service	401.7	580.0	-	-	-	-	-	-	-	-	-	-	44.4
Non-Personal Service	244.3	248.5	-	-	-	-	-	-	-	-	-	-	62.1
Miscellaneous State Charges	64.0	67.2	-	-	-	-	-	-	-	-	-	-	(45.5)
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	885.1	2,274.1	-	-	-	-	-	-	-	-	-	-	32.9
Excess (Deficiency) of Receipts over Disbursements	1,073.9	(675.6)	-	-	-	-	-	-	-	-	-	-	14.7
OTHER FINANCING SOURCES (USES):													3.8%
Transfers from Other Funds	308.3	585.3	-	-	-	-	-	-	-	-	-	-	228.9
Transfers to Other Funds	(38.3)	(6.3)	-	-	-	-	-	-	-	-	-	-	105.4
Total Other Financing Sources (Uses)	270.0	579.0	-	-	-	-	-	-	-	-	-	-	121.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,343.9	(96.6)	-	-	-	-	-	-	-	-	-	-	136.2
Ending Fund Balance	\$ 6,434.7	\$ 6,338.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,218.5
													\$ 5,119.6
													23.8%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

Beginning Fund Balance	2019												% Increase/ Decrease
	2 Months Ended May 31												
	2019	2018											
	2019	2018											
	\$	\$											\$
RECEIPTS:													
Miscellaneous Receipts:													
Abandoned Property	-	-											-
Assessments:	4.5	43.6											2.4
Business	-	-											-
Public Care	-	-											-
Public Utilities	-	-											-
Other	-	-											-
Fees, Licenses and Permits:	-	-											-
Business/Professional	-	-											-
Civil	-	-											-
Criminal	-	-											-
Motor Vehicle	-	-											-
Recreational/Consumer	-	-											-
Fines, Penalties and Forfeitures	0.7	1.5											-
Interest Earnings	1.5	4.3											(0.2)
Receipts from Public Authorities:	-	-											-
Bond Proceeds	-	-											3.1
Cost Recovery Assessments	-	-											-
Insurance Fees	-	-											-
Non Bond Related	-	-											-
Receipts from Municipalities	-	-											-
Rentals	-	-											-
Revenues of State Departments:	-	-											-
Administrative Recoveries	-	-											-
Commissions	-	-											-
Gifts, Grants and Donations	-	-											-
Indirect Cost Recoveries	-	-											-
Indirect Cost Recoveries	-	-											-
Patient/Client Care Reimbursement	-	16.4											(1.0)
Rebates	7.7	8.7											-
Restitution and Settlements	-	-											-
Student Loans	-	0.3											0.2
All Other	0.3	-											0.1
Sales	-	-											(0.1)
Tuition	-	-											-
Total Miscellaneous Receipts	14.7	66.1											4.4
Federal Receipts	6,203.7	11,020.0											2,599.2
Total Receipts	6,218.4	11,086.1											2,603.6
													30.7%

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020	JANUARY	FEBRUARY	MARCH	2019	2018	2 Months Ended May 31	% Increase/ (Decrease)	% Increase/ Decrease
	APRIL																	
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	337.5	590.6												928.1	983.9	(55.8)	(5.7%)	(5.7%)
Environment and Recreation	0.1	0.1												0.2	0.3	(0.1)	-33.3%	-33.3%
General Government	2.0	3.5												5.5	5.1	0.4	7.8%	7.8%
Public Health:																		
Medicaid	3,116.5	3,681.2												6,797.7	6,109.2	688.5	11.3%	11.3%
Other Public Health	463.3	487.8												971.1	827.0	144.1	17.4%	17.4%
Public Safety	176.2	170.5												357.7	353.1	4.6	1.3%	1.3%
Public Welfare	150.3	119.2												207.6	485.5	(277.9)	-136.2%	-136.2%
Support and Regulate Business	0.3	1.2												1.5	0.1	1.4	1,400.0%	1,400.0%
Transportation	4.0	5.8												9.8	6.9	2.9	42.0%	42.0%
Total Local Assistance Grants	4,211.3	5,035.9	-	-	-	-	-	-	-	-	-	-	-	9,251.2	8,381.1	870.1	7.8%	7.8%
Departmental Operations:																		
Personal Service	49.3	65.8												115.1	121.9	(6.8)	-5.6%	-5.6%
Non-Personal Service	70.1	76.3												146.4	160.4	(14.0)	-8.7%	-8.7%
General State Charges	21.4	24.1												45.5	66.7	(21.2)	-31.8%	-31.8%
Capital Projects	-	-												-	-	-	0.0%	0.0%
Total Disbursements	4,352.1	5,205.1	-	-	-	-	-	-	-	-	-	-	-	9,559.2	8,530.1	1,029.1	7.0%	7.0%
Excess (Deficiency) of Receipts over Disbursements	1,866.3	(338.4)	-	-	-	-	-	-	-	-	-	-	-	1,527.9	(447.6)	1,975.5	441.4%	441.4%
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	-	-												-	-	-	0.0%	0.0%
Transfers to Other Funds	(50.2)	(295.5)												(345.7)	(216.4)	132.3	61.1%	61.1%
Total Other Financing Sources (Uses)	(50.2)	(295.5)	-	-	-	-	-	-	-	-	-	-	-	(345.7)	(216.4)	132.3	61.1%	61.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,816.1	(635.9)	-	-	-	-	-	-	-	-	-	-	-	1,179.2	(664.0)	1,843.2	277.6%	277.6%
Ending Fund Balance	\$ 567.7	\$ (69.2)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (69.2)	\$ (370.4)	\$ 301.2	81.3%	81.3%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT H

	2 Months Ended May 31																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
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	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
Beginning Fund Balance	\$ 64.8	\$ 314.8																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019		2020												2 Months Ended May 31		% Increase/ Decrease			
	APRIL		JUNE												2018					
	\$	(1,137.9)	\$	(1,018.2)	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Transfer Eliminations (*)	\$		(1,151.2)	\$	13.3
Beginning Fund Balance																				
RECEIPTS:																				
Taxes:																				
Consumption/Use Taxes:																				
Alcohol	3.5	0.3																		
Motor Fuel	36.4	34.8																		
Highway Use	14.2	10.6																		
Total Consumption/Use Taxes	54.1	45.7																		
Business Taxes:																				
Corporation Franchise	-	-																		
Corporate and Utilities	3.3	(0.1)																		
Petroleum Business	56.3	55.8																		
Total Business Taxes	59.6	55.7																		
Other Taxes:																				
Real Estate Transfer	-	-																		
Total Other Taxes	-	-																		
Total Taxes	113.7	101.4																		
Miscellaneous Receipts:																				
Abandoned Property:																				
Bottle Bill	-	-																		
Assessments:																				
Business	10.1	9.3																		
Fees, Licenses and Permits:																				
Business/Professional	0.8	5.6																		
Civil	-	-																		
Motor Vehicle	67.0	69.3																		
Recreational/Consumer	0.2	0.1																		
Fines, Penalties and Forfeitures	2.3	2.0																		
Interest Earnings	1.1	1.0																		
Receipts from Public Authorities:																				
Bond Proceeds	200.8	2.8																		
Insurance Fees	-	-																		
Non Bond Related	3.5	0.1																		
Receipts from Municipalities	0.3	-																		
Rentals	0.9	0.8																		
Revenues of State Departments:																				
Administrative Recoveries	-	-																		
Gifts, Grants and Donations	10.1	0.5																		
Indirect Cost Recoveries	(0.9)	-																		
Rebates	-	0.1																		
Restitution and Settlements	0.2	0.5																		
All Other	3.6	0.8																		
Sales	304.2	92.9																		
Total Miscellaneous Receipts																				
Federal Receipts	38.3	142.9																		
Total Receipts	456.2	337.2																		

