



**Department
of State**

**2017 ANNUAL REPORT:
ADMINISTRATION OF THE SECURITY GUARD ACT**

PURSUANT TO SECTION 89-S OF THE GENERAL BUSINESS LAW

**FOR SUBMISSION TO THE GOVERNOR, TEMPORARY PRESIDENT
OF THE SENATE AND SPEAKER OF THE ASSEMBLY**

January 31, 2018

**Andrew M. Cuomo
Governor**

**Rossana Rosado
Secretary of State**

INTRODUCTION

The Department of State (the “Department”) submits the following report on the implementation, procedures, operation, training, and enforcement of the Security Guard Act, in compliance with section 89-s of the General Business Law (the “GBL”).

SECURITY GUARD REGISTRATION

On December 31, 2017, there were 168,070 registered security guards.

In 2017, the Department received 86,316 applications for registration as a security guard, including initial applications and renewal applications. Of these, DOS denied 1,093 for the reasons set forth in Article 7-A of the General Business Law.

PROCESSING STATISTICS

A. Fingerprint Cards:

In 2017, the New York State Division of Criminal Justice Services (the “DCJS”) reports that it processed 37,484 fingerprint submissions for initial security guard applicants. DCJS also processed a total of 2,200 fingerprint submissions from other employees at security guard companies. Eighty-percent of the fingerprint submissions were processed in under 5 minutes, 87% in less than an hour, and over 95% in less than one day.

B. Security Guard Applications:

Security Guard applications are typically processed in less than four business days.

C. Security Guard Registry:

Security guard companies telephoning the Security Guard Registry receive a response in approximately 11 minutes.

DISCIPLINARY ACTION

In 2017, the Department suspended 48 security guard registrations. The duration of suspensions varied based on the severity of the violation. During the same year, DOS revoked 71 security guard registrations. The Department imposed \$172,625 in fines against security guards and security guard companies.

FEES COLLECTED

In 2017, the Department and DCJS together collected fees as follows:

- DCJS fingerprint transaction fee - \$2,976,300;
- DCJS school and instructor fees-\$208,825; and
- Department of State application fees - \$2,559,860.30.

APPROPRIATION

The SFY2017-18 Budget appropriated \$43,205,000 from the Business and Licensing Services Account to support the Department's functions, including its security guard licensing function. The security guard registration program is one of 36 licensing disciplines regulated by the Department and supported by this appropriation. The Department does not separately account for spending within individual license disciplines.