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	Intra-Func Transfer Eliminations (*)	2 Months Ended May 31		% Increase/ Decrease
														2019	2018	
DISBURSEMENTS:																
Local Assistance Grants:																
Education	9.2	3.2											-	12.4	24.9	-50.2%
Environment and Recreation	25.1	33.5											-	58.6	37.1	58.0%
General Government	31.2	121.8											-	153.0	122.8	24.8%
Public Health:																
Medicaid	-	-											-	-	-	0.0%
Other Public Health	30.8	41.6											-	72.4	36.7	97.3%
Public Safety	10.0	(0.1)											-	9.9	1.6	8.3
Public Welfare	-	10.9											-	10.9	176.5	-93.8%
Support and Regulate Business	28.7	149.2											-	175.9	132.8	32.5%
Transportation	232.7	44.7											-	277.4	83.5	195.4%
Total Local Assistance Grants	365.7	404.8											-	770.5	835.9	23.1%
Departmental Operations:																
Personal Service	-	-											-	-	-	0.0%
Non-Personal Service	-	-											-	-	-	0.0%
General State Charges	-	-											-	-	-	0.0%
Capital Projects	434.1	528.1											-	982.2	951.6	1.1%
Total Disbursements	799.8	932.9											-	1,732.7	1,577.5	9.8%
Excess (Deficiency) of Receipts over Disbursements	(345.6)	(595.7)											-	(939.3)	(728.6)	-28.9%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds	-	-											-	-	-	0.0%
Transfers from Other Funds	500.2	412.4											-	921.6	529.3	392.3
Transfers to Other Funds	(45.9)	(47.7)											-	(63.6)	(53.1)	74.1%
Total Other Financing Sources (Uses)	454.3	364.7											-	858.0	476.2	73.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	108.7	(231.0)											-	(111.3)	(252.4)	55.9%
Ending Fund Balance	\$ (1,018.2)	\$ (1,249.2)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,249.2)	\$ (1,403.6)	11.0%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2 Months Ended May 31											
	2019		2018		2020		2019		2018		% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH
Beginning Fund Balance	\$ (633.2)	\$ (446.5)								\$ (568.4)	\$ (64.8)	-11.4%
RECEIPTS:												
Taxes:												
Consumption/Use Taxes												
Auto Rental	3.5	0.3								0.6	3.2	533.3%
Motor Fuel	36.4	34.8								65.7	5.5	8.4%
Highway Use	14.2	10.6								27.4	(2.6)	-9.5%
Total Consumption/Use Taxes	54.1	45.7								93.7	6.1	6.5%
Business Taxes												
Corporation Franchise	-	-								-	-	0.0%
Corporation and Utilities	3.3	(0.1)								3.1	0.1	3.2%
Petroleum Business	56.3	55.8								102.6	9.5	9.3%
Total Business Taxes	59.6	55.7								105.7	9.6	9.1%
Other Taxes												
Real Estate Transfer	-	-								-	-	0.0%
Total Other Taxes	-	-								-	-	0.0%
Total Taxes	113.7	101.4								199.4	15.7	7.9%
Miscellaneous Receipts:												
Abandoned Property:												
Bottle Bill	-	-								-	-	0.0%
Assessments:												
Fees, Licenses and Permits:	10.1	9.3								24.8	(5.4)	-21.8%
Business:												
Business/Professional	0.8	5.6								13.4	(7.0)	-52.2%
Civil	-	-								-	-	0.0%
Motor Vehicle	67.0	69.3								140.9	(4.6)	-3.3%
Recreational/Consumer	0.2	0.1								0.3	-	0.0%
Fines, Penalties and Forfeitures	2.3	2.0								4.8	(0.5)	-10.4%
Interest Earnings	1.1	1.0								1.6	0.5	31.3%
Receipts from Public Authorities:												
Bond Proceeds	200.8	2.8								340.1	(136.5)	-40.1%
Issuance Fees	-	-								-	-	0.0%
Non Bond Related	3.5	0.1								1.0	2.6	260.0%
Receipts from Municipalities	0.3	-								0.2	0.1	50.0%
Rentals	0.8	0.8								1.5	0.1	6.7%
Revenues of State Departments:												
Administrative Recoveries	-	-								-	-	0.0%
Gifts, Grants and Donations	10.1	0.5								(0.6)	11.2	1,866.7%
Indirect Cost Recoveries	(0.9)	-								-	(0.9)	-100.0%
Rebates	-	0.1								0.1	-	0.0%
Restitution and Settlements	0.2	0.5								5.0	(4.3)	-86.0%
All Other	3.6	0.8								3.0	1.4	46.7%
Sales	4.2									0.1	4.1	4,100.0%
Total Miscellaneous Receipts	304.1	92.9								536.2	(139.2)	-26.0%
Federal Receipts	-	-								-	-	0.0%
Total Receipts	417.8	194.3								735.6	(123.5)	-16.8%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2 Months Ended May 31			% Increase/ Decrease
													2019	2018	\$ Increase/ (Decrease)	
DISBURSEMENTS:																
Local Assistance Grants:																
Education	9.2	3.2											12.4	24.9	(12.5)	-50.2%
Environment and Recreation	25.1	33.5											56.6	37.1	21.5	58.0%
General Government	31.2	121.8											153.0	122.8	30.2	24.6%
Public Health:																
Medicaid	-	-											-	-	-	0.0%
Other Public Health	30.8	41.6											72.4	36.7	35.7	97.3%
Public Safety	10.0	(0.1)											9.9	1.6	8.3	518.8%
Public Welfare	-	10.9											10.9	176.5	(165.6)	-93.8%
Support and Regulate Business	26.7	149.2											175.9	132.8	43.1	32.5%
Transportation	192.7	7.1											195.8	5.4	194.4	3,600.0%
Total Local Assistance Grants	325.7	367.2	-	-	-	-	-	-	-	-	-	-	692.9	537.8	155.1	28.8%
Departmental Operations:																
Personal Service	-	-											-	-	-	0.0%
Non-Personal Service	-	-											-	-	-	0.0%
General State Charges	-	-											-	-	-	0.0%
Capital Projects	368.7	444.7											813.4	807.7	5.7	0.7%
Total Disbursements	694.4	811.9	-	-	-	-	-	-	-	-	-	-	1,506.3	1,345.5	160.8	12.0%
Excess (Deficiency) of Receipts over Disbursements	(276.6)	(617.6)	-	-	-	-	-	-	-	-	-	-	(894.2)	(609.9)	(284.3)	-45.6%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-											-	-	-	0.0%
Transfers from Other Funds	509.2	412.4											921.6	529.3	392.3	74.1%
Transfers to Other Funds	(45.9)	(47.7)											(93.6)	(53.1)	40.5	76.3%
Total Other Financing Sources (Uses)	463.3	364.7	-	-	-	-	-	-	-	-	-	-	828.0	476.2	351.8	73.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	186.7	(252.9)	-	-	-	-	-	-	-	-	-	-	(66.2)	(133.7)	67.5	50.5%
Ending Fund Balance	\$ (446.5)	\$ (699.4)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (699.4)	\$ (702.1)	\$ 2.7	0.4%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT I

	2 Months Ended May 31												% Increase/ Decrease			
	2019	2018														
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$	%
Beginning Fund Balance	\$ (504.7)	\$ (571.7)											\$ (504.7)	\$ (582.8)	\$	13.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.1	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Miscellaneous Receipts	0.1	-	-	-	-	-	-	-	-	-	-	-	0.1	0.1	-	0.0%
Federal Receipts	38.3	142.9											181.2	113.2	68.0	60.1%
Total Receipts	38.4	142.9	-	-	-	-	-	-	-	-	-	-	181.3	113.3	68.0	60.0%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Safety	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Local Assistance Grants	40.0	37.6	-	-	-	-	-	-	-	-	-	-	77.6	88.1	(10.5)	-11.9%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	65.4	83.4											148.8	143.9	4.9	3.4%
Total Disbursements	105.4	121.0	-	-	-	-	-	-	-	-	-	-	226.4	232.0	(5.6)	-2.4%
Excess (Deficiency) of Receipts over Disbursements	(67.0)	21.9	-	-	-	-	-	-	-	-	-	-	(45.1)	(118.7)	73.6	62.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(67.0)	21.9	-	-	-	-	-	-	-	-	-	-	(45.1)	(118.7)	73.6	62.0%
Ending Fund Balance	\$ (571.7)	\$ (549.8)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (549.8)	\$ (701.5)	\$ 151.7	21.6%

EXHIBIT J

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2019	2020										2 Months Ended May 31		% Increase Decrease		
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	\$ (Decrease)		\$ (Increase)	
	\$ 26.6	\$ 26.6											\$ 26.6		\$ 24.6	\$ 2.0
Beginning Fund Balance																
RECEIPTS:																
Miscellaneous Receipts	4.8	6.1											10.9	9.9	1.0	10.1%
Federal Receipts	1.1	1.0											2.1	2.2	(0.1)	-4.5%
Unemployment Taxes	187.5	139.2											326.7	339.2	(12.5)	-3.7%
Total Receipts	193.4	146.3	-	-	-	-	-	-	-	-	-	-	339.7	351.3	(11.6)	-3.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.3	0.6											0.9	1.0	(0.1)	-10.0%
Non-Personal Service	3.6	5.1											8.7	6.9	1.8	26.1%
General State Charges	0.1	-											0.1	0.1	-	0.0%
Unemployment Benefits	189.4	140.3											329.7	341.5	(11.8)	-3.5%
Total Disbursements	193.4	146.0	-	-	-	-	-	-	-	-	-	-	339.4	349.5	(10.1)	-2.9%
Excess (Deficiency) of Receipts over Disbursements	-	0.3	-	-	-	-	-	-	-	-	-	-	0.3	1.8	(1.5)	-83.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-											-	-	-	0.0%
Transfers to Other Funds	-	-											-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	-	0.3	-	-	-	-	-	-	-	-	-	-	0.3	1.8	(1.5)	-83.3%
Ending Fund Balance	\$ 26.6	\$ 26.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26.9	\$ 26.4	\$ 0.5	1.9%

EXHIBIT K

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EXHIBIT L

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

	2 Months Ended May 31												\$ Increase/ (Decrease)	% Increase/ Decrease		
	2019															
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (3.0)	\$ (7.4)											2019 \$ (3.0)	2018 \$ (2.0)	\$ (1.0)	-50.0%
RECEIPTS:																
Miscellaneous Receipts	5.3	7.8											13.1	13.1	-	0.0%
Total Receipts	5.3	7.8											13.1	13.1	-	0.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	5.3	7.7											13.0	13.1	(0.1)	-0.8%
Non-Personal Service	1.1	1.1											2.2	2.3	(0.1)	-4.3%
General State Charges	3.3	3.4											6.7	7.8	(1.1)	-14.1%
Total Disbursements	9.7	12.2											21.9	23.2	(1.3)	-5.6%
Excess (Deficiency) of Receipts over Disbursements	(4.4)	(4.4)											(8.8)	(10.1)	1.3	12.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-											-	-	-	0.0%
Transfers to Other Funds	-	-											-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-											-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.4)	(4.4)											(8.8)	(10.1)	1.3	12.9%
Ending Fund Balance	\$ (7.4)	\$ (11.8)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (11.8)	\$ (12.1)	\$ 0.3	2.5%

EXHIBIT M

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SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF MAY 2019
(amounts in millions)

	BALANCE MAY 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MAY 31, 2019
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.224	\$ 5,833.575	\$ 5,833.351	\$ -
10050-10099-State Operations Account	11,933.399	1,996.396	3,670.258	(5,072.551)	5,186.986
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	35.341	-	0.696	-	34.645
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	0.058	0.058	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	11,968.740	1,996.678	9,504.587	760.800	5,221.631
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.810	0.002	0.001	-	0.811
20100-20299-Combined Expendable Trust	69.351	0.735	0.464	-	69.622
20300-20349-New York Interest on Lawyer Account	64.477	5.589	0.239	-	69.827
20350-20399-NYS Archives Partnership Trust	0.056	-	0.039	-	0.017
20400-20449-Child Performer's Protection	0.507	0.007	0.073	-	0.441
20450-20499-Tuition Reimbursement	8.056	0.166	0.318	-	7.904
20500-20549-New York State Local Government Records Management Improvement	4.725	0.736	0.293	-	5.168
20550-20599-School Tax Relief	0.002	-	-	-	0.002
20600-20649-Charter Schools Stimulus	6.488	0.014	0.532	-	5.970
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	543.141	507.121	758.941	(7.759)	283.562
20850-20899-Dedicated Mass Transportation Trust	77.607	55.626	64.552	-	68.681
20900-20949-State Lottery	654.363	350.773	3.188	-	1,001.948
20950-20999-Combined Student Loan	31.049	1.262	0.153	-	32.158
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.434)	-	0.081	-	(3.515)
21050-21149-Encon Special Revenue	(0.742)	3.149	8.746	-	(6.339)
21150-21199-Conservation	73.012	3.540	3.374	-	73.178
21200-21249-Environmental Protection and Oil Spill Compensation	36.779	2.407	2.059	(3.208)	33.919
21250-21299-Training and Education Program on OSHA	18.065	0.028	4.733	-	13.360
21300-21349-Lawyers' Fund for Client Protection	5.803	0.498	0.087	-	6.214
21350-21399-Equipment Loan for the Disabled	0.540	0.003	-	-	0.543
21400-21449-Mass Transportation Operating Assistance	416.918	86.625	289.805	15.039	228.777
21450-21499-Clean Air	(27.825)	3.124	3.702	-	(28.403)
21500-21549-New York State Infrastructure Trust	0.070	-	-	-	0.070
21550-21599-Legislative Computer Services	11.926	0.108	0.142	-	11.892
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.467	0.001	-	-	0.468
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.001	-	-	-	0.001
21900-22499-Miscellaneous State Special Revenue	0.951	0.003	-	-	0.954
22500-22549-Court Facilities Incentive Aid	1,240.430	235.327	366.585	54.529	1,163.701
	3.838	0.029	6.746	65.272	62.393

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF MAY 2019
(amounts in millions)

	BALANCE MAY 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MAY 31, 2019
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22500-22599-Employment Training	0.051	-	-	-	0.051
22650-22699-State University Income	1,595.065	257.832	686.822	-	1,648.877
22700-22749-Chemical Dependence Service	10.199	0.996	0.107	482.802	11.088
22750-22799-Lake George Park Trust	(0.008)	0.640	0.130	-	0.502
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	34.499	9.543	0.574	-	43.468
22850-22899-New York Great Lakes Protection	0.513	0.001	0.014	-	0.500
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	9.904	0.021	0.089	-	9.836
23000-23049-NYS/DOT Highway Safety Program	(12.941)	0.173	0.435	-	(13.203)
23050-23099-Vocational Rehabilitation	0.028	0.008	0.008	-	0.028
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(34.504)	-	3.747	3.214	(35.037)
23200-23249-Judiciary Data Processing Offset	31.925	3.782	3.792	-	31.915
23250-23449-JFR/CUTRA	193.865	4.635	7.078	-	191.422
23500-23549-USOC Lake Placid Training	0.191	0.031	-	-	0.222
23550-23599-Indigent Legal Services	307.246	11.439	6.037	-	312.648
23600-23649-Unemployment Insurance Interest and Penalty	35.555	1.371	0.330	-	36.596
23650-23699-MTA Financial Assistance Fund	82.290	0.139	48.850	-	33.579
23700-23749-New York State Commercial Gaming Fund	37.384	19.025	0.403	-	56.006
23750-23799-Medical Marijuana Trust Fund	10.146	0.425	0.842	(0.143)	9.586
23800-23899-Dedicated Miscellaneous State Special Revenue	1.903	0.276	0.025	-	2.154
24850-24899-Health Care Transformation	526.487	0.190	-	-	527.552
24900-24949-Charitable Gifts Trust Fund	93.798	0.190	-	-	93.988
24950-24999-Interactive Fantasy Sports	13.830	0.452	-	-	14.282
40350-40399-State University Dormitory Income	265.099	29.705	-	(30.764)	264.040
TOTAL SPECIAL REVENUE FUNDS-STATE	6,434.629	1,588.622	2,274.136	578.982	6,338.097
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	(3.042)	203.928	202.476	-	(1.590)
25100-25199-Federal Health and Human Services	784.896	3,996.627	4,269.893	(298.476)	213.154
25200-25249-Federal Education	(50.709)	403.113	498.620	(0.030)	(146.246)
25300-25899-Federal Miscellaneous Operating Grants	(295.781)	183.094	187.373	-	(300.060)
25900-25949-Unemployment Insurance Administration	140.500	58.854	31.566	-	167.788
25950-25999-Unemployment Insurance Occupational Training	(0.340)	0.387	0.424	-	(0.377)
26000-26049-Federal Employment and Training Grants	(7.801)	21.628	15.656	-	(1.829)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	567.723	4,867.631	5,206.008	(298.506)	(69.160)
TOTAL SPECIAL REVENUE FUNDS	7,002.352	6,466.253	7,480.144	280.476	6,288.937
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	78.520	25.757	0.018	41.728	145.987
40150-40199-General Debt Service	198.761	1,517.880	101.157	(1,268.131)	347.353
40250-40299-State Housing Debt Service	-	0.447	-	(0.447)	-
40300-40349-Department of Health Income	29.303	10.341	13.987	(5.707)	19.950
40400-40449-Clean Water/Clean Air	-	85.950	-	(85.950)	-
40450-40499-Local Government Assistance Tax	8.203	275.512	8.203	(104.973)	170.539
TOTAL DEBT SERVICE FUNDS	314.787	1,915.887	123.365	(1,423.480)	683.829

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF MAY 2019
(amounts in millions)

	BALANCE MAY 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MAY 31, 2019
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	0.064	406.406	406.342	-
30050-30099-Dedicated Highway and Bridge Trust	(81.727)	184.610	149.000	(45.087)	(91.204)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	146.999	0.297	6.990	3.038	143.344
30300-30349-New York State Canal System Development	11.616	0.523	-	-	12.139
30350-30399-Parks Infrastructure	(32.096)	-	11.678	-	(43.774)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	101.885	1.543	12.559	-	90.869
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	18.876	-	-	-	18.876
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	-	5.551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(571.725)	142.958	121.017	-	(549.784)
31450-31499-Forest Preserve Expansion	1.058	0.002	-	-	1.060
31500-31549-Hazardous Waste Remedial	(99.648)	2.264	6.397	(1.317)	(105.098)
31650-31699-Suburban Transportation	0.528	0.001	-	-	0.529
31700-31749-Division for Youth Facilities Improvement	(18.360)	-	0.388	-	(18.748)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(177.077)	-	9.447	-	(186.524)
31900-31949-Natural Resource Damage	17.067	0.045	0.108	-	17.004
31950-31999-DOT Engineering Services	(12.346)	-	-	-	(12.346)
32200-32249-Miscellaneous Capital Projects	97.769	1.132	5.046	1.837	95.692
32250-32299-CUNY Capital Projects	(0.002)	0.012	-	-	0.010
32300-32349-Mental Hygiene Facilities Capital Improvement	(471.515)	2.919	18.036	-	(486.632)
32350-32399-Correction Facilities Capital Improvement	(219.388)	-	22.858	-	(242.246)
32400-32999-State University Capital Projects	122.361	0.868	1.808	(0.156)	121.265
33000-33049-NYS Storm Recovery Fund	(87.688)	-	0.643	-	(88.331)
33050-33099-Dedicated Infrastructure Investment Fund	228.595	-	160.612	-	67.983
TOTAL CAPITAL PROJECTS FUNDS	(1,018.154)	337.238	932.993	364.657	(1,249.252)
TOTAL GOVERNMENTAL FUNDS	\$ 18,267.725	\$ 10,716.056	\$ 18,041.089	\$ (17.547)	\$ 10,925.145

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF MAY 2019
 (amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE MAY 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE MAY 31, 2019</u>
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	\$ 0.100	\$ 0.002	\$ 0.002	\$ -	\$ 0.100
50050-50099-State Exposition Special	1.524	1.904	0.682	-	2.746
50100-50299-Correctional Services Commissary	4.500	3.454	4.460	-	3.494
50300-50399-Agencies Enterprise	3.474	0.355	0.421	-	3.408
50400-50449-Sheltered Workshop	2.145	0.034	0.012	-	2.167
50450-50499-Patient Workshop	1.724	0.161	0.091	-	1.794
50500-50599-Mental Hygiene Community Stores	4.718	0.187	0.062	-	4.843
50650-50699-Unemployment Insurance Benefit	8.378	140.242	140.297	-	8.323
TOTAL ENTERPRISE FUNDS	26.563	146.339	146.027	-	26.875
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(87.585)	20.182	21.885	16.391	(72.897)
55050-55099-Agency Internal Service	(131.505)	7.506	42.503	1.217	(165.285)
55100-55149-Mental Hygiene Revolving	0.078	0.027	0.077	-	0.028
55150-55199-Youth Vocational Education	0.071	0.005	-	-	0.076
55200-55249-Joint Labor and Management Administration	0.399	0.001	0.117	-	0.283
55250-55299-Audit and Control Revolving	(33.780)	-	2.892	(0.061)	(36.733)
55300-55349-Health Insurance Revolving	(16.093)	1.064	1.449	-	(16.478)
55350-55399-Correctional Industries Revolving	(24.969)	3.721	6.311	-	(27.559)
TOTAL INTERNAL SERVICE FUNDS	(293.384)	32.506	75.234	17.547	(318.565)
TOTAL PROPRIETARY FUNDS	\$ (266.821)	\$ 178.845	\$ 221.261	\$ 17.547	\$ (291.690)

SCHEDULE 3

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF MAY 2019
(amounts in millions)

FUND TYPE	BALANCE MAY 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE MAY 31, 2019
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	\$ (7.436)	\$ 7.845	\$ 12.241	\$ -	\$ (11.832)
TOTAL PENSION TRUST FUNDS	(7.436)	7.845	12.241	-	(11.832)
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	3.013	0.007	0.022	-	2.998
66050-66099-Milk Producers' Security	10.361	0.129	0.013	-	10.477
TOTAL PRIVATE PURPOSE TRUST FUNDS	13.374	0.136	0.035	-	13.475
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	23.568	0.371	1.715	-	22.224
60150-60199-Child Performer's Holding	0.519	0.004	0.005	-	0.518
60200-60249-Employees Health Insurance	855.327	1,245.309	881.131	-	1,219.505
60250-60299-Social Security Contribution	15.083	144.555	144.475	-	15.163
60300-60399-Employee Payroll Withholding	(0.083)	543.268	496.979	-	46.206
60400-60449-Employees Dental Insurance	27.001	5.569	5.438	-	27.132
60450-60499-Management Confidential Group Insurance	0.532	0.857	0.697	-	0.692
60500-60549-Lottery Prize	568.282	107.208	84.559	-	590.931
60550-60599-Health Insurance Reserve Receipts	0.142	-	-	-	0.142
60600-60799-Miscellaneous New York State Agency	947.453	535.568	508.162	-	974.859
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	27.245	6.076	6.058	-	27.263
60850-60899-CUNY Senior College Operating	4.000	241.633	185.972	-	59.661
60900-60949-Medicaid Management Information System (MMIS) Escrow	139.602	6,655.195	6,673.706	-	121.091
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	79.458	20.738	0.001	-	100.195
61100-61999-State University Federal Direct Lending Program	(1.186)	17.159	17.367	-	(1.394)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	2,686.943	9,523.510	9,006.265	-	3,204.188
TOTAL FIDUCIARY FUNDS	\$ 2,692.881	\$ 9,531.491	\$ 9,018.541	\$ -	\$ 3,205.831

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2019-2020
FOR THE MONTH OF MAY 2019
amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE MAY 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE MAY 31, 2019</u>
ACCOUNTS				
70000-70049-Tobacco Settlement	\$ 2,825	\$ 0.006	\$ -	\$ 2,831
70093-Mobility Tax Trust Account (*)	196,846	136,536	166,014	167,368
70095-For-Hire Congestion Surcharge (*)	76,188	38,761	75,542	39,407
70050-70149-Sole Custody Investment (**)	2,603,741	8,913,001	9,009,348	2,507,394
70200-Comptroller's Refund Account	-	489,838	489,838	-
TOTAL ACCOUNTS	\$ 2,879,600	\$ 9,578,142	\$ 9,740,742	\$ 2,717,000

(*) See Footnotes

() Includes Public Asset Fund resources:**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of May 31, 2019, \$9,352,717.21 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(f)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2019-2020

PURPOSE	DEBT OUTSTANDING APRIL 1, 2019	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING MAY 31, 2019	INTEREST DISBURSED	
		MONTH OF MAY	2 MONTHS ENDED MAY 31, 2019	MONTH OF MAY	2 MONTHS ENDED MAY 31, 2019		MONTH OF MAY	2 MONTHS ENDED MAY 31, 2019
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 16,747,925	\$ -	\$ -	\$ -	\$ 688,854	\$ 16,059,071	\$ -	\$ 117,372
Clean Water/Clean Air:								
Air Quality	2,465,600	-	-	-	-	2,302,923	-	4,067
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	321,372,381	-	-	-	8,471,194	312,901,187	-	1,599,669
Solid Waste	22,144,792	-	-	-	2,033,357	20,111,435	-	115,896
Environmental Restoration	46,724,919	-	-	-	210,000	46,514,919	-	17,625
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,352,815	-	-	-	154,061	1,198,754	-	29,309
Environmental Quality (1972):								
Air	6,247	-	-	-	-	6,247	-	-
Land and Wetlands	5,870,169	-	-	-	25,000	5,845,169	-	2,500
Water	10,826,301	-	-	-	1,503,953	9,322,348	-	98,349
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	6,831,632	-	-	-	738,107	6,093,525	-	42,174
Solid Waste Management	107,613,085	-	-	-	7,019,746	100,593,339	-	1,023,513
Housing:								
Low Income	8,500,000	-	-	-	800,000	7,700,000	-	127,500
Middle Income	6,225,000	-	-	-	-	6,225,000	-	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	17,772,576	-	-	-	994,401	16,778,175	-	156,062
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	641,322,676	-	-	-	-	641,322,676	-	-
Canals and Waterways	11,884,363	-	-	-	-	11,884,363	-	-
Aviation	42,044,726	-	-	-	-	42,044,726	-	-
Rail and Port	94,745,141	-	-	-	-	94,745,141	-	-
Mass Transit - Dept of Transportation	13,915,297	-	-	-	-	13,915,297	-	-
Mass Transit - Metropolitan Transportation Authority	721,891,399	-	-	-	-	721,891,399	-	-
Rebuild New York Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	741,418	-	-	-	-	741,418	-	-
Rapid Transit, Rail and Aviation	2,597,617	-	-	-	555,054	2,042,563	-	63,247
Smart Schools Bond Act	179,020,225	-	-	-	-	179,020,225	-	-
Transportation Capital Facilities:								
Aviation	3,018,695	-	-	-	308,596	2,710,099	-	54,539
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,285,634,999	\$ -	\$ -	\$ -	\$ 23,665,000	\$ 2,261,969,999	\$ -	\$ 3,451,822

SCHEDULE 5a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TWO MONTHS ENDED MAY 31, 2019

Special Contractual Financing Obligations: Payments to Public Authorities:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								2019	2018	
City University Construction	\$ -	\$ 54,720,301	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 54,720,301	\$ 87,134,416	\$ (32,414,115)
Dormitory Authority:	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	13,080,876	-	-	-	-	13,080,876	13,086,128	(4,250)
Mental Health Facilities	-	-	-	-	17,996	-	-	17,996	90,810	(72,814)
Secured Hospital Program	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-	-
Housing Finance Agency	-	-	-	-	-	-	-	-	-	-
Local Government Assistance Corporation	-	-	-	8,402,319	-	-	-	8,402,319	815,745	(815,745)
Metropolitan Transportation Authority:	-	-	-	-	-	-	-	-	1,075,496	7,326,823
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:	-	-	-	-	-	-	-	-	-	-
Dedicated Highway and Bridge	-	90,139,966	-	-	-	-	-	90,139,966	-	90,139,966
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation:	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia University	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell University	-	-	-	-	-	-	-	-	-	-
Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	-	-	-	-	-
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 144,860,267	\$ 13,080,876	\$ 8,402,319	\$ 17,996	\$ -	\$ -	\$ 166,361,458	\$ 102,201,593	\$ 64,159,865

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF MAY 2019
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)

	MONTH OF MAY 2019	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 20,900.6	\$ 20,311.4	\$ 16,502.2
AVERAGE YIELD (**)	2.488%	2.491%	1.896%
TOTAL INVESTMENT EARNINGS	\$ 43.147	\$ 82.558	\$ 49.327

Month-End Portfolio Balances

DESCRIPTION	MAY 2019 PAR AMOUNT	MAY 2018 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 782.7	\$ -
REPURCHASE AGREEMENTS	28.7	25.2
COMMERCIAL PAPER	12,968.7	10,705.5
CERTIFICATES OF DEPOSIT/SAVINGS	2,951.1	3,228.8
0% COMPENSATING BALANCE CDs	158.0	1,005.0
	\$ 16,889.2	\$ 14,964.5

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT FISCAL YEAR 2019-2020															APPENDIX A	
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2 Months Ended May 31, 2019			
OPENING CASH BALANCE	\$	-	\$ 543,140,525										\$	-		
RECEIPTS:																
Cigarette Tax	63,203,605	59,370,644												122,574,249		
State Share of NYC Cigarette Tax	2,069,000	2,175,000												4,244,000		
STIP Interest		785,843												1,255,283		
Public Asset Transfers	-	-												-		
Assessments	533,324,883	443,523,673												976,848,556		
Fees	404,000	903,000												1,307,000		
Rebates	4,761,424	362,419												5,123,843		
Restitution and Settlements	-	-												-		
Miscellaneous	-	-												-		
Total Receipts	604,232,352	507,120,579	-	-	-	-	-	-	-	-	-	-	-	1,111,352,931		
DISBURSEMENTS:																
Grants	57,388,500	753,490,762												810,879,262		
Interest - Late Payments	1,007	72												1,079		
Personal Service	541,446	1,589,857												2,131,303		
Non-Personal Service	1,746,620	3,414,743												5,161,363		
Employee Benefits/Indirect Costs	345,719	445,042												790,761		
Total Disbursements	60,023,292	758,940,476	-	-	-	-	-	-	-	-	-	-	-	818,963,768		
OPERATING TRANSFERS:																
Transfers to Capital Projects Fund	-	-												-		
Transfers to General Fund	-	-												-		
Transfers to Revenue Bond Tax Fund	-	-												-		
Transfers to Miscellaneous Special Revenue Fund	-	-												-		
Administration Program Account	-	350,000												350,000		
Empire State Stem Cell Trust Account	-	6,661,750												6,661,750		
Transfers to SUNY Income Fund	1,068,535	746,680												1,815,215		
Total Operating Transfers	1,068,535	7,758,430	-	-	-	-	-	-	-	-	-	-	-	8,826,965		
Total Disbursements and Transfers	61,091,827	766,698,906	-	-	-	-	-	-	-	-	-	-	-	827,790,733		
CLOSING CASH BALANCE	\$ 543,140,525	\$ 283,562,188	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	283,562,188		

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2019-20

Program/Purpose	Appropriation Amount (*)	May	2 Months Ended May 31, 2019 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	\$ 8,752,000.00	\$ 317,817.97	\$ 569,099.69
CENTER FOR COMMUNITY HLTH	8,752,000.00	317,817.97	569,099.69
CHILD HEALTH INSURANCE PROGRAM	1,478,644,000.00	21,387,971.63	43,202,522.41
CHILD HEALTH INSURANCE	1,478,644,000.00	21,387,971.63	43,202,522.41
COMMUNITY SUPPORT PROGRAM	180,000.00	-	-
COMMUNITY SUPPORT	180,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	426,154,811.52	9,365,106.62	14,282,058.73
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	426,154,811.52	9,365,106.62	14,282,058.73
HEALTH CARE REFORM ACT PROGRAM	2,160,723,306.03	3,566,185.47	36,552,874.09
AIDS DRUG ASSISTANCE	205,250,000.00	-	-
AMBULATORY CARE TRAINING	13,520,000.00	168,864.45	168,864.45
AREA HEALTH EDUCATION CENTER	7,063,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CA	272,000,000.00	-	-
DIVERSITY IN MEDICINE	5,232,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	13,780,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	1,032,500.00	1,193,701.26
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	19,600,000.00
HEALTH WORKFORCE RETRAINING	210,010,300.00	450,185.17	450,185.17
INFERTILITY SERVICES GRANTS	24,781,746.00	16,010.29	34,499.91
MEDICAL INDEMNITY FUND	208,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	382,200,000.00	-	-
PHYSICIAN LOAN REPAYMENT	34,465,000.00	-	79,919.80
PHYSICIAN PRACTICE SUPPORT	1,000,000.00	13,515.00	-
PHYSICIAN WORKFORCE STUDIES	27,825,000.00	-	-
POISON CONTROL CENTERS	3,954,200.00	-	-
POOL ADMINISTRATION	8,440,000.00	-	-
ROSWELL PARK CANCER INSTITUTE	5,300,000.00	622,498.06	622,498.06
RURAL HEALTH CARE ACCESS	102,606,000.00	-	12,825,750.00
SCHOOL BASED HEALTH CENTERS	34,550,000.00	411,476.23	705,521.12
SCHOOL BASED HEALTH CLINICS-POOL ADMIN	22,990,000.00	851,136.27	871,934.32
TRANSITION ACCT - PRIOR YEAR ALLOCATION	4,230,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	8,460,000.00	-	-
MEDICAL ASSISTANCE PROGRAM	489,526,059.03	-	-
HOME HEALTH RATE INCREASE	28,338,830,000.00	722,577,056.79	722,577,056.79
MEDICAL ASSISTANCE	300,000,000.00	-	-
MEDICAL INDIGENT CARE	5,349,000,000.00	122,577,056.79	122,577,056.79
PSNL CRE WKKR REGR & RETEN NYC (**)	21,806,630,000.00	600,000,000.00	600,000,000.00
PSNL CRE WKKR REGR & RETEN ROS (***)	816,000,000.00	-	-
NEW YORK STATE OF HEALTH	67,200,000.00	-	-
NEW YORK STATE OF HEALTH ADMINISTRATION	53,398,000.00	716,845.76	716,845.76
OFFICE OF HEALTH INSURANCE	53,398,000.00	716,845.76	716,845.76
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	60,490,924.85	1,376,419.36	2,500,118.96
OFFICE HEALTH SYSTEMS MANAGEMENT	60,490,924.85	1,376,419.36	2,500,118.96
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE PROCESSING & RECONCILIATION	8,190,000.00	403,167.00	403,167.00
REVENUE PROCESSING & RECONCILIATION	8,190,000.00	403,167.00	403,167.00
TOTAL	32,539,674,841.40	759,710,570.60	820,903,743.43
Reclass of SUNY Hospital Disprop Share to Transfer	-	(746,680.12)	(1,815,214.71)
Reclass of SUNY Hospital Poison Control Centers to Transfer	-	-	-
Reclass of SUNY Empire Clinical Research Investigator	-	-	-
Program to Transfer	-	-	-
Reconciling Adjustment (P-Card and T-Card)	-	-	-
TOTAL REPORTED AMOUNT	\$ 32,539,674,841.40	\$ 758,940,476.03	\$ 818,963,767.71

(*) Includes amounts appropriated in SFY 2019-20, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***): Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2019-20

	2019 APRIL	2019 MAY	2019-20
OPENING CASH BALANCE	\$ 284,899,772.70	\$ 311,895,828.08	\$ 284,899,772.70
RECEIPTS:			
Patient Services	382,503,349.99	324,965,368.57	707,468,718.56
Covered Lives	125,622,477.78	88,303,903.19	213,926,380.97
Provider Assessments	15,415,233.88	10,332,235.26	25,747,469.14
1% Assessments	31,864,334.00	36,082,991.00	67,947,325.00
DASNY- MOE/Recast receivables	-	-	-
Interest Income	79,328.24	73,468.44	152,796.68
Unassigned	1,030,295.38	(1,058,854.38)	(28,559.00)
Total Receipts	556,515,019.27	458,699,112.08	1,015,214,131.35
PROGRAM DISBURSEMENTS:			
Poison Control Centers	-	-	-
School Based Health Center Grants	-	-	-
ECRIP Distributions	-	-	-
Total Program Disbursements	-	-	-
Excess (Deficiency) of Receipts over Disbursements	556,515,019.27	458,699,112.08	1,015,214,131.35
OTHER FINANCING SOURCES (USES):			
Transfers From Other Pools:			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	3,803,694.00	4,091,290.00	7,894,984.00
Transfers From State Funds:			
HCRA Resources Fund	-	-	-
Total Other Financing Sources	3,803,694.00	4,091,290.00	7,894,984.00
Transfers To Other Pools:			
Medicaid Disproportionate Share	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers To State Funds:			
HCRA Resources Fund	(533,322,657.89)	(443,523,672.90)	(976,846,330.79)
Indigent Care Fund - Matched	-	-	-
Indigent Care Fund - Unmatched	-	-	-
Total Other Financing Uses	(533,322,657.89)	(443,523,672.90)	(976,846,330.79)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	26,996,055.38	19,266,729.18	46,262,784.56
CLOSING CASH BALANCE	\$ 311,895,828.08	\$ 331,162,557.26	\$ 331,162,557.26

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2019-20

	2019 APRIL	2019 MAY	2019-20
OPENING CASH BALANCE	\$ 2,224.49	\$ -	\$ 2,224.49
RECEIPTS:			
Interest Income	-	3,591.28	3,591.28
Total Receipts	-	3,591.28	3,591.28
PROGRAM DISBURSEMENTS:			
Indigent Care	-	(126,518,159.64)	(126,518,159.64)
High Need Indigent Care	-	-	-
Other	-	696,718.68	696,718.68
Total Program Disbursements	-	(125,821,440.96)	(125,821,440.96)
Excess (Deficiency) of Receipts over Disbursements	-	(125,817,849.68)	(125,817,849.68)
OTHER FINANCING SOURCES (USES):			
Transfers From Other Pools:			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers From State Funds:			
HCRA Resources Indigent Care - Matched	-	63,259,079.82	63,259,079.82
HCRA Resources Indigent Care - Unmatched	-	(682,023.03)	(682,023.03)
HCRA Resources Indigent Care - ATB	-	-	-
Federal DHHS Fund	-	63,259,079.82	63,259,079.82
Other	-	-	-
Total Other Financing Sources	-	125,836,136.61	125,836,136.61
Transfers To Other Pools:			
Public Goods Pool	-	-	-
Health Facility Assessment Fund	-	-	-
Transfers To State Funds:			
HCRA Resources Fund Indigent Care Acct	(2,224.49)	(14,695.65)	(16,920.14)
Total Other Financing Uses	(2,224.49)	(14,695.65)	(16,920.14)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2,224.49)	3,591.28	1,366.79
CLOSING CASH BALANCE	\$ -	\$ 3,591.28	\$ 3,591.28

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2019-2020
(amounts in thousands)

	2019 APRIL	2019 MAY	2019 JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019 OCTOBER	2019 NOVEMBER	2019 DECEMBER	2020 JANUARY	2020 FEBRUARY	2020 MARCH	2019-2020 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ 21											\$ 21
Education - EXCEL	-	2,109											2,109
Department of Health - All Other	-	-											-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-											-
Regional Development													
Community Capital Assistance Program (CCAP)/RESTORE	647	1,183											1,830
Multi-modal	37	-											37
GenYsis	-	-											-
CUNY Senior Colleges	19,134	18,022											37,156
CUNY Community Colleges	2,965	2,554											5,519
SUNY Dormitories	-	-											-
Upstate Community Colleges	-	-											-
Mental Health	9,238	13,563											22,801
Developmental Disabilities	1,109	1,614											2,723
Alcoholism and Substance Abuse	158	274											432
Brooklyn Court Officer Training Academy	23	36											59
TOTAL DORMITORY AUTHORITY	33,311	39,376											72,687
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development													
Centers of Excellence	-	-											-
Community Capital Assistance Program (CCAP)	-	-											-
Empire Opportunity	-	-											-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-											-
State Facilities and Equipment	-	-											-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-											-
TOTAL OFF-BUDGET	\$ 33,311	\$ 39,376	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 72,687

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006, this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(1)

SFS Fund	ACCOUNT TITLE	February 28, 2019	March 31, 2019	April 30, 2019	Change	May 31, 2019
10050	GENERAL FUND					
	STATE OPERATIONS AND LOCAL ASSISTANCE					
	TOTAL GENERAL FUND	\$ -	\$ -	\$ -	\$ -	\$ -
						(**)
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	-	149,919,438.12	149,793,422.98	1,096,582.86	150,890,005.84
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D26RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE- HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D18RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROOKPORT	-	-	-	-	-
30118	D02RVE- BROOKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D38RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	16,691,875.97	25,302,180.86	32,095,838.60	11,678,574.78	43,774,413.38
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	122,077,778.70	129,347,680.54	132,055,559.17	5,801,247.22	137,856,806.39
31701	YOUTH FACILITIES IMPROVEMENT	17,279,631.21	21,347,676.79	22,453,852.20	(3,705,463.34)	18,748,388.86
31801	HOUSING ASSISTANCE	13,108,506.19	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	152,015,136.15	32,727,478.14	32,727,478.14	3,686,901.00	36,414,379.14
31852	HOUSING PROG FD AFFORD HSG CORP	73,365,547.22	40,482,563.15	40,482,563.15	5,760,000.00	46,242,563.15
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	150,013,306.94	104,158,911.98	104,158,911.98	-	104,158,911.98
31854	HOUSING PROG FD-HEA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	12,346,481.24	12,346,481.24	12,346,481.24	-	12,346,481.24

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	February 28, 2019	March 31, 2019	April 30, 2019	Change	May 31, 2019
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	945,400.60	1,019,937.77	1,080,596.10	149,659.59	1,230,257.69
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	-	-	-	-	-
32304	OPWDD-COMMUNITY FACILITIES	159,199,238.20	155,560,650.02	143,987,203.48	(1,465,034.62)	142,522,168.86
32305	OASAS-COMMUNITY FACILITIES	224,020,595.75	196,051,910.55	196,227,000.83	117,450.00	196,344,450.83
32306	DASNY - OMH ADMIN	7,032,605.64	-	-	-	-
32307	DASNY - OPWDD ADMIN	2,568,164.87	3,152,414.87	3,803,993.87	-	3,803,993.87
32308	DASNY - OASAS ADMIN	1,181,677.42	1,181,677.42	1,344,677.42	-	1,344,677.42
32309	OMH - STATE FACILITIES	180,969,174.55	132,017,215.79	143,995,823.72	17,177,433.45	161,173,257.17
32310	OPWDD - STATE FACILITIES	-	-	-	-	-
32311	OASAS - STATE FACILITIES	1,034,551.74	1,035,331.74	1,035,331.74	-	1,035,331.74
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	269,519,994.10	193,029,352.92	219,387,547.21	22,858,708.75	242,246,255.96
32352	DOCS-REHABILITATION PROJECTS	-	-	-	-	-
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	86,273,096.95	88,963,695.26	87,887,960.49	643,170.76	88,331,131.25
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,488,796,513.44	1,300,440,314.22	1,337,759,961.38	63,796,230.45	1,401,556,191.83
STATE SPECIAL REVENUE FUNDS						
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	LOCAL HEALTH INSURANCE	37,903,858.87	-	21,814,550.78	21,387,692.66	43,202,243.44
20818	EPIC PREMIUM ACCOUNT	-	-	-	8,738,215.33	8,738,215.33
20901	LOTTERY-EDUCATION	453,087,265.18	-	-	-	-
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	4,158,920.69	4,221,008.01	3,434,397.24	81,063.27	3,515,460.51
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,200.00	1,672,200.00	1,672,200.00	-	1,672,200.00
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,440,476.48	37,060.03	37,060.03	963,538.70	1,000,598.73
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,102,184.27	4,323,806.27	4,554,757.50	205,240.64	4,760,038.14
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	47,743,684.04	47,408,675.51	49,009,998.30	604,042.47	49,614,040.77
21082	NATURAL RESOURCES ACCOUNT	13,275,783.07	13,350,512.77	13,442,626.14	285,163.09	13,727,789.23
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	-	-	-
21202	HEALTH DEPT OIL SPILL	-	-	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	-	-	-
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	2,859.07	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	3,209,112.15	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	267,781,425.04	-	-	-	-
21451	OPERATING PERMIT PROGRAM	26,725,044.92	406,314.93	27,901,116.99	790,721.16	28,691,838.14
21452	MOBILE SOURCE	453,075.89	-	-	-	-
21902	HEALTH-SPARC'S	-	6,908,973.79	-	2,266,903.08	13,432,824.70
21905	THRUWAY AUTHORITY ACCT	-	-	11,165,921.62	-	-
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	465,333.92	745,472.06	159,294.47	207,369.03	366,663.50
21912	RACING REGULATION ACCOUNT	2,759,293.24	2,741,779.62	2,583,726.54	(74,320.66)	2,509,405.88
21913	NY METROPOLITAN TRANSPORATION COUNCIL	18,292,577.21	18,292,577.21	18,292,577.21	-	18,292,577.21
21937	SU DORM INCOME REIMBURSE	1,572,707.28	-	221,106.52	53,538.29	274,644.81
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	-	-	-	-	-
21962	CLINICAL LAB FEE	10,472,978.87	9,328,162.46	9,528,763.16	318,750.28	318,750.28
21978	INDIRECT COST RECOVERY	-	-	-	1,403,453.58	10,933,216.74
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	796,246.79	796,246.79
21989	MULTI- AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	4,021.16	(4,021.16)	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	98,177.45	85,321.29	67,820.97	(18,093.62)	49,727.35
22017	CAMP SMITH BILLETING ACCOUNT	-	-	68,664.15	(11,000.00)	57,664.15
22032	BATAVIA SCHOOL FOR THE BLIND	13,215,096.91	8,521,017.76	8,044,333.36	691,097.61	8,735,430.97
22034	INVESTMENT SERVICES	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	February 28, 2019	March 31, 2019	April 30, 2019	Change	May 31, 2019
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	610,301.05	885,496.57	282,820.20	342,479.98	625,300.18
22046	REGULATION INDIAN GAMING	81,146,624.58	80,860,809.91	81,943,232.57	456,176.74	82,399,409.31
22053	ROME SCHOOL FOR THE DEAF	5,146,565.26	1,820,976.60	976,367.29	508,256.30	1,484,623.59
22054	DSP-SEIZED ASSETS	4,547,315.44	4,456,415.06	4,032,732.30	(152,112.45)	3,880,619.85
22055	ADMINISTRATIVE ADJUDICATION	12,854,911.33	12,965,823.68	12,688,728.78	809,195.08	13,497,923.86
22056	FEDERAL SALARY SHARING	3,010,323.21	-	218,539.94	148,949.69	367,489.63
22062	NYC ASSESSMENT ACCT	5,528,847.01	4,171,543.55	4,759,582.30	73,949.97	4,833,532.27
22063	CULTURAL EDUCATION ACCOUNT	-	-	-	-	-
22078	LOCAL SERVICE ACCOUNT	13,432,304.58	13,240,764.52	13,441,061.91	274,808.64	13,715,870.55
22085	DHCR MORTGAGE SERVICES	1,837,804.27	1,836,860.69	-	-	-
22090	DHCR INDIRECT COST RECOVERY	5,293,515.48	3,766,105.03	4,296,008.76	876,598.89	5,172,607.65
22100	HOUSING CREDIT AGENCY APPLY FEE	-	-	-	-	-
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTEROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	70,838.55	121,207.69	180,075.47	66,172.02	246,248.49
22156	RENT REVENUE	6,932,499.90	-	1,288,571.78	4,867,917.91	6,156,489.69
22158	TAX REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NY'S MEDICAL INDEMNITY FUND ACCOUNT	20,138,075.86	20,176,084.17	20,217,897.06	40,902.71	20,258,799.77
22694	S.U. NON-RESIDENT REV. OFFSET	178,863.86	-	8,045.89	(8,045.89)	-
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE INV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGMI	12,667,033.38	12,683,057.28	12,941,485.24	261,552.57	13,203,047.81
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	55,880,580.12	28,279,679.41	34,503,932.91	533,403.37	35,037,336.28
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	13,137,475.98	13,793,430.04	14,092,144.48	402,423.05	14,494,567.53
23800	INTERSTATE RECIPROcity FOR POST SEC DIST ED	-	-	-	-	-
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NY'S SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	-	48,055.03	-	-	48,055.03
	TOTAL STATE SPECIAL REVENUE FUNDS	1,156,196,883.81	349,741,388.88	384,957,156.23	46,505,997.57	431,463,153.80
25000-25099	FEDERAL FUNDS					
25100-25199	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	11,306,554.58	25,650,194.51	13,743,952.42	(3,620,887.42)	10,123,065.00
25200-25249	FEDERAL HEALTH AND HUMAN SERVICES FUND	286,092,694.25	2,287,060,288.27	580,320,163.60	(198,320,163.60)	382,506,285.18
25250-25299	FEDERAL DHHS BLOCK GRANTS	29,641,743.39	141,463,553.78	62,473,696.48	88,432,124.62	150,905,821.10
25300-25399	FEDERAL OPERATING GRANTS	413,150,861.04	418,362,905.02	447,342,224.32	15,157,596.19	462,499,820.51
31351	MILITARY AND NAVAL AFFAIRS	8,946,740.94	8,908,861.69	8,801,154.66	(8,130.00)	8,793,024.66
31354	DEPARTMENT OF TRANSPORTATION	477,931,890.25	408,101,447.87	475,012,750.95	(23,056,161.16)	451,956,589.79
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	112,431,931.98	118,232,494.23	118,479,790.75	890,736.89	119,370,527.64
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	9,515,038.72	6,423,053.46	6,887,688.67	5,524,725.51	12,412,094.18
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	3,689,825.01	544,945.01	460,495.01	(172,477.00)	288,018.01
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	4,935,841.88	2,013,103.55	7,801,321.04	(5,971,768.97)	1,829,552.07
	TOTAL FEDERAL FUNDS	1,354,323,822.05	3,416,762,834.39	1,721,823,203.06	(121,144,422.94)	1,600,684,780.14
60201	AGENCY FUNDS					
60901	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
50318	ENTERPRISE FUND					
50327	OGS CONVENTION CENTER ACCOUNT	152,344.18	149,762.54	102,176.23	118,125.36	220,303.59
	EMPIRE PLAZA GIFT SHOP	166,454.23	185,439.57	187,195.03	27,405.55	214,600.58
	TOTAL ENTERPRISE FUND	318,798.41	335,202.11	289,371.26	145,530.91	434,904.17
55001	INTERNAL SERVICE FUNDS					
55002	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55003	CENTRALIZED SERVICES-DATA PROCESSING	1,344,899.99	1,361,177.18	1,324,971.52	35,821.11	1,360,792.63
55004	CENTRALIZED SERVICES-PRINTING	-	-	-	-	-
55005	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	20,572.16	(20,572.16)	-
55006	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55007	CENTRALIZED SERVICES-PERSONAL PROPERTY	2,774,233.61	2,799,205.13	2,619,680.38	20,089.07	2,639,779.45
55008	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	14,963,153.27	6,233,186.03	9,931,960.58	(8,409,261.64)	1,522,646.94
55009	CENTRALIZED SERVICES-PASNY	-	-	-	-	-
55010	CENTRALIZED SERVICES-ADMIN SUPPORT	8,269,967.18	14,027,796.93	10,685,878.89	3,375,323.29	14,061,202.18
55011	CENTRALIZED SERVICES-DESIGN AND CONSTR	-	3,026,216.52	3,217,128.75	(1,068,801.12)	2,148,327.63
55012	CENTRALIZED SERVICES-INSURANCE	164,222.06	143,180.06	139,428.06	-	180,760.64
55013	CENTRALIZED SERVICES-Security CARD ACCESS	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	February 28, 2019	March 31, 2019	April 30, 2019	Change	May 31, 2019
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	1,813,075.01	1,464,225.96	1,349,142.62	(10,850.73)	1,338,291.89
55016	CENTRALIZED SERVICES-IMMICS	542,875.42	683,235.74	517,533.05	(13,288.38)	504,244.67
55017	DOWNSSTATE WAREHOUSE	6,820,640.57	9,937,728.11	5,793,382.24	(5,793,382.24)	-
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	56,324,887.09	63,101,429.88	61,478,824.53	4,835,684.33	66,314,508.86
55021	NYS MEDIA CENTER	6,095,132.01	5,659,631.05	5,577,697.09	538,704.72	6,116,401.81
55022	BUSINESS SERVICES CENTER	7,311,137.01	8,051,614.18	9,927,615.44	(5,200,232.85)	4,727,382.59
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	52,922.44	117,719.57	154,766.64	(6,079.24)	148,687.40
55057	BANKING SERVICES ACCOUNT	95,545.46	492,524.93	428,759.43	(428,759.43)	63,785.50
55058	CULTURAL RESOURCE SURVEY	2,499,272.13	2,891,015.47	3,041,362.41	323,427.72	3,364,790.13
55059	NEIGHBOR WORK PROJECT	9,065,691.65	7,851,171.43	7,446,142.10	(1,620,901.82)	5,825,240.28
55060	AUTOMATIC/PRINT CHARGEBACKS	1,566,435.31	-	717,020.87	1,970,771.85	2,687,792.72
55061	OFT NYT ACCT	2,361,900.33	2,340,827.95	2,340,827.95	-	2,340,827.95
55062	DATA CENTER ACCOUNT	45,428,023.70	45,428,023.70	45,428,023.70	-	45,428,023.70
55066	CYBER SECURITY INTRUSION ACCT	1,261,594.27	1,261,594.27	1,261,594.27	-	1,261,594.27
55067	DOMESTIC VIOLENCE GRANT	1,277,934.63	665,420.68	203,180.28	35,698.24	238,878.52
55069	CENTRALIZED TECHNOLOGY SERVICES	77,457,945.63	72,305,367.23	59,320,406.65	33,699,767.39	93,220,174.24
55071	LABOR CONTACT CENTER ACCT	1,085,159.88	254,769.61	412,367.47	161,242.72	593,630.19
55072	HUMAN SERVICES CONTACT CNTR ACCT	-	-	-	103,530.07	103,530.07
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	19,983,091.01	17,474,534.88	18,879,827.98	(2,012,799.21)	16,867,028.77
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	11,408,520.35	8,708,847.81	9,100,531.01	481,132.06	9,581,663.07
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	37,708,466.50	22,965,827.84	24,879,378.92	2,471,767.53	27,151,146.45
55300	HEALTH INSURANCE INTERNAL SERVICE	20,898,534.94	13,919,750.51	14,786,922.13	1,228,546.06	16,015,468.19
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	1,511,732.11	1,160,045.30	1,306,341.96	(843,386.40)	462,955.56
55350	CORR INDUSTRIES INTERNAL SERVICE	36,725,028.47	25,361,433.93	24,969,256.34	2,590,145.87	27,559,402.21
	TOTAL INTERNAL SERVICE FUNDS	376,661,912.23	337,584,993.15	327,124,259.12	26,704,669.39	353,828,928.51
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 4,375,297,929.94	\$ 5,404,884,732.75	\$ 3,771,959,953.07	\$ 16,011,005.38	\$ 3,787,970,958.45

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part TTT, Section 1, of the Laws of 2019-20. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the reported cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2019-2020

APPENDIX X

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2 Months Ended May 31, 2019
OPENING CASH BALANCE	\$ 16,298,833	\$ 228,595,088											\$ 16,298,833
RECEIPTS:													
Transfers from General Fund (**)	250,000,000	-	-	-	-	-	-	-	-	-	-	-	250,000,000
Total Receipts	250,000,000												250,000,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-	1,460,000											1,460,000
Broadband Initiative	1,906,857	22,713,440											24,620,297
Downtown Revitalization	-	-											-
Health Care / Hospital Initiatives	1,376,741	66,909,227											68,285,968
Empire State Poverty Reduction Initiatives	1,174,678	643,317											1,817,995
Information Technology/Infrastructure for Behavioral Sciences	-	-											-
Infrastructure Improvements	-	298,303											298,303
Jacob Javits Center Expansion	-	-											-
Life Sciences Initiative	-	-											-
Municipal Restructuring / Consolidation Competition	1,257,796	1,322,544											2,580,340
Penn Station Access	-	-											-
Resiliency, Mitigation, Security and Emergency Response	32,703	4,101,595											4,134,298
Southern Tier / Hudson Valley Farm Initiative	10,000	73,340											83,340
Thruway Stabilization Program	15,988,205	29,132,267											45,120,472
Transformative Economic Development Projects	5,122,897	8,707,914											13,830,811
Transportation Capital Plan	-	-											-
Upstate Revitalization Program	10,833,868	25,249,723											36,083,591
Total Disbursements	37,703,745	160,611,670											198,315,415
OPERATING TRANSFERS:													
Transfers to General Fund	-	-											-
Total Operating Transfers													-
Total Disbursements and Transfers	37,703,745	160,611,670											198,315,415
CLOSING CASH BALANCE	\$ 228,595,088	\$ 67,983,418	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 67,983,418

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SPL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law